# Comphy — A Compact-Physics Framework for Unified Modeling of BTI

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Abstract-Metal-oxide-semiconductor (MOS) devices are affected by generation, transformation, and charging of oxide and interface defects. Despite 50 years of research, the defect structures and the generation mechanisms are not fully understood. Most light has been shed onto the charging mechanisms of preexisting oxide defects by using the non-radiative multi-phonon theory. In this work we present how the gist of physical models for pre-existing oxide defects can be efficiently abstracted at a minimal loss of physical foundation and accuracy. Together with a semi-empirical model for the generation and transformation of defects we establish a reaction-limited framework for unified simulation of bias temperature instabilities (BTI). The applications of the framework we present here cover simulation of BTI for negative (NBTI) and positive (PBTI) gate voltages, life time extrapolation, AC stress with arbitrary signals and duty cycles, and gate stack engineering.

*Index Terms*— bias temperature instabilities, high-k dielectric materials, semiconductor device reliability

## I. INTRODUCTION

The past 50 years of BTI research [1] and the numerous ongoing controversies [2] suggest that a) the underlying physical mechanisms are so diverse and complex that a rigorous description is barely feasible and b) the apparent phenomena are so peculiar that models without sound physical foundation can only cover a small range of observations. The controversies start with the role of dangling bonds at the oxide/channel interface: While it is commonly accepted that dangling bonds are created during stress, there are experimental studies which show that they do not dominate the degradation at BTI conditions [3,4], opposed to what is typically assumed in reaction-diffusion (RD) models [5]. Attempts towards a rigorous description of charge trapping as an important contributor to BTI are based on the non-radiative multi-phonon (NMP) theory [6-8]. In particular, the 4-state NMP model [9] has been successfully applied to model various aspects of BTI [10, 11]. Hydrogen reactions were often linked to BTI and recently put into the context of NMP models [12]. However, given the complexity of this detailed physical model which tries to capture a number of peculiarities possibly not essential for life time prediction, many researchers employ simple power law descriptions which are used to fit the evolution of the threshold voltage shift  $\Delta V_{\text{th}}$  as a function of stress time  $t_{\text{s}}$ , but have limited prediction accuracy and do not include recovery.

While there is certainly not a lack of BTI models in general, there still seems to be a gap between "detailed physical theory which is barely applicable for practical purposes" and "practical approximations which miss important aspects of oxide degradation".

In this paper we present an abstraction of the most elaborate

findings from recent NMP studies [13–15] together with a semi-empirical model for defect generation and transformation and put them into a fast and easy-to-use framework we call "Comphy", short for "compact-physics". The core of this effort is the abstraction of the more complex multistate NMP processes to an effective 2-state model [16]. While this abstraction inevitably implies a slight loss in accuracy, which affects the switching behavior of individual defects, it still provides accurate results for the mean degradation and has a very limited number of effective *physical* parameters. It will be shown that this 2-state NMP model describes charging of oxide defects with "90% accuracy at 10% complexity" and is applicable across technologies and stress polarities.

As a fundamental prerequisite to any physical reliability model, we show in Section II how the electrostatics of MOS structures are computed based on the material parameters of the channel and the gate stack and we give a summary of the defect models used in Comphy. In Section III we apply Comphy to a) a commercial 130 nm SiON technology to confirm the correctness of the model for NBTI degradation under DC and AC stress b) a commercial planar 28 nm high- $\kappa$  technology to discuss the NBTI and PBTI life time predictions, corroborated by a stress experiment covering 12 decades in stress time and c) to an imec technology targeting DRAM periphery devices with thick oxides to investigate the impact of gate stack engineering on PBTI and NBTI. Across all technologies we find very similar defect properties which enable unified modeling of NBTI and PBTI.

#### II. MODELING

The simulation of the threshold voltage  $V_{\rm th}$  for arbitrary time-dependent gate voltages  $V_{\rm G}$  and temperatures with Comphy is based on a description of the gate stack and channel properties as discussed in the first part of this section. It will be demonstrated that the mean degradation  $\Delta V_{\rm th}$  under all BTI conditions can be accurately captured by a 2-state NMP model and a simple double-well (DW) model as outlined in the second and third part of this section. The 2state NMP model accounts for charging of pre-existing oxide defects featuring their distinct bias dependence as verified experimentally using the TDDS technique. In addition to charge trapping in pre-existing oxide defects which constitutes the *recoverable component*, another degradation mechanism is frequently observed which is pronounced at NBTI conditions and typically has large recovery times. The latter is often referred to as permanent component or quasi-permanent component. This component is typically ascribed to defect generation. However, the underlying physical mechanisms are

less established. A simple double-well model with a field dependent energy barrier has been shown to capture the gist of experimental observations related to this component, at least at the present level of understanding.

For both defect models Comphy can compute the mean degradation in a deterministic fashion as well as the variability by Monte-Carlo sampling of individual defects [16]. For fast computation of high-frequency long-term AC signals, Comphy features a dedicated AC mode [26] to simulate the degradation of the two BTI components.

As discussed in the following subsection, the charges of oxide defects can be considered self-consistently in the Poisson equation which allows for accurate simulations at high charge densities with Comphy. For example this is important for accurate prediction of the degradation at device end of life (typically 10 years).

Previously, we found that a uniform defect density in the interfacial layer of scaled high- $\kappa$  technologies is a reasonable approximation to capture the degradation at BTI conditions [11]. However, our recent studies showed that this does not hold for technologies with thicker SiO<sub>2</sub> layers. From these technologies we deduce a significantly lower defect density further away from the channel interface. Accordingly, we approximate the defect density to be uniform in SiO<sub>2</sub> up to 0.6 nm away from the channel and neglect defects in SiO<sub>2</sub> which are further away from the channel.

When it comes to high- $\kappa$  technologies with aggressively scaled interfacial layers in the range of 0.6 nm, the SiO<sub>2</sub>



Fig. 1: Top: Amorphous  $SiO_2$  on top of a crystalline Si created following the approach introduced in [17] with silicon atoms (yellow spheres) and oxygen atoms (red spheres). **Bottom**: DFT simulation of this structure shows a gradual change in the density of states indicating that the band edges across this interface can be approximated with ramps.



**Fig. 2:** Example for a band profile of a high- $\kappa$  device. For additional accuracy, the transitional region at the Si/SiO<sub>2</sub> interface [18–20] and the SiO<sub>2</sub>/HfO<sub>2</sub> interface [21] is approximated with a 0.6nm and 0.3nm wide ramp, respectively. As a reference, the results for the band edges from density functional theory studies are plotted for the Si/SiO<sub>2</sub> interface (circles: scaled in energy to fit the SiO<sub>2</sub> band gap) [18] and for the SiO<sub>2</sub>/HfO<sub>2</sub> interface (diamonds) [21].

layer is merely a few atoms thick. To investigate the properties of such thin SiO<sub>2</sub> layers we employ DFT simulation. Using the scheme presented in [17] we create amorphous  $SiO_2$  on top of crystalline Si (see Fig. 1, top). Computing the density of states for this structure shows that the band edges across this interface can be approximated by a ramp between Si and SiO<sub>2</sub> (see Fig. 1, bottom). Accordingly, device simulations with abrupt interfaces would underestimate the tunneling coefficients, in particular for technologies with thin SiO<sub>2</sub> layers. Similar results from DFT simulations were obtained by other groups on crystalline SiO<sub>2</sub>, all indicating transition regions between Si and SiO<sub>2</sub> of about 0.4 to 0.6 nm [18-20]. In all Comphy simulations in this work we approximate this transition of the band edges with a 0.6 nm width which conveniently coincides with the region of high defect density in the  $SiO_2$ . Consistently, we also approximate the transition of the band edges between SiO<sub>2</sub> and HfO<sub>2</sub> with a ramp. Here we assume a transition region of 0.3 nm width [21], see Fig. 2.

