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1 **Engineering of Redox-triggered Polymeric Lipid Hybrid Nanocarriers for Selective**
2 **Drug Delivery to Cancer Cells**

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29 **Abstract**

30 Tunable redox-sensitive polymeric-lipid hybrid nanocarriers (RS-PLHNCs) were fabricated
31 using homogenization and nanoprecipitation methods. These nanocarriers composed of novel
32 redox-cholesterol with disulfide linkages synthesized by conjugating cholesterol with
33 dithiodipropionic acid *via* esterification. Berberine (BBR) was loaded into these fabricated
34 nanocarriers to investigate the selective uptake of BBR by cancer cells, release, and enhanced
35 cytotoxicity. The optimized BBR nanocarriers (BBR NP-**17** and **18**) exhibited a spherical
36 shape and uniform distribution, with a particle size of 124.7 ± 1.2 nm, 185.2 ± 1.6 nm, and a
37 zeta potential of -5.9 ± 2.5 mV; -20.3 ± 1.1 mV respectively. These NCs released > 80% BBR
38 in the simulated intracellular tumor microenvironment (TME), while only 30-45% was
39 released under normal physiological conditions. The accelerated drug release in the TME was
40 due to disulfide bond cleavage and ester bond hydrolysis in the presence of GSH and acidic
41 pH, whereas in normal conditions, the NCs remained stable/undissociated. Cellular uptake
42 studies confirmed enhanced BBR uptake in GSH-rich cancer cells (H1975) over normal cells
43 (BEAS-2B and HEK293A). Following uptake, these optimized nanocarriers displayed
44 significant selective cytotoxicity and apoptosis in cancer cells by notably downregulating
45 anti-oxidant (NFE2L2, HO-1, NQO1, and TXRND1), anti-apoptotic (MCL-1) genes, while
46 upregulating pro-apoptotic genes (PUMA and NOXA) more than free form of the drug. This
47 resulted in increased oxidative stress, thereby inducing selective apoptosis in the GSH-rich
48 lung cancer cells. These results suggest that the synthesized novel NCs might hold great
49 potential in specifically delivering drugs to cancer cells (with reduced environment), while
50 baring the normal cells, thus ensuring safe and efficient cancer therapy.

51

52 **Keywords:** Tumor microenvironment; polymeric lipid hybrid nanocarriers; Cholesterol-
53 Dithiodipropionate; redox-responsive delivery; Berberine; biocompatibility.

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58 **Abbreviations**

59 BBR- Berberine, CL- Cholesterol, %DL- % Drug loading, DPPC- 1,2-Dipalmitoyl-sn-
60 glycerol-3-phosphocholine, DTDPA- Dithiodipropionate, DTPA- 3,3¹ Dithiodipropionic acid,
61 %EE- %Entrapment efficiency, PDI - polydispersity index, PEG- Polyethylene glycol,
62 PNIPAM- P-(N-isopropyl acrylamide), MTT- (3-(4,5-Dimethylthiazol-2-yl)-2,5-
63 Diphenyltetrazolium Bromide), and RS-PLHNCs- Redox-sensitive polymeric lipid hybrid
64 nanocarriers.

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88 1. Introduction

89 Nanoscale drug delivery systems (NDDS) have emerged as a pivotal advancement in
90 circumventing various pitfalls of traditional drug-delivery systems. This technological
91 advancement has proved as a valuable carrier of several drugs, nucleic acids, and proteins in
92 combating several cancers by overcoming biological barriers. Lipid and polymer-based
93 nanocarriers have been widely explored in the past decade. Commercial examples of these
94 nanocarriers include DOXIL[®] (Doxorubicin loaded PEGylated liposome), DaunoXome[®]
95 (Daunorubicin liposome), DepoCyt[®] (Cytarabine liposome), Abraxane (Paclitaxel albumin
96 nanoparticle), and Oncaspar[®] (Polymer protein conjugate of L-asparaginase). These
97 nanocarriers offer several advantages in drug delivery, including enhancements in solubility,
98 permeability, and bioavailability, protection from enzymatic degradation and harsh
99 gastrointestinal conditions, increased half-life, and mitigation of off-target effects induced by
100 toxic drug molecules.¹ Despite their promising potential in treating several cancers, these
101 systems suffer from drawbacks such as poor entrapment, drug loading, and premature drug
102 release.² Therefore, combining these systems may confer positive attributes of both systems
103 that aid in overcoming individual limitations.

104 Polymeric lipid hybrid nanocarriers (PLHNCs) of 100-200 nm size are an ideal
105 strategy that facilitates the effective delivery of different drugs into cancer cells *via* enhanced
106 permeability and retention effect (EPR). These systems consist of a polymer core surrounded
107 by a lipid layer, which enables the effective loading of hydrophobic and hydrophilic drugs in
108 the polymer core and lipid matrix.³ These novel systems offer several advantages in drug
109 delivery; however, premature leakage of drugs during circulation and unintended release of
110 drugs in healthy cells often results in side effects posing a significant challenge. To address
111 these challenges and enhance the precise release of drugs in tumor sites, various unique
112 factors prevailing in the endogenous tumor microenvironment (TME), such as pH,
113 glutathione, reactive oxygen species, etc, have been explored to deliver the drugs in response
114 to specific stimulus.

115 TME-responsive nanocarriers have garnered considerable attention in cancer therapy
116 over external stimuli-responsive nanocarriers (e.g., magnetic, light, and ultrasound) by
117 eliminating the need for additional instruments for drug release at the target site, thus making
118 them more cost-effective. The prevailing endogenous environment of tumor cells/tissues that
119 differ from the normal cells aids in designing a specialized nanocarrier system to release the
120 drugs selectively in response to TME, barring the healthy cells. The intrinsic tumor

121 endogenous stimuli such as the extra and intracellular pH (pH 6.4 to 4.5 due to lactic
122 acidosis)⁴, several overexpressing proteins/enzymes, reductases (overexpressed in a hypoxia
123 environment)^{5,6}, and intracellular reductive environment (elevated levels of GSH, i.e., about
124 2-10 mM of GSH concentration in tumor cells and 2-20 μ M in healthy cells)⁷ are the
125 potential targets that are being explored. Due to these distinct microenvironment
126 characteristics, many researchers have designed intelligent nanoparticles to deliver the drugs
127 in response to endogenous stimuli. For instance, the inclusion of pH-sensitive groups like
128 schiff base^{8,9}, hydrazone bonds^{10,11}, imine bonds¹², and ester bonds¹³ in nanoformulation
129 releases the drug in response to tumor acidic pH. Additionally, presence of nitroimidazole
130 (NI)¹⁴ and azobenzene (Azo)⁶ derivatives trigger the drug release in response to
131 overexpressed biological reductases (e.g., nitro reductases) in the hypoxic tumors and
132 incorporation of disulfide bonds in the nanoparticle system responds to increased GSH levels
133 in the intracellular TME.^{15,16}

