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To cite this article before publication: Farhan Ali *et al* 2025 *J. Opt.* in press <https://doi.org/10.1088/2040-8986/adda5c>

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# A broadband polarization splitting device with sub-micron sized pixels

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## Abstract

Integration of polarization sensing into conventional imaging devices can uncover crucial information, unlocking applications across a wide range of scientific domains from biomedical imaging to remote sensing and astronomy. Rapid progress in nanofabrication technology has driven the trend of pixel downscaling in imaging devices, leading to improved device functionality and performance. For polarization imaging to engage with high-resolution image sensor a further scaling of per-pixel polarizing objects is needed, while maintaining the optical throughput. In this paper, we propose a design for an ultracompact polarization splitting device based on a vertical dielectric waveguide architecture, compatible with sub-micron pixel sizes (560 nm), which is close to the state-of-the-art CMOS imager sensor resolution. The device implements an adiabatic mode converter to effectively separate orthogonal linear polarization states of incident light across the entire visible spectrum. Moreover, we demonstrate the design versatility by expanding its polarization splitting functionality across the entire linear polarization space (0°-180°), enabling robust polarization detection for advanced polarization imaging applications.

**Keywords:** Polarization splitting, Ultracompact polarization splitter, Vertical waveguides, Polarization imaging, high-resolution imagers

## Introduction

The polarization of light contains useful information to reveal features like shape, texture and shading of a reflecting surface [1],[2]. From condition-robust surveillance to space exploration and biomedical imaging, polarization of light has widespread implications across numerous science and engineering domains [3]-[8]. Many biological specimens use light's polarization property to perform useful tasks like navigating their environment, object detection and predating [9]-[11]. In contrary, the human eye is only weakly polarization sensitive [12],[13] and most people are incapable of visualizing the polarization of light.

Owing to technological and nanofabrication advancement, polarization image sensors have emerged in the field of polarimetric imaging [14]-[17]. These sensors typically comprise a pixelated polarization filter array on an imaging device [18],[19]. Conventional polarization filters may compose of metallic nanowires, birefringent crystals, or polarizing thin films to filter polarization [20]-[23]. Each pixel in the imaging device

records light intensity with a different polarization, and is used to quantify the intensity of the respective polarization. Eventually, the polarization state of the incident light can be determined from the respective polarization components. However, a major issue with traditional polarization filters is that they discard a significant portion of the incoming light, limiting the theoretical efficiency to 50% [24]. Hence, there is an incentive to design novel polarimetric imaging devices with higher light sensitivity.

Polarization splitting is a novel approach which offers an alternative to conventional filtering methods. Instead of filtering photons of unwanted polarization states, it separates the incident light beam into its constituent polarization components and re-directs to specific pixels without significant loss. Besides overcoming the 50% efficiency limit set by a polarization filter, splitting devices have the design freedom for much smaller and compact optical systems, thus enabling applications like remote sensing and communication. Recently, optical metasurfaces have achieved polarization splitting functionality as these artificial planar structures can efficiently control the phase, amplitude, and polarization of electromagnetic waves at optical frequencies [25]-[29]. To date, several plasmonic and dielectric based metasurfaces have been reported to perform polarimetry via full Stokes vector measurements [30]-[36]. Notably, Arbabi et al. proposed a high contrast dielectric metasurface to realize polarimetric imaging at NIR frequency with a high transmission efficiency (>60%) at a spatial resolution of 2.4  $\mu\text{m}$  [24]. However, none of the reported metasurfaces have been designed with sub-micron pixel size to integrate them into high-resolution imaging devices. There are yet devices needed to follow the pixel downscaling trend for polarimetric imaging devices [37].

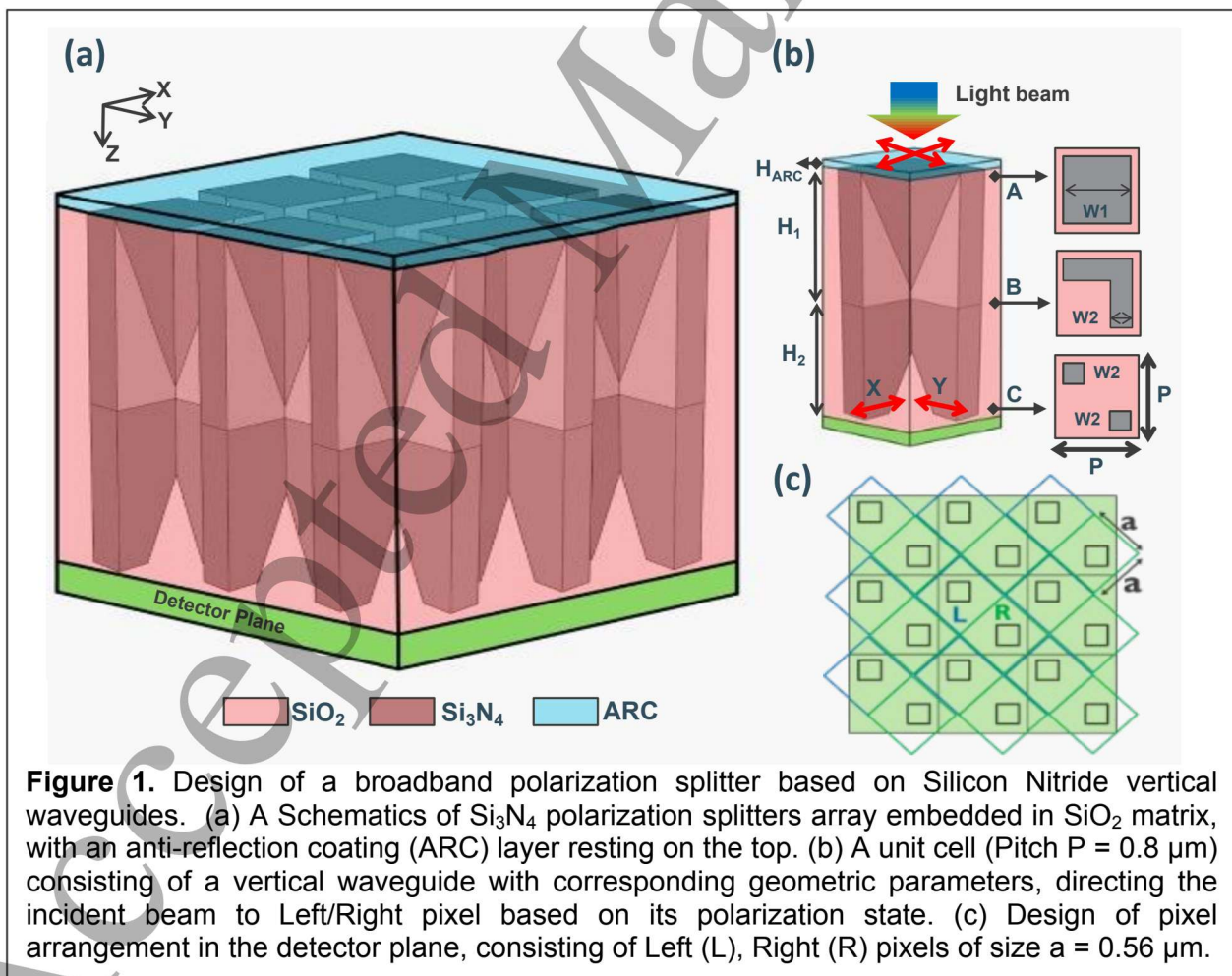
Here, we introduce a novel broadband polarization splitting device based on vertical Silicon Nitride ( $\text{Si}_3\text{N}_4$ ) waveguides operating in the visible spectrum (400-700 nm). The demonstrated device employs an adiabatic taper to separate the incident light with orthogonal linear polarization states and route to designated pixels at sub-micron spatial resolution. Our numerical simulations show a transmission efficiency surpassing 95% with a minimal reflection (<5%). In addition, incorporation of half-waveplate elements expands the capability to split polarizations across the complete linear polarization space ( $0^\circ$ - $180^\circ$ ). With its compact footprint, broadband operation, and exceptional transmission efficiency, our proposed polarization splitter has the potential to replace conventional polarization splitting devices, thus paving the way for the development of ultracompact and high-resolution polarization imaging devices.