## A. Electrostatics

Physical oxide defect modeling is based on the carrier densities at the channel/oxide interface and the electrostatic potential across the oxide. Comphy computes these values based on the input quantities listed in Table I and depicted in Fig. 3. As indicated by the references and respective equations in Table II, we compute the temperature dependent band gap and effective masses following [24] and [22] to obtain the carrier concentrations using the Joyce-Dixon approximation [23]. Finally, the electrostatic potential is calculated iteratively by approximating the space charge following [25].

While all defaults are set for silicon channels, arbitrary channel materials can be used as long as the description by the implemented equations is sufficiently accurate.

Furthermore, the charges of the defects can be considered in the Poisson equation self-consistently (SCP). Since this is computationally expensive, as the Poisson equation has to be solved for every time step, there is also a non-self-consistent mode (NCP) which accounts for the reduction in electric field due to the oxide charges, but does not consider the impact on the occupancy of this reduction self-consistently. This is done by subtracting  $\Delta V_{\text{th}}$  of the previous time step from  $V_{\text{G}}$ . Since this mode is already very accurate and much faster than the SCP mode, it is used as default in the following studies. Only the life time extrapolations are done with full accuracy using the SCP mode. The simulations using the dedicated fast AC mode, on the other hand, do not consider the reduction of the electric field due to oxide charges.

Note that since Comphy at this point is limited to BTI, the drain-source voltage is assumed to be zero which allows very efficient computation of a 1-dimensional cut.

Instead of calculating the surface potential  $V_s$  as shown in Fig. 3 the surface potential can also be given directly via an input table together with the Fermi level of the bulk. For example, this can be convenient for analysis using the CVC Hauser tool which computes the surface potential from CV curves [27] or other tools that compute the surface potential. However, the fixed surface potential is of course not compatible with the NCP or SCP mode.

## B. NMP Oxide Defect Model

The most fundamental properties of oxide defects are their spatial location  $x_{\rm T}$  and their defect level  $E_{\rm T}$  as depicted for a set of defects in Fig. 4, left. Defects below the Fermi level capture electrons and defects above the Fermi level emit electrons. However, for transient capture and emission events also the tunneling factors of the charge carriers and the energy barriers along the transition paths of the energy potential surface have to be considered [9]. For a 2-state defect this can be done efficiently using a harmonic approximation for the energy potential surface in both charge states 1 and 2 with the parabolic potentials

$$E_1 = E_{1,\min} + c_1 \left( q - q_1 \right)^2, \tag{1}$$

$$E_2 = E_{2,\min} + c_2 \left(q - q_2\right)^2,$$
(2)

with the energy minimas  $E_{1,\min}$  and  $E_{2,\min}$  located at  $q_1$  and  $q_2$  and the curvatures  $c_1$  and  $c_2$ . For a semiclassical transition [29], it is sufficient to describe these parabolas with the ratio of the curvatures  $R^2 = c_1/c_2$  and the relaxation energy  $S = c_1(q_2 - q_1)^2$  as depicted in Fig. 4, right. While R is approximated to be the same for all defects within a defect band, we assume the spatial distribution of defects within a defect band to be uniform with the defect density  $N_{\rm T}$ , while  $E_{\rm T}$  and S are assumed to be normally distributed (see Table III).

In the classical limit, the intersection of these parabolas give the energy barriers  $\varepsilon_{12}$  from state 1 to 2 and  $\varepsilon_{21}$  from state 2



Fig. 3: Based on the input quantities (see Table I) Comply computes the carrier concentrations n and p as well as the electrostatic potential  $\varphi$  following [22-25] as indicated in Table II.

TABLE I: Input quantities for computation of electrostatics in Comphy split in

TABLE	II:	Quantities	computed	in	Comphy

			1 2 1					
material parameters (upper part) and device specific parameters (lower part).				computed quantity		ref.		
input quantity		ref.	default unit		E <sub>G chan</sub>	band gap channel	[24] Eq. 17	
$E_{G0}$ $E_{G1}$ $m_1$ $m_{t0}$ $m_am_i$ $N_{cv0}$ $M_c$ $\varepsilon_{r,chan}$	band gap channel with temperature dependence longitudinal eff. mass cond. band transversal eff. mass cond. band coeff. for eff. mass val. band prefactor Eq. 4 & 5 in [24] number of cond. band minima rel. permittivity of the channel	[24] [24] [24] [24] [22] [24] [24]	$\begin{array}{c} 1.206 \\ -2.73 \times 10^{-4} \\ 0.1905 \\ 0.9163 \\ \text{see} \ [22] \\ 2.540933 \times 10^{25} \\ 6 \\ 11.68 \end{array}$	eV eV/K 1 1 m <sup>-3</sup> 1 1	$m_{n,eff}$ $m_{p,eff}$ $N_c$ $N_v$ $n_i$ $E_{f,bulk}$ $n_{bulk}$ Pbulk $O_s$	effective mass of electrons effective mass of holes effective density of states cond. band effective density of states val. band intrinsic carrier concentration Fermi level in channel bulk electron concentration channel bulk hole concentration channel bulk space charge	[24] Eq. 6 [22] Eq. 3 [24] Eq. 4 [24] Eq. 5	
$\frac{\mathcal{E}_{r,oxide}}{N_{a,chan}}$ $\frac{N_{d,chan}}{\Delta E_{w}}$ $x_{oxide}$ $V_{G}$	acceptor doping channel donor doping channel work function difference thickness of the oxide layer gate voltage			$ \begin{array}{c} 1\\ cm^{-3}\\ cm^{-3}\\ eV\\ m\\ V \end{array} $	$V_{ m s}$ $V_{ m s}$ $E_{ m ox}$ $\varphi$ $E_{ m f,chan}$ $n_{ m chan}$ $p_{ m chan}$	surface potential electric field in the oxide electrostatic potential in the oxide Fermi level of the channel electron concentration in the channel hole concentration in the channel	[25] [23] [23]	

**TABLE III:** Input quantities of the 2-state NMP model in Comphy. Values for various technologies are given in Table VII.

input quantity		unit
$\langle E_{\mathrm{T}} \rangle \pm \sigma_{\mathrm{E_{T}}}$	norm. distr. trap level	eV
$\langle S \rangle \pm \sigma_{\rm S}$	norm. distr. relaxation energy	eV
R	curvature ratio	1
$N_{\mathrm{T}}$	defect concentration	$\mathrm{cm}^{-3}$
$x_{T,min}, x_{T,max}$	spatial location of defect band	m

to 1 [9, 29]:

$$\varepsilon_{12} = \frac{S}{(R^2 - 1)^2} \left( 1 - R\sqrt{\frac{S + \Delta E_{12}(R^2 - 1)}{S}} \right)^2, \quad (3)$$

$$\boldsymbol{\varepsilon}_{21} = \boldsymbol{\varepsilon}_{12} - \Delta \boldsymbol{E}_{12}, \tag{4}$$

with the energy difference  $\Delta E_{12} = E_{2,\min}(V_G) - E_{1,\min}(V_G)$ .  $E_{1,\min}$  is a function of  $E_T$  and of the electrostatic potential while  $E_{2,\min}$  is the energy of the carrier at the reservoir e.g. the channel or the gate. Note the removable singularity for linear electron-phonon coupling (R = 1) [9].

For the example of the reservoir being electrons at the conduction band edge of the channel, the rate for transitions from state 2 to state 1 is [30]

$$k_{21} = n v_{\text{th},n} \sigma_{0,n} \vartheta_n e^{-\beta \varepsilon_{21}}$$
(5)

where *n* is the concentration of electrons in the channel,  $v_{\text{th,n}}$  their thermal velocity,  $\sigma_{0,n}$  their capture cross section,  $\vartheta_n$  their tunneling coefficient, and  $\beta = 1/k_BT$ . We approximate the capture cross sections to be constant and the same for electrons and holes for all oxide defects with a default value of

$$\sigma_0 = \sigma_{0,n} = \sigma_{0,p} = 2 \times 10^{-19} \text{cm}^{-2} \tag{6}$$

Based on the rates the bias dependent capture and emission

time constants can be calculated as

$$\frac{1}{\tau_{\rm c}} = \sum_{r=1}^{N_{\rm R}} k_{12,r},\tag{7}$$

$$\frac{1}{t_{\rm e}} = \sum_{r=1}^{N_{\rm R}} k_{21,r},\tag{8}$$

with the rates  $k_{12,i}$  from state 1 to state 2 and the sum over *r* indicating the various charge carrier reservoirs (with *R* being the number of reservoirs) which may interact with the oxide defect. For MOSFETs with a metal gate the carrier reservoirs are typically

- the valence band of the channel,
- the conduction band of the channel, and
- the metal gate.