134 Berberine (BBR), a natural isoquinoline phytochemical, is recognized for its diverse
135 pharmacological activities, including anti-cancer, anti-inflammatory, antimicrobial, and
136 antioxidant activities. Despite its promising therapeutic potential, BBR is categorized as a
137 class IV drug due to its poor solubility, low bioavailability, and limited cellular permeability.
138 Moreover, the short half-life of BBR is a major limitation which decreases the therapeutic
139 efficacy of the drug. However, to fulfill therapeutic requirements, higher doses of BBR have
140 been employed, which could lead to adverse effects such as anorexia, stomach upset,
141 diarrhea, or constipation, potentially compromising its therapeutic advantages.²²⁻²⁵

142 Recently, several nanoparticulate delivery systems have been developed to minimize
143 the limitations and enhance the therapeutic efficacy of BBR in cancer (albumin
144 nanoparticles²², nano lipid carriers²⁴, and chitosan NPs²⁶). However, the selective release of
145 BBR from the nanosystem at the cancer cell target remained less explored. To address the
146 selectivity problem and potentiate therapeutic effectiveness, we aim to synthesize
147 nanomaterials responsive to internal stimuli such as pH and GSH using biocompatible lipids
148 (cholesterol¹⁷, DPPC¹⁸, and stearic acid¹⁹) and polymers (PEG²⁰ and PNIPAM²¹) for the
149 loading and delivery of BBR in GSH rich cancer cells.

150 Therefore, in this study, a novel cholesterol lipid containing a disulfide (-S-S-) bond as a
151 stimuli-responsive material was synthesized. This bio-reducible lipid was incorporated into
152 redox-sensitive polymeric lipid hybrid nanocarriers (RS-PLHNCs) with BBR loaded into the

153 nanocarrier system. The objective was to investigate the superiority of the synthesized
154 nanocarrier system over free form of BBR in enhancing cancer cell selectivity and killing
155 efficiency in non-small cell lung cancer cells (NSCLC; H1975 cells) *in vitro*, while also
156 comparing its selectivity on normal lung and embryonic kidney cells (BEAS-2B and
157 HEK293A cells). The efficacy of the nanoformulation is also dependent on its lipid
158 composition, particle size, zeta potential, and the physicochemical characteristics of the
159 loaded drug for its release and therapeutic activity. Therefore, different RS-PLHNCs of
160 varying lipid composition were prepared and studied.

161

162 **2. Experimental section**

163 **2.1. Materials**

164 Berberine (BBR) and stearic acid were purchased from M.P. Biomedicals. Sodium azide
165 (NaN_3), 4-toluenesulfonyl chloride (TsCl), 3,3¹-dithiodipropionic acid (DTPA),
166 triphenylphosphine (PPh₃), 4-dimethylaminopyridine (DMAP), pluronic F-127 (PF-127)
167 were procured from Sigma-Aldrich. Span-80, potassium hydroxide (KOH), absolute ethanol,
168 dimethyl sulfoxide (DMSO), 3-(4,5-Dimethylthiazol-2-yl)-2,5-Diphenyltetrazolium Bromide
169 (MTT), and L-Glutathione reduced (GSH) were purchased from Himedia. Dialysis membrane
170 (10 KDa) was obtained from Invitrogen, poly-(N-isopropyl acrylamide) from TCI chemicals,
171 polyethylene glycol (PEG) (M.wt. 2000 g mol⁻¹) from Alfa Aesar, cholesterol (CL) from Bio
172 world, acetic anhydride from Pallav chemicals, toluene from SRL, and dipalmitoyl
173 phosphatidylcholine (DPPC) from Avanti polar lipids.

174 All solvents used to synthesize modified lipids and polymers were purchased from
175 Spectrochem. Dichloromethane (DCM), diethyl ether, dimethyl formamide (DMF, dry),
176 methanol (MeOH), tetrahydrofuran (THF), n-hexane, pyridine (dry), ethyl acetate, and
177 acetonitrile.

178 **2.2. Synthesis of redox-sensitive disulfide cholesterol (Cholesterol-Dithiodipropionate)**

179 Cholesterol-dithiodipropionate (CL-DTDPA) was synthesized following a reported method
180 with slight modifications.²⁷ Briefly, 3,3¹-dithiodipropionic acid (1 g, 4.755 mmol) was
181 dissolved in acetic anhydride (75 mL) and stirred for 3-4 h under N₂ atmosphere to obtain
182 dithiodipropionic anhydride (DTDPA). To the above DTDPA solution, toluene (10-15 mL)
183 was added and dried under reduced pressure using a rotary evaporator (Heidolph Rotary
184 evaporator) three to four times. The obtained pure DTDPA residue was dissolved in dry

185 DCM (50 mL) and admixed with CL (1.838 g, 4.755 mmol) and DMAP (0.290 g, 2.377
186 mmol). Then, the reaction mixture was continuously agitated at room temperature in inert N₂
187 atmospheric conditions, and simultaneously, the progress of the reaction was monitored using
188 TLC. The resulting product was extracted with a DCM / water system. The organic layer was
189 collected, washed several times with water, dried with anhydrous Na₂SO₄, and evaporated
190 using a rotary evaporator. The acquired product was finally purified using silica-gel column
191 chromatography (stationary phase: silica gel (mesh size: 100-200); mobile phase: 10-30%
192 ethyl acetate and hexane), the eluted mobile phase was evaporated, washed with acetonitrile,
193 and dried under reduced pressure to obtain pure CL-DTDPA (CL-COO-SS-COOH).²⁸ The
194 purified CL-DTDPA was further characterized for ¹H NMR and ¹³C NMR to verify the
195 structure. The obtained pure CL-DTDPA (redox-CL) was used for the fabrication of redox-
196 sensitive PLHNCs.