## Design and Simulation of Polarization Splitters

**The proposed** broadband polarization splitter (PS) is based on a periodic array of vertical waveguides comprising a Silicon Nitride ( $\text{Si}_3\text{N}_4$ ) core and a  $\text{SiO}_2$  cladding (Fig.1a). A Silicon Oxide ( $\text{SiO}_2$ ) anti-reflection coating (ARC) layer is placed on the top of the waveguide entrances reducing reflections to maximize the device efficiency. Figure 1b shows a unit cell design with pitch  $P = 0.8 \mu\text{m}$ , comprised of a vertical waveguide with the corresponding geometrical parameters and cross-sectional views at A, B, and C planes along the propagation direction. The waveguide consists of two segments, the input waveguide and splitting waveguides, with lengths 3.1  $\mu\text{m}$  and 7.2  $\mu\text{m}$  respectively. The top segment has a square-shaped input cross section (plane A) with width 650 nm, which tapers down asymmetrically to two partially overlapping orthogonal rectangular waveguides of dimension 650 nm  $\times$  200 nm, forming an L-shaped cross section at plane

B. The bottom segment consists of two orthogonal superimposed waveguides with an L-shaped cross section, which gradually separates and tapers down to two square-shaped cross sections of width 200 nm (plane C). Figure 1c depicts an arrangement of the pixels in the detector plane, composed of two pixels named as left, right with dimensions  $560 \text{ nm} \times 560 \text{ nm}$  to capture the orthogonal polarizations of light along x and y.

The basic function of the input waveguide is to adiabatically transmit the input beam to the partially overlapped splitting waveguides at the interface of both segments. The size and geometry of the input waveguide at the entrance plane leads to the existence of two degenerate fundamental modes in the waveguide for the orthogonal polarization states[38]. The designed structure allows excitation of these modes with the electric field aligned along either horizontal (X) or vertical (Y) directions as shown by the red arrows in Figure 1b. As the input beam is adiabatically transferred from the input waveguide to the L-shaped cross section at plane B, the beam is guided to either of the splitting waveguides with the electric field aligned along the long axis of the waveguide. The guided beam is further guided towards the square-shaped waveguides and separates from its counterpart waveguide at plane C, minimizing the intensity cross-talk. Finally, the input beam ends up in either of the left/right pixels depending on its polarization state.

