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Full D-Band Superstrate-Enhanced Transition for Rectangular Waveguide-to-PCB Interfacing

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ABSTRACT

A transition from air-filled rectangular waveguide (RWG) to substrate-integrated waveguide (SIW) is proposed that covers the entire D-band (110–170 GHz). Broadband operation is obtained by leveraging a resonant slot and an aperture-coupled patch antenna-in-waveguide, while co-optimisation with a dielectric-loading superstrate matches both resonances to the RWG and ensures full D-band operation. Special attention is devoted to the stringent design restrictions that originate from its implementation in a standard any-layer high density interconnect printed circuit board manufacturing process at D-band frequencies, omitting inductive via posts, to maximise the robustness of the design against fabrication tolerances. Measurements of the RWG-to-SIW transition demonstrate a fractional bandwidth of 46%, effectively covering the entire D-band, while ensuring a low insertion loss of 0.95 ± 0.15 dB.

1 | Introduction

Large-scale D-band (110–170 GHz) antenna arrays with highly directive adaptive beams are poised to play a pivotal role in next-generation communication and sensing systems [1]. To enable high data rates and low latency by fully exploiting the substantial bandwidths available within the D-band, several highly directive and efficient air-filled antenna arrays have been proposed, implemented through computerised numerical control (CNC) milling [2], 3D printing [3] or silicon micromachining [4]. Compactly integrating these arrays with steering electronics, however, requires a hybrid approach [5, 6], where such electronics are integrated on a printed circuit boards (PCBs) carrier. This, in turn, necessitates efficient, wideband transition structures to interface the on-PCBs steering electronics to rectangular waveguide (RWG) array structures.

Typically, such PCBs consist of several thin substrate layers to route the control and power lines [7] to the active electronics of the aforementioned communication and sensing circuits. To

achieve a transition featuring a wide impedance bandwidth with minimum layer count on these PCBs carriers, end-launch transition designs [8–11] can be leveraged, though such substrate-integrated waveguide (SIW)-to-RWG transitions inevitably suffer from a large footprint in the planar dimensions and require a split-block implementation. Alternatively, in surface-mount transition designs [10, 12–23], enhancing the bandwidth is linked to the number of required layers. This leads to compact footprints, but increases insertion loss (IL) and manufacturing costs. Additionally, many of these designs employ inductive posts [12, 24, 25] or depth routing [25] to enhance the bandwidth, further complicating their design and making them more vulnerable to manufacturing tolerances, particularly at frequencies beyond 100 GHz [1, 26]. Finally, minimising the layer number, footprint and loss over a wide impedance bandwidth becomes imperative to enable a high performance, widely deployable transition.

We propose and validate a novel broadband RWG-to-SIW transition operating over the full D-band (110–170 GHz). By incorporating an aperture-coupled resonant patch with a resonant slot