197 The final yield was 80%. ¹H NMR (CDCl₃, ppm): δ 5.38 (1H, =CH-), 4.65 (1H, -CH-),
198 2.93(4H, -CH₂-SS-), 2.80 (2H, -CH₂-COOH), and 2.72 (2H, -CH₂-COO-) (Fig. 1A). ¹³C
199 NMR (CDCl₃, ppm): δ 177.94 (-COOH), and 171.02 (-COO-) (Fig. 1B). ESI-MS (m/z):
200 C₃₃H₅₄O₄S₂ - 579.35 [M + H]⁺ (Fig. S2A).

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202 **2.3. Synthesis of polyethylene glycol diamine (PEGDA)**

203 *2.3.1. Synthesis of Tosylated PEG*

204 Tosylated PEG (TS-PEG) was synthesized by dissolving PEG-2000 (1.00 g, 0.5 mmol) in
205 DCM (50 mL), followed by the addition of tosyl chloride (0.23 g, 1.25 mmol). Later, KOH
206 powder was added slowly to the above reaction mixture in ice-cold conditions (0-8°C) and
207 allowed to react overnight under agitation at room temperature. The obtained mixture was
208 extracted with DCM / distilled water, and the organic layer was collected, washed, and
209 vacuum-dried. The concentrated oily product was precipitated by adding excess diethyl ether,
210 filtered, washed, and dried under vacuum to get a solid product of pure TS-PEG.²⁹ Thus,
211 synthesized TS-PEG was further used to prepare PEG Diazide without further purification.

212 The final yield was 98%. ¹H NMR (d₆-DMSO, ppm): 7.77 (=CH-), 7.48 (=CH-), 4.09 (-
213 CH₂-O-), 3.31 - 3.66 (-CH₂-CH₂-O-), and 2.4 (-CH₂) (Fig. S1A). ¹³C NMR (d₆-DMSO,
214 ppm): 145.41 (C), 132.8 (=CH-), 130.59 (=CH-), 128.03 (C), 70.3 (-CH₂-CH₂-O-), and 68.3
215 (-CH₂-O-) (Fig. S1B).

216 2.3.2. Synthesis of PEG Diazide

217 PEG Diazide (PEGD) was synthesized using a substitution mechanism. In brief, the obtained
218 TS-PEG (0.5 g, 0.21 mmol) was dissolved in dry DMF (minimum solubility) and
219 supplemented with sodium azide (0.03 g, 0.54 mmol). After gentle stirring, the reaction
220 mixture temperature was raised slowly to 80°C under an inert N₂ atmosphere, and the mixture
221 was continued stirring for another 24 h. The resulting solution was evaporated and extracted
222 with DCM, distilled water, and cold brine solution several times. The organic layer was
223 separated and evaporated, and the obtained solid product was purified using silica column
224 chromatography (stationary phase: silica gel (mesh size: 100-200), mobile phase: 2-5%
225 MeOH and DCM). Finally, the eluted mobile phase was evaporated, washed with diethyl
226 ether, and vacuum-dried to obtain PEGD.²⁹

227 The final yield was 80%. ¹H NMR (d6-DMSO, ppm): δ 3.46 – 3.67 (-CH₂-CH₂-O), and 3.37
228 (-CH₂-N₃) (Fig. S1C). ¹³C NMR (d6-DMSO, ppm): δ 70.2 (-CH₂-CH₂-O), 69.67 (-CH₂-O-),
229 and 50.44 (-CH₂-N₃) (Relevant information (Fig. S1D)).

230 2.3.3. Synthesis of PEG Diamine

231 A reduction method was employed to synthesize PEG Diamine (PEGDA). In a typical
232 synthesis process, PEGD (0.4 g, 0.193 mmol) was dissolved in anhydrous tetrahydrofuran
233 (minimum solubility) followed by gradual addition of triphenylphosphine (0.202 g, 0.772
234 mmol) to the PEGD solution. The reaction mixture was refluxed at 67°C for 2 h under an
235 inert N₂ atmosphere. After 2 h, distilled water (13 mL) was added to the above mixture and
236 allowed to react for another 10-12 h. A ninhydrin test was performed to confirm the reduction
237 of PEGD to PEGDA. Subsequent confirmation of amine formation, the reaction mixture was
238 evaporated under reduced pressure and extracted with DCM from distilled water. The
239 collected organic layer was evaporated and washed with diethyl ether and hexane several
240 times to remove the negligible quantities of unreacted triphenylphosphine and intermediates
241 of triphenylphosphine (triphenylphosphine oxide). Finally, the obtained product was purified
242 using aluminum oxide column chromatography (mobile phase: 2-30% MeOH and DCM), and
243 the eluted mobile phase was dried under vacuum to obtain a pure form of PEGDA.²⁹ Thus,
244 synthesized PEGDA was finally characterized and confirmed by ¹H NMR and FTIR
245 methods.

246 The final yield was 93%. ¹H NMR (CDCl₃, ppm): δ 3.46 – 3.67 (-CH₂-CH₂-O), 2.86 (-CH₂-
247 NH₂), 1.65 (-NH₂) (Fig. S1E). FTMS - probe ESI (m/z): Average mass distribution centered
248 around 2000 for PEG, for PEG diamine, calculated-1999.0389 and found-1999.2291 [M+
249 H]⁺ (Fig. S2B). ΔM= 44.02 Da was observed between two peaks, indicating ethylene glycol (-
250 CH₂-CH₂-O-) fragments.

251 **2.4. Preparation of redox-sensitive polymeric-lipid hybrid nanocarriers**

252 The redox-sensitive polymeric-lipid hybrid nanocarriers (RS-PLHNCs) were fabricated using
253 homogenization and nanoprecipitation methods.³⁰ Typically, PNIPAM and PEGDA co-
254 polymers were dissolved in 10 mL triple distilled water containing PF-127 as a stabilizer. To
255 the above homogenous aqueous phase, 1 mL of ethanolic lipid solution containing CL-
256 DTDPA, DPPC, stearic acid, and span-80 (75 μL) was added drop-wise under high
257 homogenization. The nanosuspension was further homogenized for another 30 min, followed
258 by gentle stirring until traces of the organic phase were removed. The prepared blank redox
259 nanocarriers (RS-PLHNCs) were further optimized for particle size and stability by varying
260 the composition of excipients used in the aqueous and organic phases during the preparation
261 (given in Table 1). BBR-loaded redox nanocarriers were prepared similarly to the blank
262 nanocarriers, in which BBR was added in the aqueous phase of the nanocarrier preparation.