The time constants for the defects shown in Fig. 4 are plotted in Fig. 5

Assuming donor-like defects, the occupancy  $p_1$  of the neutral state 1 and  $p_2$  of the positive state 2 can be calculated based on  $p_1 + p_2 = 1$  and the Master equation

$$\frac{\mathrm{d}p_1(t)}{\mathrm{d}t} = -p_1 \sum_{r=1}^{N_{\rm R}} k_{12,r} + p_2 \sum_{r=1}^{N_{\rm R}} k_{21,r} \tag{9}$$

again with the sum over all charge carrier reservoirs interacting with the oxide defect.

To compute  $\Delta V_{\text{th}}$  based on these occupancies, the charge sheet approximation can be used. In this simple approximation, the oxide charge is assumed to be spread over a charge sheet with the interface distance  $x_{\text{T}}$  [31]. For single layer oxides with thickness  $t_{\text{ox}}$ , width W, and length L,  $\Delta V_{\text{th}}$  evaluates to

$$\Delta V_{\rm th} = -q_{\rm t} \frac{t_{\rm ox} \left(1 - \frac{x_{\rm t}}{t_{\rm ox}}\right)}{\varepsilon_0 \varepsilon_{\rm r} W L} \tag{10}$$

where the charge of the oxide defect  $q_t$  is the product of the elementary charge and the occupancy of the positive charge



**Fig. 4:** The charge states of the defects are evaluated based on the device electrostatics and the individual parameters ( $E_T$ ,  $x_T$ , S, R) of the discrete defects. **Left**: A band diagram of a pMOS with oxide defects depicted according to their interface distance and defect level (circles). **Right**: Configuration coordinate (CC) diagrams of the defects with the neutral state 1 and the positive state 2. The blue and red lines show the potential energy surface in state 2 for interaction with the valance and conduction band, respectively. The potential energy surface in state 1 is shown for the mean value (solid black line) and the discrete defects (grey lines) corresponding to the defects shown in the band diagram. The two-state model considers one effective NMP transition (state  $1 \leftrightarrow 2$ ) with the energy barrier  $\varepsilon_{12}$  for hole capture and  $\varepsilon_{21}$  for hole emission. To better illustrate the distribution of the relaxation energy S, the coordinates of the energy minimas of state 1 were shifted accordingly.



Fig. 5: Bias dependent capture and emission time constants of the defects of Fig. 4 at T = 300 K. The pronounced bias dependence and the wide distribution of time constants is in line with experimental studies [9, 28].

state. For gate stacks with multiple layers a more general form of this equation is used.

In the above expressions it was assumed that the carriers are located at the band edges. A more accurate computation of the rates for the example of electrons is obtained by considering the density of states  $(D_n)$  and the distribution function of the carriers  $(f_n)$  in an integral over the energy as

$$k_{21}(E, E_{\rm T}) = \int_{E_{\rm c}}^{\infty} D_{\rm n} f_{\rm n} \vartheta_{\rm n} f_{21} \mathrm{d}E \tag{11}$$

where  $f_{21}(E)$  is the lineshape function [32].

As shown in Fig. 6, the band-edge approximation given in Equation (5) is reasonable in the regime of strong electronphonon coupling where the parabolas of the potential energy surfaces intersect between the minimas. However, if the ratio of the curvatures is such that there is no intersection of the parabolas above a certain  $V_G$  in the weak electron-phonon coupling regime (where the parabolas do not intersect between the minimas, see Fig. 7), the band-edge approximation fails to describe the transition rates. Since the computation of the rates with the energy integral is computationally expensive, we employ an efficient approximation of the barriers in the weak electron-phonon coupling regime (listed in Table IV). As shown in Fig. 6, this approximation gives much better results and is nearly as efficient to compute as the simple approximation which only considers carriers at the band edges.

## C. The "Quasi-Permanent" Component

There are several degradation mechanisms in MOS structures which are not covered by charging of pre-existing oxide defects as described by the 2-state NMP model:

- generation and annealing of oxide defects
- · activation and passivation of dangling bonds
- transformation of existing oxide defects (H relocation)
- · metastability of pre-existing oxide defects

Which of these mechanisms dominates the quasi-permanent component depends on the processes and stress conditions and is currently debated. The assumption of activation of dangling bonds at BTI conditions is frequently made [5] but is in contradiction with experimental studies [3].

In the following we introduce a simple double-well (DW) model which describes the quasi-permanent component reasonably well on a phenomenological basis. The physical correspondence of this model is probably related to hydrogen relocation but remains speculative at this point. Interpreting, refining or replacing this model with a physical model is the subject of future work.

The DW model has two charge states separated by the thermal barrier  $\varepsilon_{12}$  for charging positively and  $\varepsilon_{21}$  for charging neutrally as depicted in Fig. 8. While the latter is an input quantity in Comphy, the former depends on the electric field  $E_{\text{ox}}$  and the input quantities  $\gamma$  and  $\varepsilon_{12.0}$  as

$$\varepsilon_{12} = \varepsilon_{12,0} - \gamma E_{\text{ox}}.$$
(12)



**Fig. 6:** The capture and emission time constants of a defect ( $x_T = 2 \text{ nm}$ ,  $E_T = 0.7 \text{ eV}$ , S = 0.5 eV, R = 0.4) interacting with the channel of an nMOS with the same gate stack as shown in Fig. 4 at T = 300 K. Hole emission prevails at large  $V_G$  since  $\tau_e$  becomes small. At  $V_G = 1.1$  V there is a discontinuity in the time constants because there is no path along the parabolic potential energy surfaces for carriers from the conduction band (compare Fig. 7, left). Above  $V_G = 2.4$  V also the transition for carriers from the valence band is not possible (compare Fig. 7, right) if all carriers are assumed to be located at the valence band edge (dotted line). However, the potential energy surfaces of carriers at higher energies can still have an intersection resulting in a finite barrier which can be computed with the integral in energy (solid line). This is captured by a more advanced Fermi-Dirac approximation (dashed line) summarized in Table IV.



**Fig. 7:** The CC diagrams for the defect shown in Fig. 6. While there is no intersection with carriers in the conduction band below  $V_G = 1.1$  V (left), excited carriers in the valence band still have a finite barrier even above  $V_G = 2.4$  V (right).

**TABLE IV:** Barrier in the weak electron-phonon coupling regime in the Fermi-Dirac approximation in Comphy.

$\Delta E_{12}$	$\epsilon_{12}$ (val. band)	$\varepsilon_{12}$ (cond. band)	$\varepsilon_{12}$ (metal)
> 0eV	$\begin{array}{c} \Delta E_{12} \\ \text{Eq. 3} \end{array}$	Eq. 3	$\Delta E_{12}$
< 0eV		0eV	0 eV



**Fig. 8:** The double-well model has two charge states separated by the thermal barriers  $\varepsilon_{12}$  and  $\varepsilon_{21}$ , where the former depends on the electric field  $E_{ox}$ .