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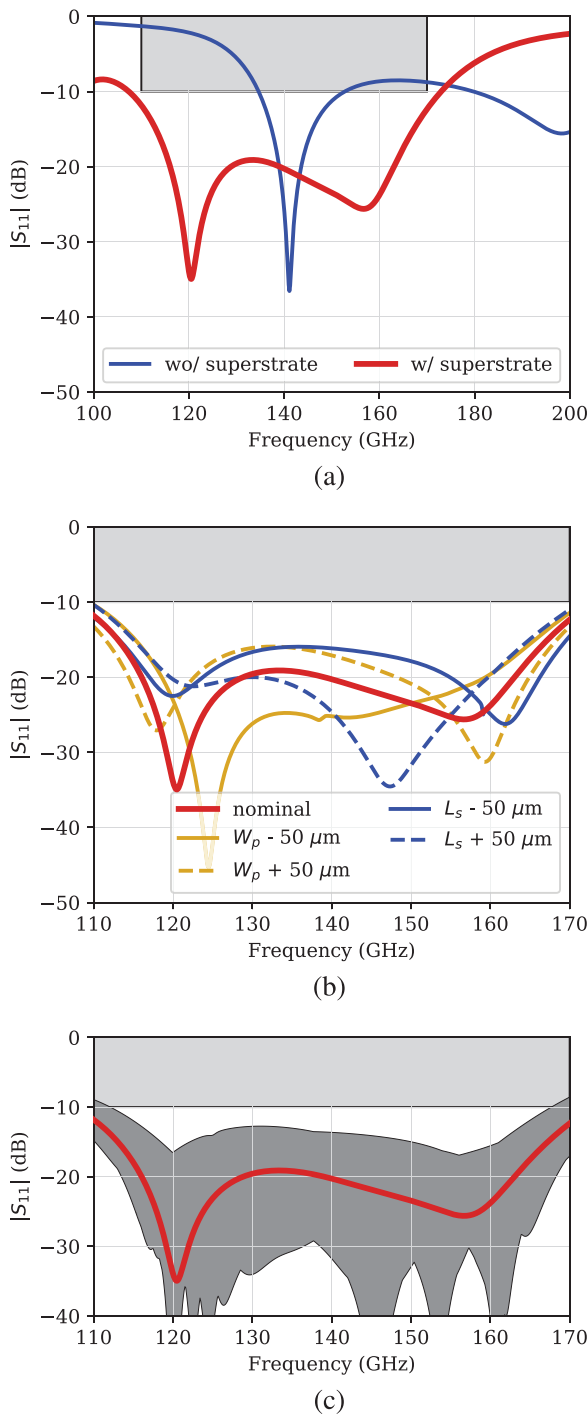


FIGURE 2 | Reflection coefficient $|S_{11}|$ of the RWG-to-SIW transition (a) indicating the nominal behaviour of the proposed transition (red curve) as well as its optimised performance when omitting the superstrate layer (b) effects of variations in the slot and patch dimensions, indicating their role in controlling the resonance frequencies and (c) variation analysis on all relevant transition dimensions, indicating the transition performance under the maximum expected fabrication tolerances.

indicated. The slot couples the TE_{10} mode of the SIW section, dimensioned for a cut-off frequency $f_c = 85$ GHz to ensure in-band linear phase behaviour, to the TM_{100} mode below the patch, which, in turn, interfaces to the RWG's TE_{10} mode. To indicate the necessity of the superstrate, Figure 2a indicates

the nominal transition behaviour, as well as the behaviour of an optimised model in case the superstrate, and hence its dielectric loading, are omitted. Note that, for the latter, only the lower resonance peak can be positioned within the D-band, by controlling the patch width (W_p). The resonance resulting from the slot, however, remains out of band as the slot cannot be made long enough within the SIW section to achieve in-band resonance. By including additional dielectric loading, using a $H_{sub,1} = 136 \mu\text{m}$ thick superstrate, the slot resonance is shifted into the D-band, vastly improving the achievable bandwidth. A similar dielectric-loading effect may be obtained by increasing $H_{sub,2}$ instead, though this would strongly affect the coupling between the slot and patch structures, while also increasing the minimum manufacturable via diameter in this layer. Simulations of such a design indicate that expected variations in this thick substrate lead to substantial changes in transition behaviour, resulting in significantly reduced robustness.

After including the superstrate into the design, the resonance frequencies, the lower one being controlled by the patch width (W_p) and the upper one by the slot length (L_s), are distributed over the D-band to obtain the desired bandwidth. The optimal positions of the patch and slot resonances are found to be 120 and 157 GHz. This is indicated in Figure 2b, where variations of W_p and L_s are represented by the yellow and blue curves, respectively, to indicate how the two resonances are controlled.