263 **2.5 Characterization of BBR-loaded RS-PLHNCs**

264 *2.5.1. Physical and morphological characterizations of RS-PLHNCs*

265 Prepared nanocarrier formulations were suitably diluted with Milli Q water to determine the
266 average hydrodynamic radius, polydispersity index, and surface charge using Zetasizer
267 (Nano-ZS 90) with a detector angle of 90° at 25°C.³¹

268 Transmission electron microscope (TEM) was used to evaluate the shape and surface
269 morphology of the optimized RS-PLHNCs. In brief, the BBR loaded nanosuspension was
270 deposited on the copper mesh (TEM grid) by placing 10 μL of sample and incubated for 45
271 min and negatively stained using 2% uranyl acetate. The prepared sample was dried and
272 visualized under TEM at a voltage of 200kv (Model: JEOL-JEM F200).³⁰

273 *2.5.2. Entrapment efficiency (% EE) and drug loading (% DL)*

274 %EE and %DL were determined using dialysis method where BBR-loaded RS-PLHNCs (1
275 mL) taken in a dialysis bag (10 KDa), was dialyzed in 20 mL of Milli Q water, and allowed

276 to stand for 1h. The free form of BBR diffused into the milli Q water was quantified at 345
277 nm using a multi-plate reader (Varioskan Flash, Thermo Scientific).³²

$$278 \quad \%EE = \frac{(Total\ drug\ taken - unloaded\ free\ drug)}{Total\ drug\ taken} \times 100$$

$$279 \quad \%DL = \frac{entrapped\ drug}{weight\ of\ drug\ loaded\ nanocarriers} \times 100$$

280 2.5.3. ATR-FTIR study

281 Excipients used in the fabrication of nanocarriers, free form of BBR, blank, and BBR-loaded
282 nanocarriers were evaluated for a shift in the major characteristic functional group during the
283 formulation process using ATR-IR (Bruker-INVENIO R) spectrophotometer.³⁴ All the
284 spectra were recorded for 32 scans from wavenumber 4000 to 400 cm⁻¹ with a resolution of 4
285 cm⁻¹.

286 2.5.4. UV-visible spectroscopy

287 The prepared BBR-loaded RS-PLHNCs and blank nanoparticles (100 μL) were completely
288 dissolved in 900 μL of ethanol and scanned across 250-600 nm using a UV-
289 spectrophotometer (UV BioSpectrometer Kinetic, Eppendorf). The peaks from blank and
290 BBR nanocarriers (BBR NP-**17** & **18**) were compared with free form of BBR to confirm the
291 presence of BBR in the nanocarriers.³³

292 2.5.5. Destabilization and characterization of nanocarriers in response to acid and redox 293 stimuli

294 The pH and redox sensitivity of fabricated nanocarriers were evaluated by the changes in the
295 size, PDI, and zeta potential of nanocarriers on incubation in acidic and reductive
296 environments. In brief, aliquots of RS-PLHNCs were added to the phosphate buffer with four
297 different compositions: (i) phosphate buffer (pH 7.4, 0 mM GSH), (ii) phosphate buffer (pH
298 7.4, 10 mM GSH), (iii) acetate buffer (pH 4.5, 0 mM GSH), and (iv) acetate buffer (pH 4.5,
299 10mM GSH). Then, the samples were incubated at 37°C for 24 h with continuous shaking at
300 100 rpm. After 24 h, samples were analyzed for the modifications observed in morphology by
301 TEM, size, PDI, and zeta potential using a zeta sizer (NanoZS-90).³⁵

302 2.5.6. In vitro dissolution studies

303 *In vitro* dissolution studies of the fabricated redox nanocarriers were evaluated using the
304 dialysis method in the phosphate buffer pH 7.4 (with and without GSH) and sodium acetate
305 buffer pH 4.5 (with and without GSH).^{28,36} Briefly, the optimized BBR-loaded redox-
306 sensitive nanoformulations were placed in a dialysis bag (MWCO 10 KDa) against 20 mL of
307 respective buffer. The samples were incubated at 37°C in a shaking incubator (New
308 Brunswick™ Excella® E24 Shaker Series, Eppendorf) with a rotation speed of 100 rpm. At
309 pre-defined time intervals (0, 1, 2, 4, 8, 12, and 24 h), 0.5 mL of the dissolution medium was
310 withdrawn and replaced with an equal volume of fresh media.^{36,37} The BBR concentration in
311 the samples was determined at 345 nm using a plate reader (Varioskan Flash, Thermo
312 Scientific). All the experiments were performed in triplicates, and the data is expressed as
313 mean ± S.D.

314 The kinetics of the BBR release from the nanocarrier system was further studied by using the
315 following models: zero-order, first-order, Higuchi, Hixson-Crowell, and Korsmeyer-Peppas
316 model.²⁰

317 *2.5.7. Storage and colloidal stability studies*

318 The stability of the nanoformulation was performed by monitoring the changes in the particle
319 size, PDI, and zeta potential of the different samples stored at 4°C for 6 months.³⁸

320 **2.6. *In vitro* cell-based assays**

321 *2.6.1. Cell culture*

322 H1975 (non-small cell lung cancer cells, CRL-5908), HEK293A (normal embryonic kidney
323 cells) and BEAS-2B (normal lung cells, CRL-9609) were cultured in RPMI-1640 medium
324 (Himedia) supplemented with 10% (v/v) FBS (Gibco) and 1% (v/v) penicillin-streptomycin
325 antibiotics (Himedia) and maintained in a humidified CO₂ incubator at 37°C ± 0.2°C and 5%
326 CO₂.