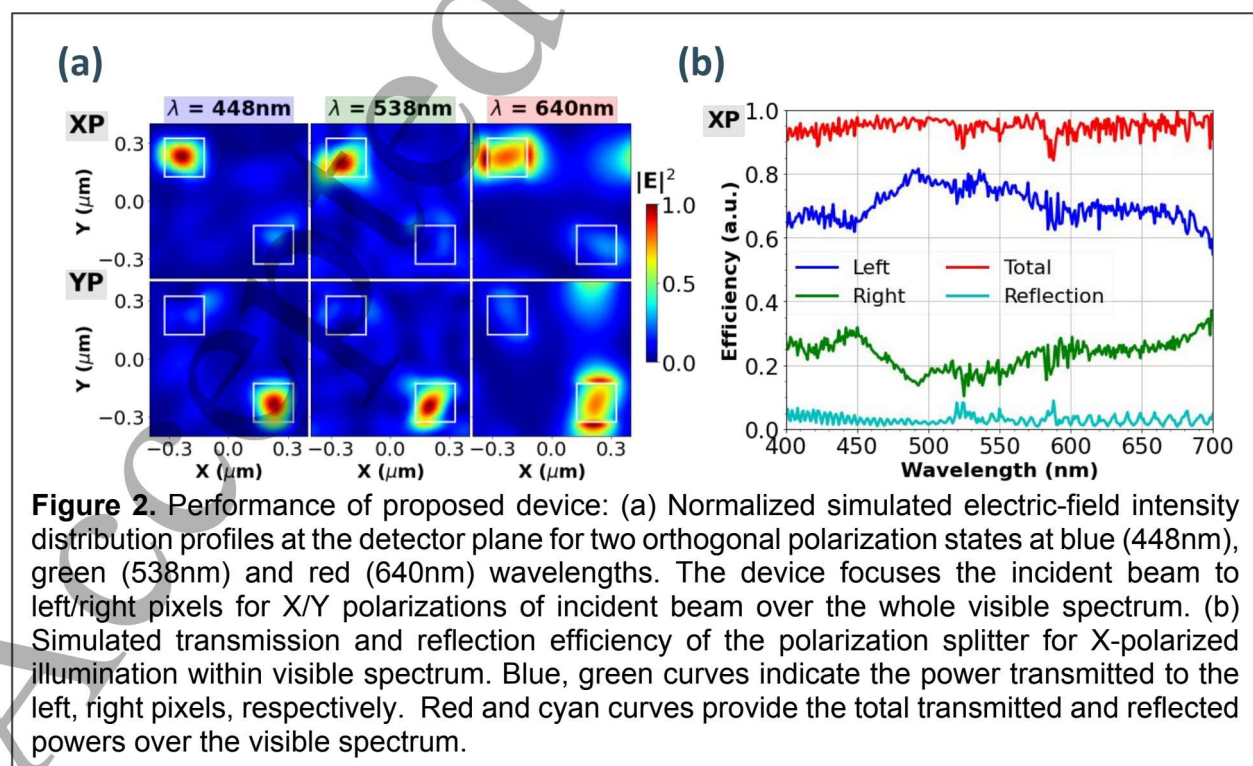


**Figure 1.** Design of a broadband polarization splitter based on Silicon Nitride vertical waveguides. (a) A Schematics of Si<sub>3</sub>N<sub>4</sub> polarization splitters array embedded in SiO<sub>2</sub> matrix, with an anti-reflection coating (ARC) layer resting on the top. (b) A unit cell (Pitch  $P = 0.8 \mu\text{m}$ ) consisting of a vertical waveguide with corresponding geometric parameters, directing the incident beam to Left/Right pixel based on its polarization state. (c) Design of pixel arrangement in the detector plane, consisting of Left (L), Right (R) pixels of size  $a = 0.56 \mu\text{m}$ .

To optimize the design parameters and analyse the performance matrix of the polarization splitter, numerical simulations were performed employing a finite difference time domain (FDTD) method. Initially, unit cell simulations were performed to optimize the structural parameters of the PS. The polarization splitter was excited with a broadband (400-700 nm) Gaussian beam with a 0.3 numerical aperture. Bloch boundary conditions were employed for X, Y directions, meanwhile perfectly matched layer (PML) boundary conditions were set for the propagation direction (z-axis). The refractive index of  $\text{Si}_3\text{N}_4$  and  $\text{SiO}_2$  were set as 2.04 and 1.46 [39]. For the final device, optimal device parameters were obtained by rigorous numerical optimizations, where the main figure of merit was set to maximize the power efficiency in the relevant active pixel, leading to a minimum power in the unwanted pixel, by optimizing lengths ( $H_1$  and  $H_2$ ) and top opening aperture ( $W_1$ ), while keeping the bottom aperture ( $W_2$ ) fixed at 200 nm to ensure a compact footprint. Finally, the best design with a maximum transmission efficiency in the designed pixel was chosen for the optimal device. The device measures 10.3  $\mu\text{m}$  in total length with a 90 nm thick  $\text{SiO}_2$  ARC. The 0.8  $\mu\text{m}$  splitter pitch was chosen to be compatible with the smallest state-of-the-art CMOS imager of 0.56  $\mu\text{m}$  pixel size [40].

## Results and Discussion

The normalized electric-field intensity distribution profiles at plane C (Fig. 1b) for X and Y polarization states at three different wavelengths (448 nm, 538 nm, 640 nm) are shown in Figure 2a. The output waveguides area is highlighted by white squares in the field profiles. The polarization splitter directs the X-polarized incident beam to a tightly confined field spot in the left pixel (top-row), with a minimal intensity in the right pixel. Beating of the guided mode in the output waveguides results in a slightly shifted intensity peak position from the centre of the waveguide, as observed in the profile for 538 nm where the field spot lies in the bottom left corner of the output waveguide. Switching the input



polarization from X to Y, results in transferring the beam to the right pixel with a maximum intensity (bottom row). Moreover, device performs similarly when excited with a plane wave (see supporting information S1), showing its potential to perform under different illumination conditions.

Transmission and reflection spectra for an X-polarized incident beam is given in Figure 2b. The PS is highly efficient with a near-unity total power transmission (averaged over full visible range), owing to a marginal reflection efficiency. The blue and green curves represent the amount of light detected in the left and right pixel, respectively. The left pixel detects more than 70% of the total power, whereas about 20% of the power is transmitted to its neighbour (right) pixel. A maximum polarization extinction ratio (PER) of  $\approx 4:1$  is achieved around the central wavelength 550 nm. The PS successfully splits the incident light based on its polarization state and directs it to its respective pixel at sub-micron level, with near unity power transmission efficiencies. **Table 01** shows a comprehensive comparison of our device with the available state-of-the-art polarization filters/splitters based on traditional metallic wire-grid, liquid crystals, thin-films and metasurface platforms. The comparison highlights that vertical waveguides can offer superior performance in terms of operating bandwidth, transmission efficiency and reduced pixel pitch of 560 nm which matches with the currently smallest CMOS imager pixel size, enabling its seamless integration with state-of-the-art imaging technologies. The polarization extinction ratio (PER) of our device is relatively low, but similar to the wiregrid-based solutions reported previously which successfully employed low-PER systems in applications like high-frequency electric-field imaging and microchemistry systems for the polarimetric measurements of chiral solutions by developing tailored algorithms [41]-[43]. A similar approach can be implemented to our proposed polarization splitting device to extend its potential applications from polarimetric measurements to remote sensing, biomedical imaging and agri-food sectors.