TABLE V: Input quantities of the double-well model in Comphy.

input quantity		default	unit
$\langle \varepsilon_{12,0} \rangle \pm \sigma_{\varepsilon_{12,0}}$	norm. distr. activation barrier	$2.5\pm0.5$	eV
$\langle \varepsilon_{21} \rangle \pm \sigma_{\varepsilon_{21}}$	norm. distr. passivation barrier	$2.0\pm0.5$	eV
$k_0$	transition rate for zero barrier	$1 \times 10^{13}$	$s^{-1}$
γ	field dep. of activation barrier	$5  imes 10^{-10}$	eVm/V
N <sub>it</sub>	defect concentration	$5  imes 10^{13}$	$\mathrm{cm}^{-2}$

Based on these barriers, the rates are computed with the input quantity  $k_0$  using Boltzmann factors:

$$k_{12} = k_0 \mathrm{e}^{-\beta \varepsilon_{12}},\tag{13}$$

$$k_{21} = k_0 \mathrm{e}^{-\beta \varepsilon_{21}}.\tag{14}$$

With these rates the transient occupancies of the two states can be computed in the same way as those of the 2-state NMP model described in the previous subsection. The impact on  $\Delta V_{\text{th}}$  can then be evaluated by weighting these occupancies with the interface state density  $N_{\text{it}}$  (compare Eq. 10). Table V summarizes the input quantities of the DW model and their distributions.

# III. APPLICATION

Comphy 1.0 is used throughout this work and all simulations can be fully reproduced using the material and defect parameters given in Tables VI and VII (in the Appendix). The following assumptions are used throughout all simulations presented in this work.

- The reduction of the electric field due to the charges in the oxide is considered, except for simulations using the dedicated fast AC simulation mode.
- pMOS and nMOS devices of the same technology are simulated with the same set of defects.
- For accurate and fast computation of the mean degradation we sample the defect distributions on a grid. For the 2-state NMP model we sample in space with  $\Delta x_{\rm T} = 0.1$  nm and in energy with  $\Delta E_{\rm T} = \Delta S = 0.1$  eV. The energy barriers of the DW model are sampled with  $\Delta \varepsilon_1 = \Delta \varepsilon_2 = 0.05$  eV. For both models, the tails of the normal distributions are cut off for probabilities below 0.001%. Note that in the band diagrams we depict a Monte Carlo sampling of the oxide defects for a more intuitive visualization.
- Based on the broad experimental data investigated here we extract two defect bands in SiO<sub>2</sub> and two in HfO<sub>2</sub>. Simulations are always conducted consistently with all defect bands. However, in the band diagram plots we do not *depict* defect bands if they do not contribute to the degradation at the respective stress condition.
- When a charge transition is observed experimentally, a positive shift of  $V_{\rm th}$  indicates electron capture of an oxide defect while a negative shift indicates the emission of an electron. However, it is difficult to determine whether a defect has donor-like or acceptor-like states. Since the main interest usually is with the *difference* of  $V_{\rm th}$ , this does not matter much. However, when it comes to self-consistent simulation of oxide charges, the net charge of

**TABLE VI:** Oxide material parameters used in these studies.  $E_{off}$  is given w.r.t. mid gap of the channel. Values based on [33].

input quantity		SiO <sub>2</sub>	SiON	HfO <sub>2</sub>	$Al_2O_3$	unit
$E_{ m G} \\ E_{ m off} \\ m_{ m t} \\ arepsilon_{ m r}$	band gap	9.0	9.0	5.8	7.0	eV
	valence band edge	-5.21	-5.21	-3.162	-3.6	eV
	tunnel mass	0.35	0.35	0.17	0.17	1
	rel. permittivity	3.9	6.0	20	9.1	1

oxides is crucial. Since the net charge of pristine devices is usually found to be rather low [1], we assume that the shallow bands are acceptor-like and the deep bands are donor-like since this gives the smallest net charge.

- The channel parameters used in these studies are all set to their defaults as given in Table I except for the dopings and the workfunction difference which were set based on CVC Hauser [27] fits of the respective CV curves. These values are given in the appendix together with the defect parameters in Table VII.
- The oxide material properties were approximated to be the same for all technologies (except for the thicknesses) and are given in Table VI.
- Defects interact with the valence and conduction band edge of the channel and with the metal gate. Poly gate depletion is neglected.
- The band edges at interfaces are approximated by ramps as described in Section II.

In the following Comphy is applied and verified on three distinct technologies:

- In Subection III-A a commercial 130nm technology with a 2.2nm thick SiON is investigated. Dedicated measurements are employed to calibrate the DW and the NMP model and the AC response is measured and simulated.
- In Subsection III-B a commercial 28 nm high-κ technology is studied to predict the life time for NBTI and PBTI corroborated by long-term experiments.
- In Subsection III-C implications of different oxide processes on devices with thick oxides as used in DRAM periphery logic are measured and simulated for both PBTI and NBTI.

While the first part is limited to NBTI on pMOS devices (PBTI is typically negligible for these technologies), the study of the other technologies comprises both, NBTI on pMOS and PBTI on nMOS devices.

#### A. NBTI degradation mechanisms and AC modeling

In this section, a commercial 130nm technology with a 2.2nm thick SiON oxide is analyzed. As with all technologies, all simulations in this subsection are done with the exact same Comphy parameters. First, we apply the Comphy framework to the extensive MSM data previously obtained on a pMOSFET [38] with different stress voltages ( $-V_G = 1.2, 1.7, 2.2, 2.7, 3.2V$ ), temperatures (T = 125 and  $170^{\circ}C$ ), and stress and recovery times in the range of 1 µs–100ks.

Some typical results from MSM experiments are shown in Fig. 9. In order to verify the contributions of the NMP and the DW model we employ short pulses into accumulation

Commercial SiON 130nm NBTI



Fig. 9: Band diagrams plotted at flatband voltage (left) and the degradation of  $V_{th}$  during stress and recovery from experiments (circles) and Comphy simulations (lines) (right). Times are plotted on log scale with each phase starting at 0.1 µs and the duration as given below. In the **upper panel**, all defects are simulated as indicated in the band diagram, while in the **middle panel** only NMP defects and in the **lower panel** only DW are considered.



**Fig. 10:** This experiment is dedicated to separate the degradation described by NMP and DW models. A regular stress phase (**left**) is followed by a pulse into accumulation (**middle**). During this pulse, mainly defects described by the NMP model are discharged and the remaining degradation observed in the subsequent recovery (**right**) is mostly quasi-permanent. By varying the stress times  $t_s$  and the pulse duration  $t_{pulse}$ , two components can be separated. While the experimental data (circles) is subject to some device-to-device variability [34], the Comphy simulations (lines) capture all features observed.



**Fig. 11:** Simulated stress for  $10^{10}$  s with the parameters as extracted above (lines) plotted together with the degradation obtained from MSM experiments with 1 µs delay (circles, no data available for -1.2 V at T = 25 and  $T = 125^{\circ}$ C). Because of the rather high activation energy, the quasi-permanent component is negligible at low temperatures. However, at higher temperatures and longer stress times there is a considerable increase in the time exponent due to this degradation mechanism. As explained in Subsection II-C, the detailed physical mechanisms are not fully understood but the effective activation energy is confirmed by dedicated studies (see Fig. 10). The impact of the measurement delay and the life time extrapolation is discussed in Subsection III-B.



**Fig. 12:** The time constants of the 130nm SiON technology depicted in a capture-emission time map. The capture times are given for stress ( $V_G^H = -2.7V$ ) while the emission times are given for recovery ( $V_G^L = -0.5V$ ), both at 125°C. While degradation at short stress times is dominated by 2-state NMP defects (lower left distribution), at large stress times the quasipermanent component is more dominant with its large emission times (upper right distribution).



Fig. 13: The same commercial 130nm SiON technology stressed with a saw tooth signal for 10ms (500Hz) (left) with subsequent recovery (right). Measurements are recorded during recovery (circles: [35]), while the full transient signal can be simulated with Comphy (lines in the bottom panels).