327 *2.6.2. Intracellular GSH estimation*

328 Intracellular GSH levels for H1975, BEAS-2B, and HEK293A were initially determined (in 1
329 × 10⁶ cells) following 3-4 times wash with ice-cold phosphate buffer saline (PBS) and
330 centrifugation at 3000g for 10 min at 4°C. The pellet was resuspended in 300 µL of
331 extraction buffer and sonicated for 5 min using a probe sonicator at cold temperature (30%
332 amplitude, 5-sec pulse ON and 5 sec OFF). Later, the mixture was centrifuged to remove the

333 precipitated proteins, and the supernatant was used for GSH estimation using Ellman's
334 reagent (DTNB reagent: Sigma). Finally, 20 μ L of the supernatant was mixed with 20 μ L of
335 KPE buffer, and the homogenous solution was added with 120 μ L of Ellman's reagent, and
336 the absorbance was measured at 412 nm. The intracellular GSH was calculated from the
337 standard GSH calibration curve.³⁹

338 *2.6.3. Biocompatibility and in vitro redox-sensitive cytotoxicity study*

339 The redox-sensitive cytotoxicity of the fabricated nanocarriers was determined in BEAS-2B,
340 HEK293A, and H1975 cells. Prior to the assay, 1×10^4 cells/well were seeded in 96-well plate
341 and cultured for 24 h to ensure cell adherence.⁴⁰ The cells were treated with different
342 concentrations (3.125 to 50 μ M BBR) of redox-sensitive BBR-loaded nanocarriers (batch **17**
343 and **18**), free form of BBR, blank nanocarriers, and control groups. After 24 h and 72 h
344 treatment, the culture medium was replaced with 30 μ L of MTT (Himedia) in PBS (5
345 mg/mL) in each well and allowed to incubate for 4 h in a CO₂ incubator at 37°C and 5 %
346 CO₂. The MTT solution was removed, and 150 μ L of DMSO was added to each well to
347 dissolve MTT-Formazan crystals. The intensity of the purple color from the wells was
348 measured at 570 nm to determine the %cell viability. The cytotoxicity induced by the BBR-
349 loaded redox nanocarriers in H1975 lung cancer cells was compared with BEAS-2B and
350 HEK293A normal cells to evaluate the redox-responsive cytotoxicity. BBR-loaded RS-
351 PLHNCs induced cytotoxicity was compared with the free form of BBR in H1975 cancer
352 cells to confirm enhancement in cell killing efficiency.

353 *2.6.4. Estimation of cytotoxicity of the nanoparticles*

354 The effect of nanoparticles on cell viability was studied using the standard MTT assay.
355 H1975 cells (1×10^6 cells/well) were seeded in 6-well plates. Following overnight incubation
356 (37°C in a 5% CO₂) and cellular adherence, nanoparticles were added into the culture media
357 at corresponding IC₅₀ values (from MTT assay) and were further incubated for 24 h. Non-
358 adherent dead cells were removed by gentle washing with PBS. The adherent live cells from
359 the plate were harvested by trypsinization (trypsin-EDTA solution, Himedia), washed, and
360 diluted with PBS buffer. The viable cell population was counted (using a hemocytometer)
361 following the standard protocol. The experiments were performed in triplicates and repeated
362 on two independent events to ensure reproducibility and reliability.⁴¹

363 *2.6.5 Estimation of GSH content following BBR and BBR-loaded nanoparticulate treatment*

364 About 5×10^5 H1975 cells/well were seeded in a 6-well plate and incubated for 24 h. The
365 cells were treated with free form of BBR, and nanoparticulate-loaded BBR (BBR NP-17 and
366 18), at the respective IC_{50} values of BBR nanocarriers determined in the MTT assay. Post-
367 treatment, the GSH levels were determined following the protocol for GSH estimation
368 provided in **section 2.6.2**.

369 *2.6.6 In vitro cellular uptake studies*

370 Cellular uptake of prepared nanocarriers was performed using confocal laser scanning
371 microscopy (CLSM; Leica model) and flow cytometry (FACS; Calibur BD LSR Fortessa
372 TM).

373 *2.6.6.1 Flow cytometry (quantitative analysis)*

374 The cellular uptake of free form of BBR or nanoformulation was quantified by flow
375 cytometry.⁴² H1975, BEAS-2B, and HEK293A cells (1×10^6 cells/well) were seeded in a 6-
376 well plate and incubated for 24 h at 37°C under 5% CO₂. Following cell adherence, the BBR
377 nanoparticles and free form of BBR were added to the corresponding wells (at IC_{50} values
378 equivalent to BBR nanoparticles) and incubated for 4 h, 12 h, and 18 h. Following
379 incubation, the cells were washed with ice-cold PBS (3 times), harvested, and centrifuged at
380 3000g for 10 min. Finally, the cells in each sample were resuspended in 400 μ L PBS for
381 FACS analysis. The cellular uptake of BBR was quantified using the fluorescence mean
382 intensity by gating 10,000 cells (n=3) ($\lambda_{ex} = 488$ nm and $\lambda_{em} = 585$ nm).

383 *2.6.6.2 Confocal Laser Scanning Microscopy (CLSM)*

384 CLSM was used to analyze the intracellular distribution of free form of BBR, BBR-loaded
385 RS-PLHNCs, and blank nanocarriers.⁴³ H1975 lung cancer cells, BEAS-2B normal lung cells
386 and HEK293A normal embryonic kidney cells (1×10^5 cells/well) were seeded on a cover
387 slip. Post 24 h incubation, the formulations/free form of BBR were added to cells with the
388 determined IC_{50} values respective to BBR nanoparticles and incubated for 4 h and 18 h with
389 PBS as a control. Post-treatment, the cells were washed (3x) with ice-cold PBS and fixed
390 with 4% w/v paraformaldehyde. The cells were mounted onto glass slides using FluoroG
391 mount media (Invitrogen) and observed under CLSM ($\lambda_{ex} = 405$ nm and $\lambda_{em} = 550 \pm 50$ nm).