| Work type                              | $\lambda_d$ ( $\mu\text{m}$ ) | Pixel size ( $\mu\text{m}$ ) | Efficiency (%) | PER       |
|--|-------------------------------|------------------------------|----------------|-----------|
| Wire-grid polarizer (Exp.) [18], [43]  | 0.633 / 0.4-0.7               | 20 / 2.5                     | 46 / 63        | 2.03 / 85 |
| LC polymer (Exp.) [21]                 | 0.45                          | 8                            | NA             | 40-336    |
| Thin-film polarizer (Exp.) [23]        | 0.633                         | 5                            | 66             | 330       |
| Metasurfaces (Exp.) [24] / [36]        | 0.85 / 0.45-0.65              | 2.4 / 40                     | 60 / 80        | 6 / 10    |
| Vertical-Waveguides (Sim.) [This work] | 0.4-0.7                       | 0.56                         | 70             | 4         |

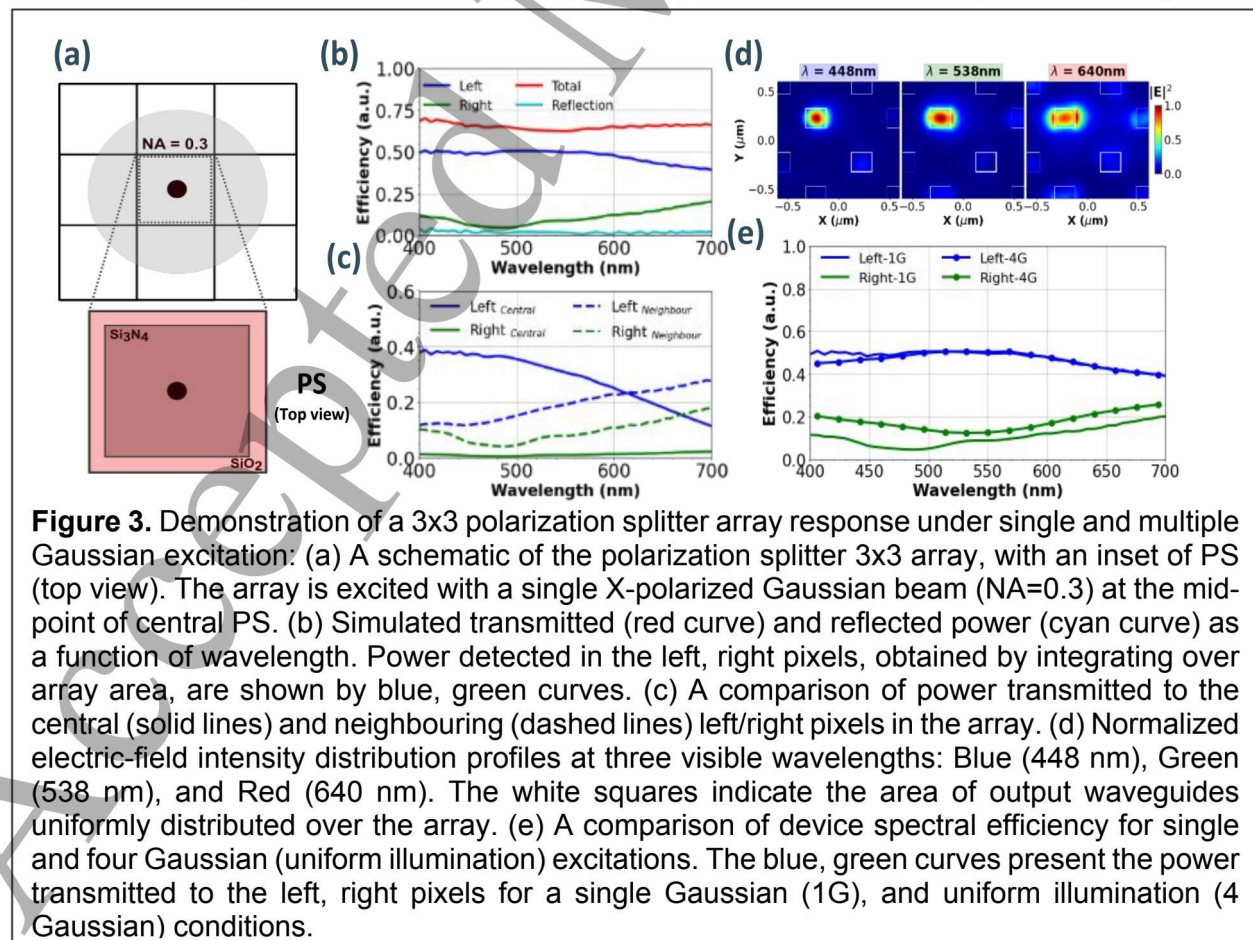
**Table 1.** A comparison of the state-of-the-art polarization filter/splitter and the proposed vertical waveguide based splitter.

To further analyse the polarization sorting performance of the proposed device, we changed the simulation strategy from a unit cell to an array of 3x3 devices as shown in Figure 3. The array simulations were performed to better understand the response of device including contributions from the neighbouring devices. The array was excited with a single Gaussian beam (NA = 0.3) at the centre of the array as depicted in Figure 3a. The shaded circular region spotlights the shape and size of the Gaussian beam, meanwhile an inset represents the top view of the polarization splitter inside the array. Perfectly matched layer (PML) boundary conditions were employed for all directions. Figure 3b gives the simulated spectral efficiencies for the normal incident light illumination. The total power efficiency is defined as the amount of light collected by all