**Fig. 14:** The "S-shape" of the data pusblished in [36] is reproduced by Comphy simulations on the previously calibrated commercial 130nm SiON device. The simulations also consider the measurement delay of  $1 \,\mu$ s (solid line) and compare it to the degradation directly after stress (dashed line). At 100kHz oxide defects show a distinct frequency dependence due to metastable states which is considered in the effective 2-state NMP model accordingly [37].

after stress, as introduced previously [34]. Due to the distinct bias dependence of these two degradation mechanisms, this pulse discharges mainly NMP defects, allowing for a rough separation of the two components. As shown in Fig. 10, the experimental features are captured well by the two models.

In Fig. 11 the first recovery point of each MSM phase is plotted and compared to the degradation computed with Comphy for projected stress times up to  $10^{10}$  s. The short measurement delay of 1 µs is important for this comparison, as discussed in Subsection III-B. The agreement of experiment and simulation is good in general and also the increase of the slope at longer stress times is captured by the simulations. This feature is pronounced at low voltages and high temperatures (for example for  $V_{\rm G} = 1.7$  V at  $T = 170^{\circ}$ C) and originates from the quasi-permanent component, which is activated at high temperatures but not overshadowed by the recoverable component at these low voltages.

Capture-emission time maps conveniently visualize the time constants of defects at given stress conditions [39]. These maps can be directly computed from MSM data as they are simply another way of visualization. However, also the time constants of the defects simulated with Comphy can be visualized in this same way, as shown in Fig. 12. Here the two contributions and their distinct properties become visible: Oxide defects described with the 2-state NMP model are more recoverable, hence are mostly above the main diagonal with shorter emission times on average. The quasi-permanent component on the other hand is mostly below the main diagonal and has in general longer time constants at the stress conditions used in Fig. 12.

An example for simulating arbitrary AC signals with Comphy is given in Fig. 13. The experiment simulated here starts with a saw tooth signal at 500 Hz followed by a recovery where the  $\Delta V_{\text{th}}$  shift is recorded (data from [35]). Again the same model parameters were used to obtain good agreement to experimental data.

An "S-shape" is frequently reported for duty cycle dependences [36, 40]. To verify this with Comphy the experiment of [36] was simulated with the device calibrated above. At the frequency of 100kHz used here, the multistate frequency dependence of oxide defects becomes important. This feature is not covered by regular 2-state models, however, the 2state NMP model in Comphy features an analytic description to account for the increased capture time constants at high frequencies due to metastable states [37]. Using the time constants  $\tau_{c1} = 1 \text{ ms}$  and  $\tau_{e1} = 1 \mu \text{s}$ , which define the effect of the virtual third state in this description, a good agreement is obtained (see Fig. 14). For these simulations the dedicated fast AC simulation mode was used [26].

## B. Life time extrapolation

For a detailed study of accurate life time extrapolation we have conducted a three months measurement on a commercial planar 28 nm high- $\kappa$  technology (rapid thermal oxidation (RTO) interfacial layer, post deposition anneal (PDA) and TiN gate). Together with fast measurements with 1 µs measurement delay and further MSM experiments at different

voltages and temperatures for NBTI and PBTI we were able to extract the defect properties of this technology with high accuracy. Considering the charges self-consistently in the Poisson equation in Comphy allows for accurate modeling including the reduction of the electric field. All simulations in this subsection are done with the same parameters as listed in the appendix in Table VII.

PBTI can be captured well with two NMP defect bands, one in the interfacial layer and one in the high- $\kappa$  layer. In this technology, the latter governs the degradation at PBTI





Fig. 15: Band diagram plotted at flatband voltage (left) and the  $\Delta V_{th}$  during stress and recovery from experiments (circles) and Comphy simulations (lines) (right). Times are plotted on a logarithmic scale with each phase starting at 10 ms and the duration as given below. PBTI of this technology is governed by shallow oxide defects in the high- $\kappa$ . Additionally, some fast traps are located in the interfacial layer, however, they barely affect the degradation.



Fig. 16: Combining the first recovery points of the MSM data (circles) shown in Fig. 15 with data from experiments with 1  $\mu$ s measurement delay (rectangles) and a dedicated long term experiment (diamonds), gives degradation during stress spanning 12 decades in time. While the power law cannot fit this data, the Comphy simulations with oxide defects considered self-consistently in the Poisson equation (SCP) can very well reproduce the data consistently for different temperatures and voltages with the same model parameters.



**Fig. 17:** The PBTI life time using a 30mV criterion for the commercial planar 28 nm technology with the same parameters as used throughout Subsection III-B. As shown in detail in Fig. 16 the power law gives a pessimistic life time extrapolation while the simulations with Comphy fit the stress data across 12 decades in time and allow a more accurate life time prediction.



**Fig. 18:** Band diagram plotted at flatband voltage (**left**) and  $\Delta V_{th}$  during stress and recovery from experiments (circles) and Comphy simulations (lines) (**right**). Times are plotted on a logarithmic scale with each phase starting at 10ms and the duration as given below. NBTI of this technology is governed by deep oxide defects in the interfacial layer and by DW defects. Additionally, some deep traps in the high- $\kappa$  layer give a small contribution to the degradation.

#### Foundry planar 28 nm NBTI



Fig. 19: The same data as in Fig. 18 but only the first measurement point of each relaxation phase is plotted as a function of the stress time (circles). Due to the fast recovery after NBTI stress, there is a substantial deviation from the Comphy simulations with stress only (thick lines). However, if the measurement delay is included in the Comphy simulations (thin lines and crosses) a good agreement with the data is obtained. A strong dependence of the obtained power law exponent on the measurement delay is apparent. While the power law with  $n \approx 0.16$  fits the data with 1.5 ms measurement delays as shown in Fig. 20.



Fig. 20: The same setup as in Fig. 19 but simulated for different measurement delays. The extracted power law exponent varies between  $n \approx 0.105$  and 0.174, which shows the significant impact of the measurement delay on life time extrapolations based on power law fits as shown in Fig. 21.

conditions which makes a precise calibration of the defects in the interfacial layer difficult. More suitable for an accurate calibration of these defects are devices with a thick  $SiO_2$  layer as demonstrated in Subsection III-C. We apply very similar parameters here accordingly to get a good agreement for the MSM data with up to 100ks stress and 63ks recovery shown in Fig. 15.

Combining the MSM data shown in Fig. 15 with stress experiments with  $1 \mu s$  measurement delay and with a long-term experiment gives degradation data covering 12 decades in time. As shown in Fig. 16 the NMP defects simulated with



**Fig. 21:** The NBTI life time using a 30mV criterion for the commercial planar 28nm technology with the same parameters as used throughout Subsection III-B. As shown in detail in Fig. 20 the extracted power law exponent depends on the measurement delay. An accurate life time prediction with power law extrapolations can only be obtained with short measurement delays, otherwise the power law gives pessimistic life time predictions.

Comphy are in good agreement for all stress conditions across the full range of stress times. The effect of the reduction of the electric field due to the oxide charges is visible for larger degradation and has to be considered for accurate life time prediction. Some groups report a power law exponent for PBTI of  $n \approx 0.16$  [41], however, this is only valid in a very narrow stress time window. For short stress times and long stress times the power law with  $n \approx 0.16$  does not fit the data which leads to a significant underestimation of the life time as apparent in Fig. 16 and shown in detail in Fig. 17.

NBTI of the same commercial 28 nm technology is governed by different defects compared to PBTI. As shown in the previous NBTI study in Subsection III-A, deep defects in the interfacial layer contribute to the degradation and the development of a quasi-permanent component is also observed. Additionally, a weak contribution of deep defects in the high- $\kappa$ is deduced from detailed studies of this defect band on other technologies (see Subsection III-C). These three components were optimized to fit the MSM data as shown in Fig. 18. The recovery after NBTI stress is quite different from recovery after PBTI stress (see Fig. 15). The fast recovery of the defects after NBTI stress makes the measurement delay after stress crucial for NBTI studies. Fig. 19 shows the long term stress for NBTI. In addition to simulations with constant stress, we also simulate the measurement procedure including the measurement delay. This results in good agreement with experimental data and shows the importance of the measurement delay. Same as for PBTI, some groups report a power law exponent of  $n \approx 0.16$  if corrected for the measurement delay [42]. While this works reasonably well for the NBTI data of this commercial 28nm technology with a measurement delay of 1.5 ms, there is a considerable deviation of this power law fit with  $n \approx 0.16$  for shorter measurement delays as shown in Fig. 20. The impact of the measurement delay on the life time prediction is shown in Fig. 21. While the power

law gives a reasonably accurate life time estimation if based on measurements with short measurement delay, it gives a pessimistic estimation for longer measurement delays.