392 *2.6.7 Apoptosis study*

393 *2.6.7.1 Apoptosis study by flow cytometry*

394 To study apoptosis, BEAS-2B, HEK293A, and H1975 cells were seeded in a 6-well plate
395 with 1×10^6 cell density and incubated at 37°C under $5\% \text{CO}_2$ incubator for 24 h. After
396 adherence, cells were treated with IC_{50} values of BBR-loaded nanocarrier (BBR NP-**17** &
397 NP-**18**), free form of BBR (FR-**17** & FR-**18**), blank nanoparticulate (BLK NP-**17** & BLK NP-
398 **18**) for 4, 12, and 18 h. After treatment, cells were collected and washed twice with cold PBS
399 then centrifuged at 1500rpm for 5min at 4°C . The resulting pellet was resuspended in 1X
400 binding buffer containing $5 \mu\text{L}$ each of fluorescein isothiocyanate (FITC)-labeled Annexin V
401 ($\lambda_{\text{ex}} = 488 \text{ nm}$ and $\lambda_{\text{em}} = 518 \text{ nm}$) and propidium iodide (PI) ($\lambda_{\text{ex}} = 561 \text{ nm}$ and $\lambda_{\text{em}} = 616 \text{ nm}$)
402 for 20 min in dark condition at room temperature. Finally, stained cells were detected in flow
403 cytometer with 10^4 gating cells. In the apoptosis dot plot, Q1 (Annexin V-FITC-/PI+)
404 indicates necrosis, Q3 (Annexin V-FITC-/PI-) normal live cell population whereas, Q2
405 (Annexin V-FITC+/PI+) and Q4 (Annexin V-FITC+/PI-) represents late and early apoptosis
406 respectively (Fig. S10). The BD FACSDiva™ software determined the % apoptotic cells.⁴⁰
407 The assay was performed in triplicates.

408 2.6.7.2 Annexin V staining for apoptosis

409 CLSM was used to analyze the apoptotic cells stained with Annexin V-FITC upon free form
410 of BBR, BBR-loaded RS-PLHNCs, and blank nanocarriers treatments.⁴³ H1975 lung cancer
411 cells, BEAS-2B, HEK293A, and H1975 cells were seeded with 1×10^5 cells/well density on a
412 cover slip. Post 24 h incubation, the formulations/free form of BBR were added to cells with
413 the determined IC_{50} values respective to BBR nanoparticles and incubated for 4 h and 18 h
414 with PBS as a control. Post-treatment, the cells were washed (3x) with ice-cold PBS and
415 stained with Annexin V-FITC for 15 min in dark condition at room temperature. After
416 staining, the cells was fixed with 4% w/v paraformaldehyde and the coverslips were mounted
417 onto glass slides using FlouoroG mount media (Invitrogen) and observed under CLSM ($\lambda_{\text{ex}} =$
418 488 nm and $\lambda_{\text{em}} = 550 \pm 50 \text{ nm}$).

419 2.6.7. Gene Expression Studies

420 2.6.7.1. RNA isolation

421 In seven groups, RNA was isolated from H1975 cells (1×10^6 cells/well) treated with IC_{50}
422 values of BBR-loaded nanocarrier (BBR NP-**17** & NP-**18**), free form of BBR (FR-**17** & FR-
423 **18**), blank nanoparticulate (BLK NP-**17** & BLK NP-**18**). Post 24 h, cell pellets were
424 harvested and resuspended in Trizol ($500 \mu\text{L}$, Invitrogen). Then, 0.2 mL of chloroform was

425 added into the suspension and vortexed vigorously under ice-cold conditions. The cell
426 suspension was centrifuged at $12,000 \times g$; 4°C for 15 min; the supernatant (aqueous phase)
427 was further aspirated carefully and transferred into a fresh tube. 500 μL of isopropanol was
428 added to the supernatant, incubated for 10 min, and centrifuged at $12,000 \times g$ for 10 min at
429 4°C . Further, the pellet was washed with 75% ethanol by centrifuging at $12,000 \times g$ for 10
430 min at 4°C . The obtained RNA pellet was air-dried and dissolved in 20 μL of DEPC-treated
431 nuclease-free water. The concentration and purity of RNA was estimated by NanoDrop
432 (ONE^C, Thermo Fisher Scientific), and quality was checked by 1% agarose gel
433 electrophoresis. Good quality of RNA (260/280 ratio = 1.9 - 2.0) with two visible bands was
434 considered for cDNA synthesis. For reverse transcription, 2 μg of total RNA was used
435 through the Reverse Transcription Kit (Maxima First Strand cDNA kit, Thermo Fisher
436 Scientific) in a Thermal Cycler (Veriti, Applied Biosystems) following the manufacturer's
437 instructions.

438 2.6.7.2. *Quantitative Reverse Transcription Polymerase Chain Reaction (qRT-PCR) Study*

439 Real-time PCR study was performed to measure the mRNA expression levels of PUMA,
440 NOXA, MCL-1, NFE2L2, NQO1, TXRND1, HMOX1, and GAPDH (housekeeping gene)
441 using iTaq universal SYBR green supermix (Biorad) in QuantStudio5 (Applied Biosystems).
442 2 μL of prepared cDNA was used for 10 μL reaction, with 5 μL of SYBR, 1 μL of nuclease-
443 free water, and 1 μL (0.25 μM) of forward and reverse primer each. PCR reaction was performed
444 with the following temperature cycle: Initial denaturation (95°C for 20 sec), 40 amplification
445 cycles (denaturation at 95°C , 3 sec; annealing at 60°C , 30 sec). The fold change of target
446 mRNA was calculated using $2^{-\Delta\Delta\text{Ct}}$ values obtained from treated samples when compared
447 with control samples. The forward and reverse primer sequences used are listed in [Table S2](#).

448 2.7. Statistical analysis

449 All data were normalized to the control values of each assay and expressed as mean \pm SEM.
450 Statistical analysis was performed using one-way ANOVA followed by Dunnett's multiple
451 comparison test for comparative analysis between multiple groups and two-way ANOVA
452 followed by Turkey's multiple comparison test between multiple groups with two or more
453 independent variables utilizing GraphPad Prism 8.0 (GraphPad Software, La Jolla, CA,
454 USA). p values: * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$, **** $p < 0.0001$ were considered
455 statistically significant.