A thorough fabrication tolerance analysis of the structure is performed using the frequency domain solver of CST Microwave Studio, with which we obtain the simulated reflection coefficient $|S_{11}|$ of the single-ended RWG-to-SIW transition shown in Figure 2c. Variations of $50 \mu\text{m}$ in slot, patch and cavity dimensions are considered, as well as 10% variations in each substrate height, considering the input from the manufacturer [30]. The analysis demonstrates that the transition design is robust against variations in the nominal design parameters, with a minimum in-band matching of -9 dB only encountered at the band edges. The most sensitive parameter remains the positioning of the via surrounding the cavity, which confirms the importance of replacing matching via posts by a dielectric-loading approach to ensure full D-band matching. However, since the average position of the via wall dominates matching, the dependence on the positioning of singular vias is still significantly reduced in the proposed design compared to an inductive via-based matching approach.

The nominal IL and the primary loss mechanisms are shown in Figure 3a, for a root-mean-square copper surface roughness profile of $R_a = 300$ nm (hyper very low profile copper foil) [31]. Furthermore, a simulated IL of only 0.65 dB (estimated to be composed of less than 0.35 dB dielectric loss, 0.1 dB conductor loss, 0.15 dB surface roughness loss and 0.05 dB mismatch loss) is achieved at 140 GHz, while remaining below 1.1 dB in the entire band of interest. Notably, of the 0.35 dB dielectric loss, only 0.06 dB is caused by the inclusion of the superstrate. The sensitivity analysis, considering the same variations as mentioned previously, is shown in Figure 3b. The variations result in a maximum in-band increase in IL of 0.5 dB, predominantly occurring at the edges of the operating band, due to a small frequency shift.

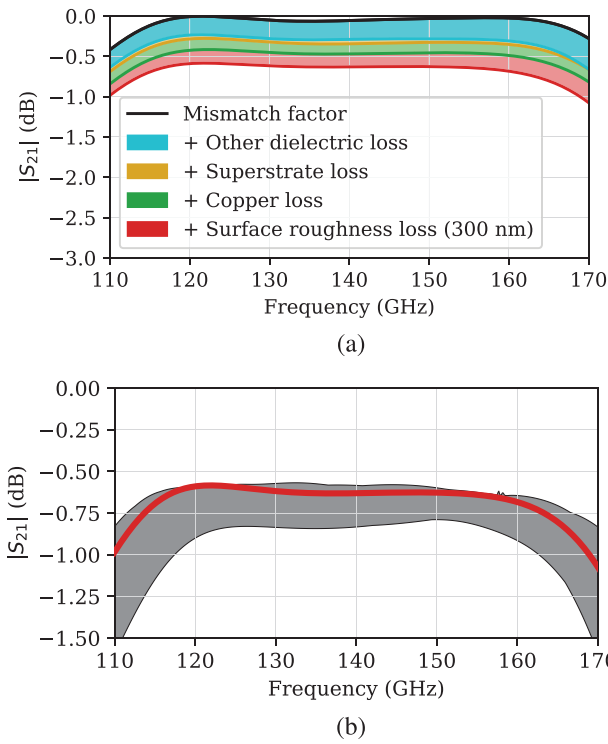


FIGURE 3 | Transmission coefficient $|S_{21}|$ of the transition (a) indicating the impact of every loss mechanism and (b) indicating variations on transmission performance under the maximum expected fabrication tolerances.

3 | Experimental Validation

To validate the performance of the transition, a back-to-back configuration is devised, as shown in Figure 4. Due to the substantial size of the waveguide flanges, the SIW section connecting the two transitions requires a minimum length L_{SIW} of 11 mm. For optimal proximity, the two transitions are positioned on opposite sides of the PCBs within the now eight-layer stack-up. To seamlessly connect both of these transitions with minimal impact on the behaviour of the back-to-back transition, additional slot-coupled SIW layer transitions were introduced in metal layers M_4 and M_5 (Figure 4). Subsequently, IL measurements were performed on SIW sections of lengths $L_{SIW} = 11.35$ and 13.75 mm, allowing the extraction of additional line length losses introduced by the SIW sections from the measurement results [32]. Figure 5a illustrates the prototype of the back-to-back transition, which was manufactured using a standard HDI PCBs fabrication process.