# C. Gate Stack Engineering

The solid physical foundation of Comphy allows for insights into process dependences of oxide defects and enables path finding and gate stack engineering. In this subsection we analyze the oxide defects of devices with thicker oxides as used for DRAM periphery logic. While these technologies are substantially different from those analyzed in the previous sections, Comphy gives consistent results and captures all effects originating from different processes with  $HfO_2$  and  $Al_2O_3$  deposition, removal, and anneals for both, NBTI and PBTI.

Of particular interest in these studies is a phenomenon called "anomalous PBTI" which is typically observed on oxides with an additional thin oxide layer on top of the high- $\kappa$  stack [43–45]. In the following we quantitatively confirm that this anomalous degradation is "due to charge exchange between the high- $\kappa$  layer and the metal gate" as speculated in [44].

Starting with PBTI, a technology with a plain 5nm thick in situ steam generated (ISSG) SiO<sub>2</sub> allows for characterization of the shallow defects in SiO<sub>2</sub>. Because of their low defect density they give a weak PBTI response, but can be well calibrated as shown in the first part of Fig. 22. Next we characterize a technology with the same SiO<sub>2</sub> but with 20 cycles of atomic layer deposited (ALD) HfO2 on top (corresponding to a thickness of about 2nm) and a TaN/TiN metal gate without dedicated temperature treatments. Only minor effects of this processing step on the defects in the SiO<sub>2</sub> are expected and the considerable increase of degradation during PBTI can be traced back to defects in the HfO2. Consistent with the studies in Subsection III-B we find two defect bands in the HfO<sub>2</sub> responsible for this degradation. In the commercial planar 28 nm technology, the deep band in the HfO<sub>2</sub> contributes to degradation at NBTI conditions, but not at PBTI conditions. This is different for the DRAM periphery technology because of the different alignment of the energies due to the thick SiO<sub>2</sub>. These deep defects in the HfO<sub>2</sub> cause a peculiar feature which can be observed at the last recovery phase at high stress voltages in the second part of Fig. 22: After an initial recovery, the device degrades during the recovery phase. This is due to electron capture of the deep defects in the HfO<sub>2</sub> from the gate. This effect is more evident in the third part of Fig. 22 where we analyze the same technology but with additional 4 cycles of ALD Al<sub>2</sub>O<sub>3</sub> (about 0.4 nm) on top of the HfO<sub>2</sub>. Again, the oxide was not annealed, so there should be no significant diffusion in the oxide. While the Al<sub>2</sub>O<sub>3</sub> layer itself has defect states, their impact will be rather small because the layer is very thin and far away from the channel. However, this layer changes the alignment of the deep defects in the HfO<sub>2</sub> and the Fermi level of the gate. This strongly supports the electron capture from the gate during recovery, which was rather weak previously. Together with the regular degradation caused by the shallow defects in the HfO<sub>2</sub> and  $SiO_2$ , a peculiar degradation is observed on these devices: At low stress voltages  $V_{\text{th}}$  shifts towards negative voltages and only at higher stress voltages the usual PBTI degradation of  $V_{\text{th}}$  towards positive voltages is observed.

NBTI is studied on the same technologies as discussed for PBTI above. The degradation of the plain 5nm ISSG SiO<sub>2</sub> device can be captured well with similar defect bands as in the previous NBTI studies in Subsections III-A and III-B as shown in the first part of Fig. 23. The degradation of the device with the additional HfO<sub>2</sub> layer on top is consistent with these defects and increases further due to the deep defect band in the HfO<sub>2</sub>. The shallow defects in the HfO<sub>2</sub> cannot capture electrons at NBTI conditions, hence, are not characterized in this part. While the deep defects in the HfO<sub>2</sub> capture electrons from the gate during recovery after PBTI stress, the same defects can emit electrons during recovery after NBTI stress. This causes the aforementioned anomalous shift of  $V_{\rm th}$  towards positive voltages during NBTI stress. While the effect is rather small for the device with without the  $Al_2O_3$  layer (second part of Fig. 23), the difference of the alignment in energy due to the Al<sub>2</sub>O<sub>3</sub> layer again supports this effect. In fact, in the last recovery phase at low stress voltage a small degradation during recovery (anomalous degradation) can be observed (see last part of Fig. 23).

In another split of this technology the  $Al_2O_3$  layer is selectively removed and a reliability anneal is conducted. Without the  $Al_2O_3$  layer on top, the alignment of the deep defects in the HfO<sub>2</sub> with the Fermi level of the gate becomes again tighter. In perfect agreement with the simulations above, this reduces the anomalous degradation as shown in Fig. 24. Possibly, the diffusion of the aluminum during the anneal affects the defects leading to a slightly different degradation of these devices compared to the one with no  $Al_2O_3$  process step. Accordingly, the life time simulations (see Fig. 25) of the splits without  $Al_2O_3$  and with the removed  $Al_2O_3$  are similar, while the devices with  $Al_2O_3$  in place shows a distinct life time .

## D. Extracted Defect Bands

Four oxide defect bands were extracted in this study as summarized in Fig. 26 and listed in detail in the appendix in Table VII. Since there are stress conditions where not every band contributes to the degradation, the extraction has to be based on carefully designed experiments on both, nMOS and pMOS devices. This yields accurate and consistent defect properties, meaning that a device with these four bands gives consistent results for various PBTI and NBTI conditions.

In SiO<sub>2</sub> we have confirmed the frequently reported deep defects about 1.35 eV below the Si mid gap with a rather high defect density, dominating the recoverable component of NBTI [11, 16]. This defect band appears less pronounced in ISSG SiO<sub>2</sub>, maybe related to the limited thermal budget. Processes with higher thermal budged all show very similar defect properties.

The shallow defects in  $SiO_2$  about 1 eV above Si mid gap have a very low defect density. They are not crucial for usual reliability considerations and rarely studied. Still, there is clear evidence as shown in Subsection III-C where they give a PBTI



Fig. 22: Band diagrams plotted at flatband voltage (left) and  $\Delta V_{th}$  during stress and recovery from experiments (circles) and Comphy simulations (lines) (right). Times are plotted on logarithmic scales with each phase starting at 1 ms and the duration as given below. The 1<sup>st</sup> panel shows the degradation of a plain SiO<sub>2</sub> device. At PBTI conditions, this degradation is very weak because of the small defect density of shallow defects in the SiO<sub>2</sub>. The 2<sup>nd</sup> and 3<sup>rd</sup> panel show the degradation of the same device as in the 1<sup>st</sup> panel but with 20 cycles of ALD HfO<sub>2</sub> on top. This additional layer causes a pronounced degradation for PBTI stress. The 4<sup>th</sup>, 5<sup>th</sup> and 6<sup>th</sup> panel show the degradation of the same device but with additional 4 cycles of ALD Al<sub>2</sub>O<sub>3</sub> after the 20 cycles HfO<sub>2</sub> ALD. While for electrostatic reasons this additional Al<sub>2</sub>O<sub>3</sub> layer does not cause a significant degradation itself, it affects the alignment of defects in the HfO<sub>2</sub> with the Fermi level of the gate and thereby leads to an anomalous degradation [43–45].