456

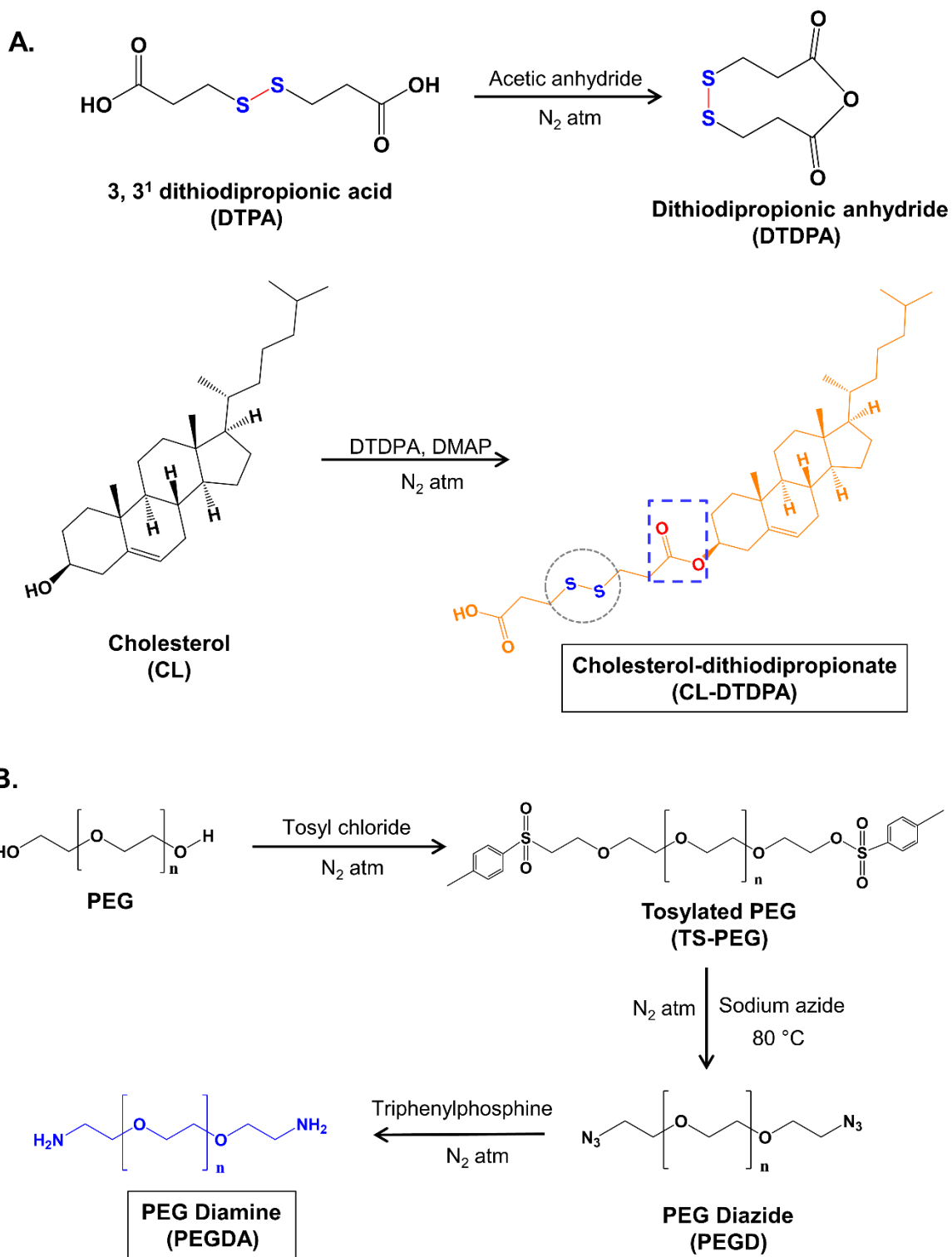
457 3. Results and discussion

458 3.1 Synthesis and characterization of redox-cholesterol (CL-DTDPA) and aminated 459 polyethylene glycol (PEGDA)

460 A hydrophobic dual-responsive compound, redox-cholesterol (CL-S-S-COOH, **CL-**
461 **DTDPA**), was prepared by modifying CL with a disulfide-containing monomer (DTPA) *via*
462 an esterification reaction, as shown in [Scheme 1A](#). From ^1H NMR ([Fig. 1A](#)) spectra, three
463 triplet peaks between 2.6 – 3.0 ppm confirmed DTDPA conjugation to CL. The peaks from
464 ^{13}C NMR spectra ([Fig. 1B](#)), at 177.9 ppm for carboxylic acid (C=O) and 171 ppm for ester
465 C=O, also confirmed the DTDPA conjugation. From FTIR, the conserved characteristic
466 peaks of CL and DTPA, a peak shift from 1687 to 1729 cm^{-1} indicating the conversion of
467 carboxylic acid to an ester functional group further verified the synthesized conjugate ([Fig.](#)
468 [1C](#)). From both NMR and FTIR studies, the synthesis of **CL-DTDPA** was confirmed.

469 The hydrophilic PEG diamine (**PEGDA**) was synthesized by step-by-step conversion
470 of PEG to di-tosylated PEG (PEG-OTs), later to PEG diazide (PEG-N₃), and finally to PEG
471 diamine (PEG-NH₂) ([Scheme 1B](#)). The PEG-OTs formation was confirmed by ^1H NMR and
472 ^{13}C NMR ([Fig. S1A & S1B](#)). The two doublet peaks corresponding to benzene protons at
473 7.77 ppm and 7.48 ppm and the carbon peaks between 125 – 146 ppm confirm the PEG-OTs
474 conversion. The absence of benzene peaks in ^1H ([Fig. S1C](#)) and ^{13}C ([Fig. S1D](#)) NMR spectra
475 indicated PEG azide formation. A shift in triplet peak from 3.37 ppm (-CH₂- adjacent to
476 azide) to 2.86 ppm (-CH₂- adjacent to amine) indicated PEGD conversion to PEGDA ([Fig.](#)
477 [S1E](#)). Moreover, the FTIR peak ([Fig. S1F](#)) at 3446 cm^{-1} , corresponding to N-H symmetrical
478 and asymmetrical stretching of primary amine groups was present only in PEGDA (absent in
479 PEGD)^{44,45}. NMR and FTIR data confirmed a successful synthesis of PEG diamine
480 (PEGDA).

481 Thus, redox-cholesterol (CL-DTDPA) and PEG diamine (PEGDA) were synthesized and
482 characterized to fabricate the BBR-loaded redox-sensitive PLHNCs.