The S-parameters were measured using a Keysight N5242B PNA-X microwave network analyser, coupled with Virginia Diode Incorporated (VDI)'s D-band VNA extenders [33] and WR-6.5 RWGs. Figure 6 shows the measurement setup, including the thru-reflect-line (TRL) calibration reference planes and the associated signal transitions.

Figure 7 depicts the measured S-parameters, showing that the back-to-back reflection coefficient closely matches the simulation across the entire D-band. The average IL of the 11.35 and 13.75 mm lines equal 5.2 and 6 dB, respectively, yielding a measured SIW loss in the Megtron 7 substrate of 0.33 dB/mm,

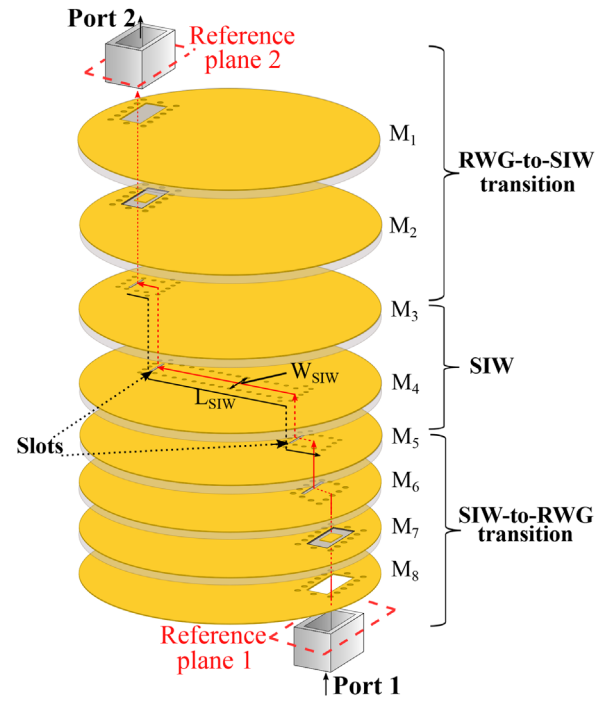


FIGURE 4 | Back-to-back RWG-to-SIW transition connected by an SIW section of length L_{SIW} .

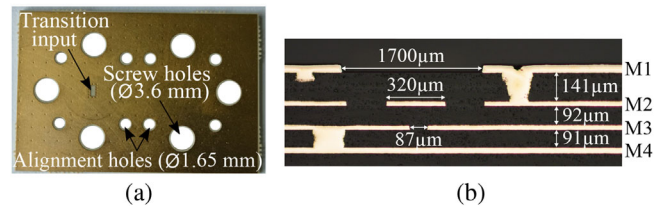


FIGURE 5 | Fabricated back-to-back RWG-to-SIW transition. (a) Top view of the PCBs with waveguide flange alignment and screw holes. (b) scanning electron microscope (SEM) image of the cross-section indicating the average measured patch, slot, cavity and dielectric height dimensions.

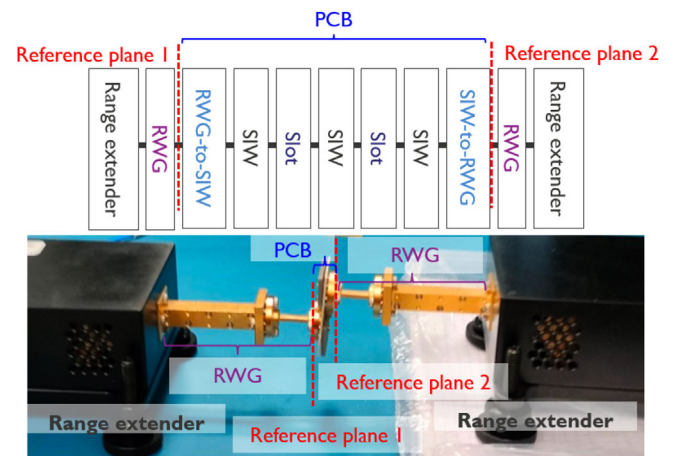


FIGURE 6 | Measurement setup and waveguide transition diagram.