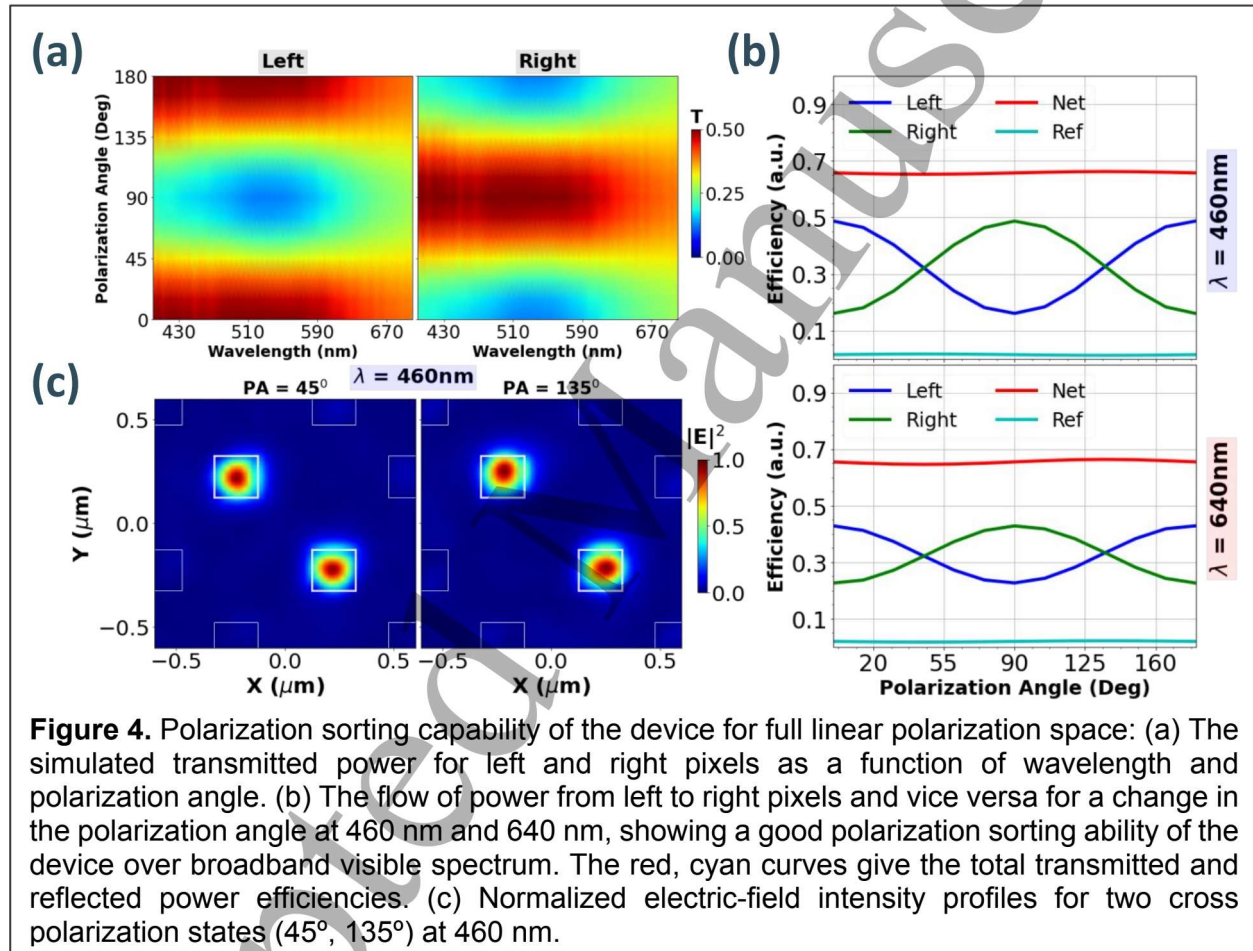
the pixels in the detector plane. A total power of more than 70% was transmitted to the detector plane, meanwhile the rest of the light scatters and ended up being absorbed by the simulation region sidewalls due to PML boundary conditions. The majority of the transmitted power was directed to the left pixels intended to collect X-polarized light, while the right pixels recorded over 15% resulting in the pixel cross-talk. Here, cross-talk is defined as the ratio of power transmission in the unwanted pixel to the total incident light. The higher cross-talk in the  $\lambda > 600\text{nm}$  region appears to be due to the large gaussian beam size, as compared to the pixel size, at these wavelengths.

Figure 3c illustrates a breakdown of the transmitted power into the central and neighbouring left/right pixels. In the spectral region  $400 < \lambda < 600\text{ nm}$ , central left pixel received an average of  $>30\%$  light with lower cross-talks as the guided mode was highly concentrated inside the output waveguides. An increase in the photon size for  $\lambda > 600\text{ nm}$ , results in a spreading of power to the neighbouring pixels which record more power. Normalized electric-field intensity profiles (zoomed-in view, full array view is shown in supporting information Figure S2) at wavelengths of 448 nm, 538 nm, and 640 nm, are presented in Figure 3d. The electric-field spots were highly concentrated in the middle of central left pixels over the whole visible range, confirming the polarization sorting ability of the device for an array case.

To evaluate device performance under realistic illumination conditions for image sensors, we simulated a uniform illumination by incoherently combining results from Gaussian source simulations at different (4, 9) positions [44]. A detailed discussion about the uniform illumination simulation method is presented in supporting information S3. A comparison of the device performance (Figure 3e) shows that a single Gaussian beam is sufficient to analyse the performance of the single device in the array for the designated



NA, hence reducing the simulation time by a factor of 4 (9) in comparison to the uniform illumination under 4 (9) Gaussian beams. From the detailed analysis discussed above, it is evident that the proposed device can efficiently collect most of the incoming light and sort the linear orthogonal X and Y polarization states with a maximum PER of 4:1 at central wavelength of 550 nm.



**Figure 4.** Polarization sorting capability of the device for full linear polarization space: (a) The simulated transmitted power for left and right pixels as a function of wavelength and polarization angle. (b) The flow of power from left to right pixels and vice versa for a change in the polarization angle at 460 nm and 640 nm, showing a good polarization sorting ability of the device over broadband visible spectrum. The red, cyan curves give the total transmitted and reflected power efficiencies. (c) Normalized electric-field intensity profiles for two cross polarization states ( $45^\circ$ ,  $135^\circ$ ) at 460 nm.