Fig. 23: Band diagrams plotted at flatband voltage (left) and  $\Delta V_{th}$  during stress and recovery from experiments (circles) and Comphy simulations (lines) (right). Times are plotted on logarithmic scales with each phase starting at 1 ms and the duration as given below. The 1<sup>st</sup> and 2<sup>nd</sup> panel show the degradation of a plain SiO<sub>2</sub> device which is governed by deep defects in the SiO<sub>2</sub> and the degradation described by the double-well model. The 3<sup>rd</sup> and 4<sup>th</sup> panel show the degradation of the same device as in the 1<sup>st</sup> and 2<sup>st</sup> panel but with 20 cycles of ALD HfO<sub>2</sub> on top. This additional layer causes further degradation because of the deep defect band in HfO<sub>2</sub>. The 5<sup>th</sup> and 6<sup>rd</sup> panel show the degradation of the same device of ALD Al<sub>2</sub>O<sub>3</sub> after the 20 cycles HfO<sub>2</sub> ALD. Similar to the case for PBTI, this additional Al<sub>2</sub>O<sub>3</sub> layer does not cause a significant degradation cannot be observed of defects in the HfO<sub>2</sub> with the Fermi level of the gate. However, at the NBTI conditions investigated here, the anomalous degradation cannot be observed directly because it is overshadowed by the regular degradation originating from defects in the SiO<sub>2</sub>. Still, there is evidence in the experimental data for this mechanism visible as a slight reversal in the last recovery phases at low stress voltages.



10<sup>9</sup> 10 years time for  $\Delta V_{\text{th}} = 30 \text{mV}$  [s]  $10^{6}$  $T = 25^{\circ}C$ NBTI PBTI SiO<sub>2</sub> poly  $10^{-6}$ HfO2 TaN/TiN HfO<sub>2</sub> Al<sub>2</sub>O<sub>3</sub> TaN/TiN HfO2 Al2O3 rem TaN/TiN  $10^{0}$ -10-810 \_9 g -66 8  $E_{\rm ox}$  [MV/cm]  $E_{\rm ox}$  [MV/cm]

**Fig. 24:** The measured oxide field dependence of the degradation after 1.27 ks of stress (circles) is in good agreement with the simulations (lines) for all technologies for both, NBTI and PBTI. In the technology where the Al<sub>2</sub>O<sub>3</sub> layer is in place, the alignment of the defects in the HfO<sub>2</sub> and the Fermi level of the gate is loosened. This causes an anomalous degradation which in the case of PBTI is so pronounced that a negative net degradation can be observed. After removal of the Al<sub>2</sub>O<sub>3</sub> layer, this effect is reverted, all in perfect agreement with Compyh simulations using consistent defect bands.

**Fig. 25:** The simulated life time of the four technologies analyzed in this subsection. Due to the small density of shallow defects in the SiO<sub>2</sub> layer, the PBTI life time of the plain SiO<sub>2</sub> devices is beyond this scale  $(10 \times 10^{10} \text{ s})$ . The technology with the Al<sub>2</sub>O<sub>3</sub> layer on top behaves quite differently because of the distortion of the energy alignments, while the small difference of the other two high- $\kappa$  technologies is due to an anneal and possibly the diffusion of aluminum.



**Fig. 26:** The defect bands extracted in  $HfO_2$  and  $SiO_2$  are similar across all technologies studied in this work and enable unified modeling of BTI on pMOS and nMOS devices. Most prominent is the shallow band in the  $HfO_2$  which is largely responsible for PBTI and the deep band in  $SiO_2$  which governs NBTI degradation. Still, there is clear evidence for a deep band in  $HfO_2$  and a shallow band in  $SiO_2$ . Regarding the impact of processes on the defect properties, a clear shift of the shallow defects in  $HfO_2$  towards higher defect levels can observed after a reliability anneal. Furthermore, there seems to be a correlation of the thermal budget with the density of the deep defects in  $SiO_2$  and processes involving  $Al_2O_3$  seem to affect the deep band in  $HfO_2$ . Dedicated studies are required to elaborate these observations.

response on a plain  $SiO_2$  oxide. Experimental PBTI data on thin SiON devices stressed at rather high oxide fields is in line with this observation [46].

In HfO<sub>2</sub> a shallow defect band is commonly observed since it severely affects life time at PBTI conditions. In line with our previous study we see a trend of this defect band shifting towards higher energies after anneals [16]. While the mean trap level is around 0.8 eV for technologies without a dedicated reliability anneal, it is close to 1.2 eV above Si mid gap for those with such an anneal.

The deep defects in the HfO<sub>2</sub> have a considerable density, however, in many technologies they are not important due to their deep trap levels. As shown in Subsection III-C there are gate stacks where they can have a severe effect and they have to be considered accordingly. The removal of the  $Al_2O_3$ layer does not restore the behavior completely and we observe slightly lower trap levels and reduced relaxation barriers after processes where  $Al_2O_3$  is involved. A careful study with broader experimental data is necessary to elaborate the effect of annealing and diffusion of aluminum here.

# IV. CONCLUSIONS

Reliability phenomena increasingly dictate advances in the semiconductor industry. This led to intensive research which revealed fundamental oxide degradation mechanisms. Detailed models were proposed which try to capture the common root source of various reliability phenomena, making such universal physical models very attractive. However, adopting these models for standard reliability analyses is difficult because of their inherent complexity. The Comphy framework we have presented here targets the sweet spot between "fundamental physical mechanisms" and "fast, convenient, and scriptable tool". This framework computes  $V_{\text{th}}$  of MOS structures with physical channel properties and arbitrary physical gate stacks based on an effective 2-state NMP model for the recoverable component and a simple double-well model for the quasipermanent part.

We have demonstrated its capabilities to a unified modeling of BTI on three distinct technologies. AC modeling was demonstrated on a commercial 130 nm SiON technology. Long-term BTI and life time extrapolation was investigated on a commercial 28 nm high- $\kappa$  technology. Finally, different splits of a technology for DRAM periphery devices were studied and the anomalous degradation due to a thin Al<sub>2</sub>O<sub>3</sub> layer on top of a high- $\kappa$  stack was investigated.

All simulations on both pMOS and nMOS devices use consistent defect bands and all simulations can be fully reproduced with the parameters listed in Tables VI and VII using Comphy 1.0 [47]. We confirmed that NBTI is dominated by a deep defect band in the SiO<sub>2</sub> and that PBTI is governed by shallow defects in the HfO<sub>2</sub>. Additionally, we found a shallow defect band in SiO<sub>2</sub> which is responsible for weak PBTI and a deep defect band in HfO<sub>2</sub> which can affect devices with advanced gate stacks.

#### **ACKNOWLEDGMENTS**

Stimulating discussions with Hans Reisinger, Gunnar Rott, Adrian Vaisman Chasin, Franz Schanovsky, and Wolfgang Goes are gratefully acknowledged. The research leading to these results has received funding from the FFG project n°861022 and the Austrian Science Fund (FWF) project n°i2606-N30. GTS (Global TCAD Solutions) is acknowledged for support.

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#### V. APPENDIX

**TABLE VII:** Details of the technologies presented in this study together with all technology dependent Comphy parameters. The same defect parameters were used for pMOS and nMOS transistors of the same technology. Material default parameters which are the same for all technologies are listed in Tables I and VI. The capture cross sections are  $\sigma_{0,p} = 2 \times 10^{-19} \text{ cm}^{-2}$ .