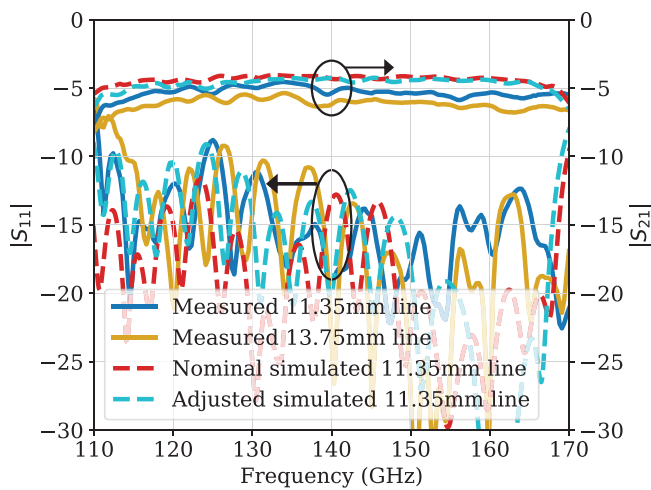


FIGURE 7 | Scattering parameters of the back-to-back transitions in case of a (blue) measured 11.35 mm long line, (yellow) measured 13.75 mm long line, (red) simulated 11.35 mm long line with nominal design values and (cyan) simulated 11.35 mm long line with measured design values, as shown in Figure 5b.

compared to 0.28 dB/mm in simulation. Therefrom, the single-ended transition's average measured IL is calculated to be 0.95 dB, which is slightly higher than the simulated IL of 0.65 dB. This discrepancy is attributed to slightly higher substrate, conductor and surface roughness losses, which also contribute to the increased SIW loss. The average in-band deviation of the IL is found to be 0.15 dB.

Finally, to evaluate the potential extent of fabrication errors, cross-sections of several manufactured test boards were examined under a scanning electron microscope (SEM), as illustrated in Figure 5b.

Measurements revealed that the dielectric layer thickness exhibits a worst-case variation of $\pm 11 \mu\text{m}$. The patch length and width vary at most $\pm 12 \mu\text{m}$. Moreover, the slot length and width vary by $\pm 25 \mu\text{m}$ and $\pm 12 \mu\text{m}$, respectively, justifying the limits for the corner analysis in simulations. When comparing the measured $|S_{11}|$ (solid blue line) to the nominal simulated results of the final design (dashed red line), with dimensions as depicted in Figure 1, a slight discrepancy is observed. Yet, when manufacturing errors are incorporated into the simulation model (dashed cyan line), the measured -10 dB impedance bandwidth and IL align well with the simulation, covering the 110–170 GHz band. Minor discrepancies between the simulated and measured curves persist, possibly due to variations in the surface roughness profile.

4 | Conclusion

A novel broadband RWG-to-SIW transition with a bandwidth of 46% for $|S_{11}| < -10$ dB was proposed to cover the entire 110–170 GHz band by incorporating an aperture-coupled resonant patch and resonant slot with a dielectric-loading superstrate. Measurements and simulations align well, exhibiting an IL of less than 0.95 ± 0.15 dB. With its compact structure, ease of

integration, wide bandwidth, and low IL, this transition is a highly appealing component for interfacing planar PCBs structures to RWG components in next-generation communication and sensing systems.

Author contributions

Samuel Rimbaut: conceptualization, formal analysis, investigation, methodology, supervision, validation, visualization, writing – original draft. **Kamil Yavuz Kapusuz:** conceptualization, supervision, writing – review and editing. **Sam Lemey:** funding acquisition, supervision, writing – review and editing. **Hendrik Rogier:** funding acquisition, supervision, writing – review and editing.

Conflicts of Interest

The authors declare no conflicts of interest.

Data Availability Statement

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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