To understand the device response under any arbitrary linear polarization state, simulations were performed by varying the polarization angle within a range of  $0$ - $180^\circ$ , as shown in Figure 4. The simulated transmission spectra as a function of polarization angle across the visible spectrum for both left and right pixels are presented in Figure 4a. These transmission maps show a smooth transition of power from left to right, and vice versa as the polarization switches from one state to the other. The device performs well over the whole visible spectrum with a high routing efficiency  $\sim 50\%$ , meanwhile a slightly higher cross-talk exists for the  $\lambda > 650\text{nm}$  region, owing to the larger photon size. Figure 4b gives the simulated transmitted and reflected powers at 460 nm and 640 nm over the

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2  
3 polarization space. The total transmitted (red curve) and reflected (cyan curve) powers  
4 are found constant as 65% and 2% respectively, unaffected by a change in the  
5 polarization state of incident beam. The left pixel (blue curve) records a maximum power  
6 of >40% for the x-polarization, while <20% of the incident power goes to the right pixel.  
7 Power gradually shifts to the right pixel as the polarization is switched to the y-state. A  
8 similar behaviour is observed as the polarization angle is changed from 90° to 180°, but  
9 with mirror symmetry. For example, the cross-polarization states (45° and 135°) are  
10 indistinguishable, as both pixels share the same power at the intersecting points for blue  
11 and green curves. The normalized electric-field intensity profiles for cross-polarization  
12 states at 460 nm are depicted in the Figure 4c. The field spots are well confined within  
13 both output waveguides in the left and right pixel, and are identical for both polarization  
14 states. Finally, it is worth to mention that in addition to sorting the linear orthogonal (x, y)  
15 polarization states, the device can split any arbitrary linear polarization  $\alpha$  in the range 0°-  
16 90° with different power ratios in Left/Right pixels, but records a similar power ratio to its  
17 counterpart ( $180^\circ - \alpha$ ) within 90°-180° owing to the geometrical symmetry of the proposed  
18 device.  
19  
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22 We further extend the device capability to fully characterize the linear polarization  
23 state of light, hereto we not only need to split the x and y polarization states, but also the  
24 cross-polarization states to different pixels. This functionality was achieved by integrating  
25 a dielectric half-waveplate (HWP), sitting on the top of the polarization splitter. We  
26 designed a broadband (400-700 nm) HWP based on high index dielectric meta-atom  
27 arranged in a plus-shape configuration, with a compact pitch size of 400 nm (Figure 5a).  
28 More information on the HWP design is given in supporting information S4. The index of  
29 the HWP was chosen as  $n = 2.4$ , leaving design flexibility to employ  $\text{TiO}_2$  or  $\text{Nb}_2\text{O}_5$  for the  
30 final device realization. The 600 nm tall HWP is composed of two orthogonal rectangular  
31 nanofins with dimensions 100nm x 350nm and 150nm x 60nm. Figure 5b depicts a  
32 schematic of the 1.6 $\mu\text{m}$  x 0.8 $\mu\text{m}$  PS supercell design, which is composed of 4 pixels, two  
33 polarization splitters and a top layer of HWPs with different orientation angles i.e. 0° and  
34 22.5°. By HWP definition, the PS with  $\theta=0^\circ$  HWP is responsible to mirror the polarization  
35 state ( $\theta_p \rightarrow -\theta_p$ ) of the incoming light around the y-axis, effectively mapping the x and y  
36 polarization on itself. Meanwhile the PS with  $\theta=22.5^\circ$  HWP mirrors around the 22.5° axis  
37 ( $\theta_p \rightarrow 45^\circ - \theta_p$ ), thus mapping the cross-polarization states 45° and 135° effectively on  
38 the x and y polarization respectively, allowing one the use the identical PS. A possible  
39 pixel design, which consists of 2 left and 2 right pixels with the corresponding labels, is  
40 shown in Figure 5c.  
41  
42  
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44 We analysed the device performance for an array of 3 x 3 HWPs integrated PS  
45 under uniform illumination for two Gaussian beams (X-polarized), a sketch is presented  
46 in Figure 5d. Figure 5e shows the simulated spectral response of the device array. Power  
47 in the left and right pixels were calculated by integrating over all L0, L22 and R0, R22  
48 pixels respectively, meanwhile total and reflected powers indicate the total transmitted  
49 and reflected power in the full array area. Due to the HWP imperfect response over the  
50 whole visible spectrum, especially in the region  $\lambda < 550\text{nm}$ , the device efficiency slightly  
51 degrades with a total efficiency slightly below 50% for short wavelength as indicated by  
52 red curve. L0 is the main contributing pixel with an efficiency of around 20% and  
53 responsible for detecting the X-polarized incident beam. Pixels L0 and R0 respond quite  
54 similarly to the PS without HWPs and allows to distinguish orthogonal linear polarization  
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states (X, Y), whereas L22 and R22 pixels records a similar power as the 22.5° HWP converts the X-polarization in a cross-polarization illumination.