			Commercial SiON 130 nm	imec DRAM periphery	imec DRAM periphery	imec DRAM periphery	imec DRAM periphery	Foundry planar 28 nm
x	SiO <sub>2</sub> HfO <sub>2</sub> Al <sub>2</sub> O <sub>3</sub>		SiON - -	ISSG - -	ISSG ✓	ISSG ✓ ✓	ISSG ✓ removed	RTO ✓
technolog details	$\begin{array}{c} \text{gate} \\ \text{EOT} & \text{nm} \\ \hline V_{\text{th},0} \text{ nMOS} & V \\ \hline V_{\text{th},0} \text{ pMOS} & V \\ \hline V_{G,\text{fb}} \text{ nMOS} & V \\ \hline V_{G,\text{c}} \text{ pMOS} & V \\ \hline \end{array}$	poly 1.43 -0.431 0.532	poly 5 0.375 -0.469 -0.921 1.04	TaN/TiN 4.94 0.952 -0.929 -0.516 0.579	TaN/TiN 5.07 1.25 -0.759 -0.35 0.716	TaN/TiN 4.9 1.11 -0.755 -0.464 0.705	TiN 1.41 0.438 -0.404 -0.826 0.523	
input parameters	$N_{\rm A} \text{ nMOS} \\ N_{\rm D} \text{ pMOS} \\ \Delta E_{\rm w} \text{ nMOS} \\ \Delta E_{\rm w} \text{ pMOS} \\ x_{\rm SiO_2} \\ x_{\rm HfO_2} \\ x_{\rm Al_2O_3}$	$cm^{-3}$ $cm^{-3}$ eV eV nm nm nm	2×10 <sup>17</sup> 0.1 2.2	$\begin{array}{c} 2.8 \times 10^{17} \\ 5.5 \times 10^{17} \\ -0.48 \\ 0.581 \\ 5 \\ - \\ - \\ - \end{array}$	$5 \times 10^{17} \\ 5.6 \times 10^{17} \\ -0.06 \\ 0.12 \\ 4.55 \\ 2 \\ -$	$\begin{array}{c} 6.77 \times 10^{17} \\ 4.9 \times 10^{17} \\ 0.114 \\ 0.261 \\ 4.55 \\ 1.8 \\ 0.4 \end{array}$	$\begin{array}{c} 6.77 \times 10^{17} \\ 4.9 \times 10^{17} \\ 0 \\ 0.25 \\ 4.55 \\ 1.8 \\ - \end{array}$	$\begin{array}{c} 1.59 \times 10^{18} \\ 1.4 \times 10^{17} \\ -0.34 \\ 0.1 \\ 1 \\ 2.1 \\ -\end{array}$
shallow SiO <sub>2</sub>	$egin{aligned} & N_{\mathrm{T}} \ \langle E_{\mathrm{T}}  angle \pm \sigma_{\mathrm{E}_{\mathrm{T}}} \ \langle S  angle \pm \sigma_{\mathrm{S}} \ R \ \end{pmatrix} \end{array}$	$cm^{-3}$ eV eV 1	- - -	$\begin{array}{c} 1.93 \times 10^{19} \\ 0.998 \pm 0.162 \\ 4.93 \pm 1.99 \\ 0.437 \end{array}$	$\begin{array}{c} 1.93 \times 10^{19} \\ 0.998 \pm 0.162 \\ 4.93 \pm 1.99 \\ 0.437 \end{array}$	$\begin{array}{c} 1.93 \times 10^{19} \\ 0.998 \pm 0.162 \\ 4.93 \pm 1.99 \\ 0.437 \end{array}$	$\begin{array}{c} 1.65 \times 10^{19} \\ 1.01 \pm 0.218 \\ 3.82 \pm 1.36 \\ 0.407 \end{array}$	$\begin{array}{c} 1.5\times 10^{19} \\ 1.13\pm0.15 \\ 3.82\pm1.36 \\ 0.407 \end{array}$
deep SiO <sub>2</sub>	$egin{aligned} & N_{\mathrm{T}} \ & \langle E_{\mathrm{T}}  angle \pm \sigma_{\mathrm{E}_{\mathrm{T}}} \ & \langle S  angle \pm \sigma_{\mathrm{S}} \ & R \ & R \end{aligned}$	cm <sup>-3</sup> eV eV 1	$\begin{array}{c} 1.42\times 10^{20} \\ \text{-}1.36\pm0.26 \\ 7.95\pm3.5 \\ 2.59 \end{array}$	$\begin{array}{c} 3.54 \times 10^{19} \\ -1.36 \pm 0.24 \\ 5.63 \pm 2.67 \\ 2.25 \end{array}$	$\begin{array}{c} 5.5 \times 10^{19} \\ \text{-}1.36  \pm  0.24 \\ 5.63  \pm  2.67 \\ 2.25 \end{array}$	$\begin{array}{c} 1\times 10^{20} \\ -1.38\pm 0.2 \\ 5.63\pm 2.67 \\ 2.25 \end{array}$	$\begin{array}{c} 1.42 \times 10^{20} \\ -1.4  \pm  0.21 \\ 5.63  \pm  2.67 \\ 2.25 \end{array}$	$\begin{array}{c} 1.42\times 10^{20}\\ -1.26\pm0.24\\ 5.63\pm2.67\\ 1.8\end{array}$
shallow HfO <sub>2</sub>	$egin{aligned} & N_{\mathrm{T}} \ \langle E_{\mathrm{T}}  angle \pm \sigma_{\mathrm{E}_{\mathrm{T}}} \ \langle S  angle \pm \sigma_{\mathrm{S}} \ R \ R \end{aligned}$	cm <sup>-3</sup> eV eV 1	- - -	- - - -	$\begin{array}{c} 3.61 \times 10^{20} \\ 0.794 \pm 0.169 \\ 6.98 \pm 0.511 \\ 0.692 \end{array}$	$\begin{array}{c} 4.11 \times 10^{20} \\ 0.857 \pm 0.189 \\ 6.45 \pm 0.34 \\ 0.659 \end{array}$	$\begin{array}{c} 2.5 \times 10^{20} \\ 1.15  \pm  0.15 \\ 6  \pm  0.6 \\ 0.61 \end{array}$	$\begin{array}{c} 5.52\times 10^{20}\\ 1.2\pm0.156\\ 3.19\pm0.77\\ 0.587\end{array}$
deep HfO <sub>2</sub>	$egin{aligned} & N_{\mathrm{T}} \ \langle E_{\mathrm{T}}  angle \pm \sigma_{\mathrm{E}_{\mathrm{T}}} \ \langle S  angle \pm \sigma_{\mathrm{S}} \ R \ R \end{aligned}$	$cm^{-3}$ eV eV 1	- - -	- - -	$\begin{array}{c} 6.63 \times 10^{20} \\ \text{-0.292} \pm 0.158 \\ 5.81 \pm 1.74 \\ 1 \end{array}$	$\begin{array}{c} 6.72 \times 10^{20} \\ \text{-}0.444 \pm 0.15 \\ 3.55 \pm 0.741 \\ 1 \end{array}$	$\begin{array}{c} 6.72 \times 10^{20} \\ \text{-}0.444  \pm  0.15 \\ 3.55  \pm  0.741 \\ 1 \end{array}$	$\begin{array}{c} 2.95\times 10^{20}\\ \text{-}0.17\pm0.14\\ 6.5\pm1.99\\ 0.514 \end{array}$
double well	$egin{aligned} & N_{\mathrm{T}} \ \langle oldsymbol{arepsilon}_1  angle \pm \sigma_{arepsilon_1} \ \langle oldsymbol{arepsilon}_2  angle \pm \sigma_{arepsilon_2} \ k_0 \ \gamma \end{aligned}$	$cm^{-2}$ $eV$ $eV$ $s^{-1}$ $eV$ $m/V$		$\begin{array}{c} 4.5 \times 10^{13} \\ 2.51 \pm 0.376 \\ 1.9 \pm 0.5 \\ 1 \times 10^{13} \\ 4.8 \times 10^{-10} \end{array}$	$\begin{array}{c} 4.5 \times 10^{13} \\ 2.46 \pm 0.376 \\ 1.9 \pm 0.5 \\ 1 \times 10^{13} \\ 4.8 \times 10^{-10} \end{array}$	$\begin{array}{c} 4.5\times10^{13}\\ 2.34\pm0.395\\ 1.9\pm0.5\\ 1\times10^{13}\\ 4.5\times10^{-10}\\ \end{array}$	$\begin{array}{c} 4.5\times10^{13}\\ 2.33\pm0.376\\ 1.9\pm0.5\\ 1\times10^{13}\\ 4.5\times10^{-10}\\ \end{array}$	