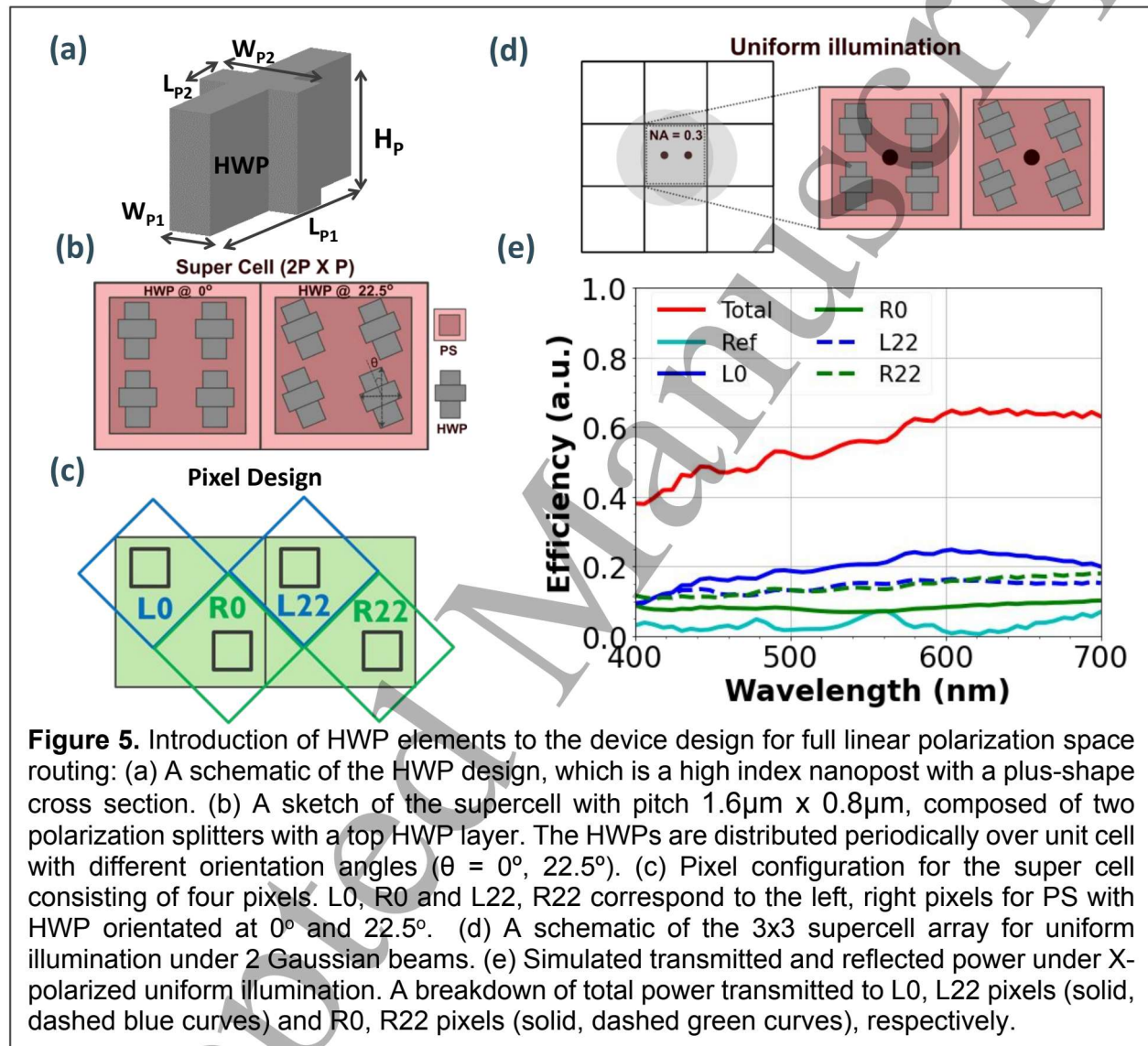
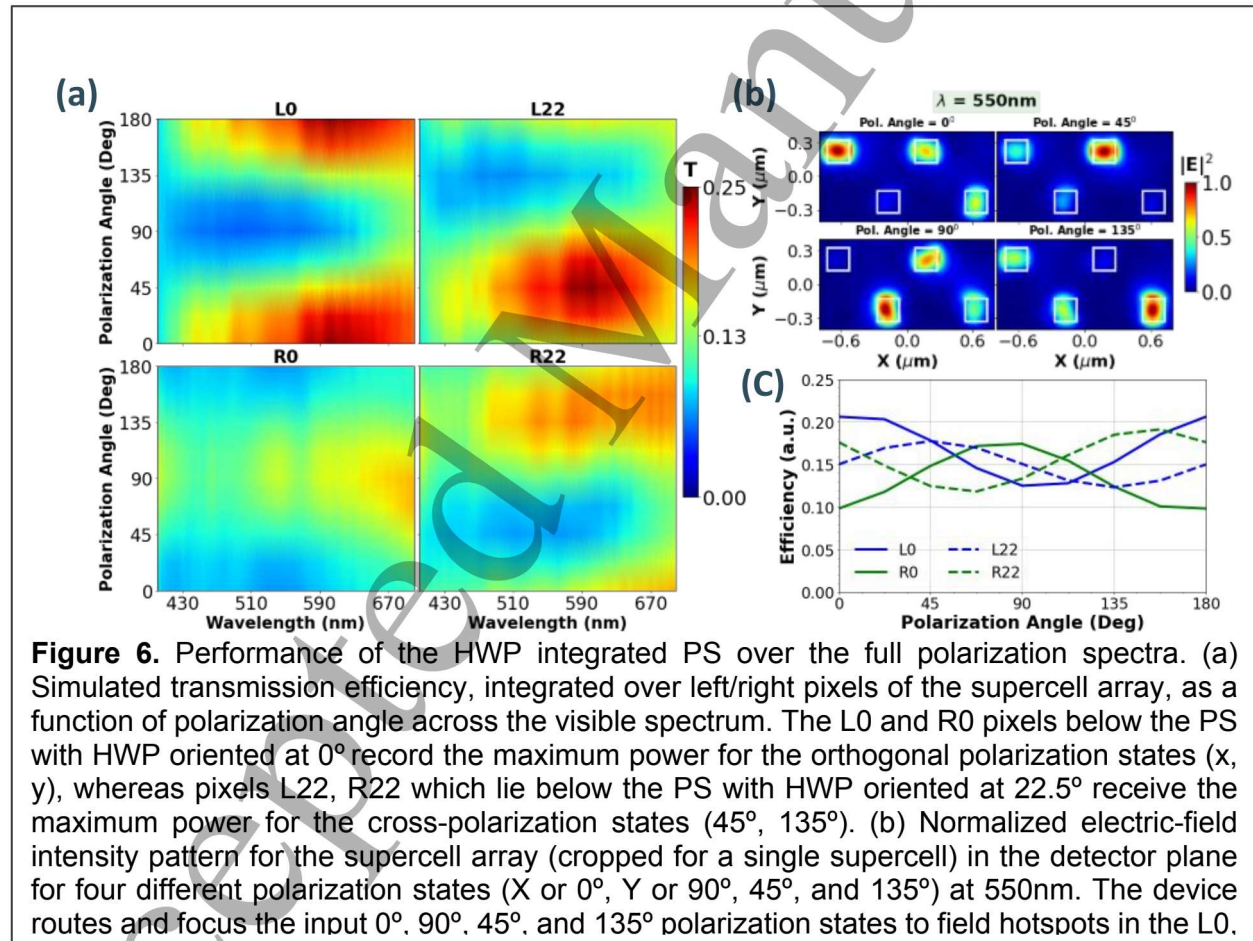


Figure 6 shows the polarization splitting performance of the final device, the HWP integrated PS, over the full polarization space. The simulated transmission efficiencies for the left and right pixels as a function of polarization angle over the visible spectrum are presented in Figure 6a. Here, transmission efficiency in L0 represents the total amount of light collected in all the L0 pixels over the array, and similarly for R0, L22, and R22 pixels. These power transmission maps indicate a gradual shift of the maximum intensity from one pixel to the other as the polarization angle changes between  $0-180^\circ$  over the broad operational bandwidth of  $400-700\text{ nm}$ . It can be observed that L0, R0 pixels record maximum power ( $\sim 15-25\%$ ) for orthogonal x, y polarization states,

meanwhile cross-polarization states ( $45^\circ$ ,  $135^\circ$ ) end up in the L22, R22 pixels with similar routing efficiencies of ( $\sim 20$ - $25\%$ ). Normalized electric-field intensity profiles for four different polarization states at  $550$  nm are shown in Figure 6b. A unique field distribution pattern exists for each polarization state, with maximum field intensity in the corresponding pixel. All four pixels gets a maximum efficiency for its designed polarization state as depicted in Figure 6c. However, R0 detects the corresponding polarization (Y) with a lower efficiency as compared to the other pixels, which could be attributed to the asymmetric response of the HWP for orthogonal polarization states. Finally, we conclude that the integration of additional HWP elements into the proposed PS, incident light beam with different linear polarizations can be successfully routed to the relevant pixels across the visible spectrum. Nevertheless, it is worthy to mention that the device's functionality can be further extended to split the circular/elliptical polarizations by replacing the HWP with quarter-waveplate (QWP) elements orientated at an angle  $\theta = \pm 45^\circ / \theta \neq \pm 45^\circ$ , respectively.



**Figure 6.** Performance of the HWP integrated PS over the full polarization spectra. (a) Simulated transmission efficiency, integrated over left/right pixels of the supercell array, as a function of polarization angle across the visible spectrum. The L0 and R0 pixels below the PS with HWP oriented at  $0^\circ$  record the maximum power for the orthogonal polarization states (x, y), whereas pixels L22, R22 which lie below the PS with HWP oriented at  $22.5^\circ$  receive the maximum power for the cross-polarization states ( $45^\circ$ ,  $135^\circ$ ). (b) Normalized electric-field intensity pattern for the supercell array (cropped for a single supercell) in the detector plane for four different polarization states (X or  $0^\circ$ , Y or  $90^\circ$ ,  $45^\circ$ , and  $135^\circ$ ) at  $550$  nm. The device routes and focus the input  $0^\circ$ ,  $90^\circ$ ,  $45^\circ$ , and  $135^\circ$  polarization states to field hotspots in the L0.

Finally, as the proposed device has shown promising simulation results, the next step is to fabricate the designed splitters for experimental verification to confirm the real efficiency of the system. We will employ our well-established vertical waveguide technology, which has already successfully demonstrated the color splitting functionality at sub-micron spatial resolution utilizing standard backend processing techniques on 300

mm wafers as briefly discussed in our previous work, to fabricate next-generation of vertical waveguides as proposed in this work [45]. Nonetheless, we foresee possible fabrication challenges due to high aspect ratio and non-uniform etch profiles along the propagation direction of the proposed structures. To address these concerns, we intend to slightly modify the fabrication recipe to develop the splitters in multiple layers (see supporting information S5) to ensure optimal etch-aspect-ratio and stress compensation without any structural collapse. Ultimately, the fabricated splitters will be optically characterized to validate their performance, paving the way for the development of sub-micron pixel sized polarization splitters with potential applications in high-resolution polarization imaging.

## Conclusion

In conclusion, we have designed and simulated an ultracompact polarization splitter based on a vertical dielectric waveguide, demonstrating efficient collection and separation of linear polarization states across the visible spectrum (400-700 nm). The device successfully realizes polarization splitting with sub-micron pixel resolution (560 nm), matching with the pixel size of cutting-edge CMOS imagers. A comprehensive analysis reveals near-unity transmission efficiency with a polarization extinction ratio of 4:1 at central wavelength (550 nm). Additionally, integration of a half-wave plate element facilitates routing of the entire linear polarization band ( $0^\circ$ - $180^\circ$ ) to the designated pixels. Nonetheless, there is still room to further improve the device performance through advanced optimization methods and the use of high-index materials. As a future research direction, the device would be fabricated using advanced thin film deposition and lithography techniques, enabling the exploration of its full potential. We believe that this work lays the foundation for a new paradigm in imaging technology, offering a promising solution for high-resolution polarization imaging applications in polarimetric measurements, remote sensing, navigation and biomedical imaging.

**Acknowledgement:** We acknowledge the financial support from the Fund for Scientific Research Flanders (FWO) under Project number S004322N (GigaPixel).

**Supporting information:** Simulation data for plane wave excitation; Electric-field intensity distribution profiles for polarization splitter array for a Gaussian illumination; A detailed simulation method and results for uniform illumination; Half-waveplate design mechanism and simulated performance.

**Conflict of interest:** Authors state no conflicts of interest.

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