Scheme 1. Synthetic schemes of Cholesterol-Dithiodipropionate (redox-sensitive cholesterol) (A) and PEG diamine (B)

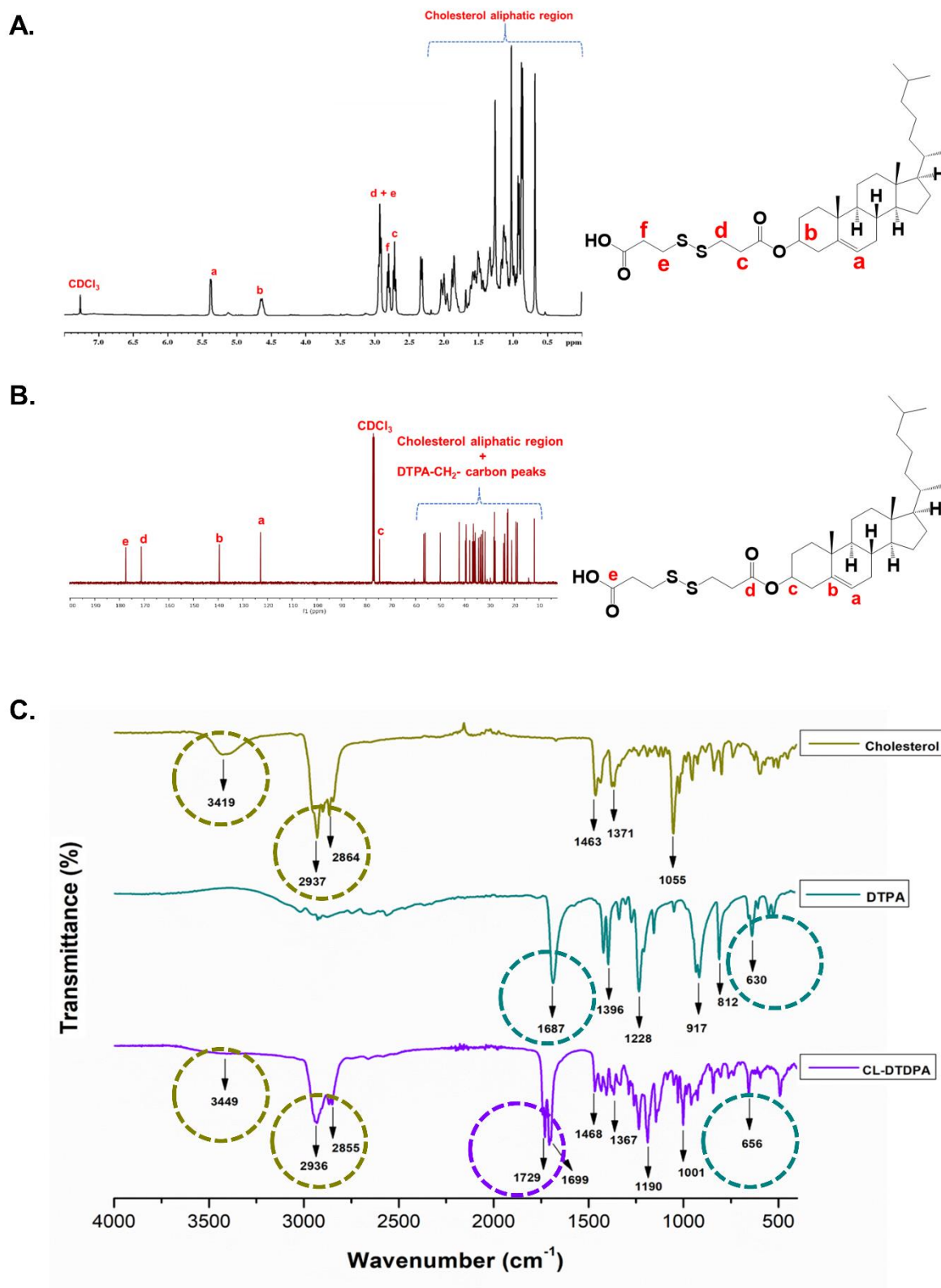


Fig. 1. ^1H NMR (A), ^{13}C NMR (B), and FTIR (C) of Cholesterol-Dithiodipropionate (CL-DTDPA)

485 **3.2. Fabrication and characterization of berberine-loaded redox-sensitive PLHNCs**
 486 **(BBR-loaded RS-PLHNCs)**

487 In the current work, homogenization and nanoprecipitation methods were employed to
 488 fabricate stable homogeneous **BBR-loaded RS-PLHNCs** with a diameter < 200 nm and PDI
 489 of 0.25.⁴⁶ The fabricated nanocarriers comprise a drug-embedded polymer core layer encased
 490 by the redox lipid to prevent premature drug release under physiological conditions. From a
 491 preliminary study, blank nanocarriers of different polymer and lipid compositions were
 492 prepared to obtain a nanoformulation of optimal particle size, uniformity, and stability (as
 493 shown in Table 1). Among the eight batches, batch #3 and #7 had good stability, relatively
 494 less particle size, and homogeneously distributed. Batch #7, containing stearic acid, displayed
 495 a larger particle size than batch #3, as it increased the lipid matrix's thickness and thereby
 496 increased the overall particle's hydrodynamic radius. In all batches with stearic acid, span-80
 497 surfactant with higher lipophilicity (HLB value 4.3) was preferred over tween-80 for
 498 nanocarrier stabilization as it facilitated the miscibility of highly lipophilic stearic acid in the
 499 aqueous phase by reducing the interfacial tension between the aqueous and lipid phase.

500 **Table 1** Preliminary study on the effect of various excipients on particle size and its
 501 distribution

Batch no #	Redox-Cholesterol (mg)	Stearic acid (mg)	DPPC (mg)	PNIPAM (mg)	BBR (mg)	PS (nm)	PDI
1	15	10	10	20	-	212±1.29	0.15±0.01
2	15	10	10	-	-	246±1.48	0.17±0.04
3**	15	-	10	20	-	151±2.82**	0.12±0.03**
4	15	10	-	20	-	233±3.36	0.25±0.01
5	20	10	10	20	-	236±3.37	0.26±0.03
6	15	20	10	20	-	314±9.22	0.36±0.06
7***	15	10	20	20	-	210±4.59***	0.18±0.05***
8	20	20	20	20	-	222±0.64	0.25±0.03
Composition for fabrication of berberine-loaded redox-sensitive PLHNCs (BBR-loaded RS-PLHNCs)							
17**	15	-	20	20	1	125±1.21**	0.15±0.02**
BBR NP-17							
18***	15	10	20	20	1	185±1.56**	0.15±0.02***
BBR NP-18							
Constants							
PEGDA-20 mg and PF-127-100 mg							

502

503 Finally, the compositions of batches #3 and #7 in preparing blank nanocarriers (BLK NPs)
 504 were utilized to fabricate **BBR-loaded RS-PLHNCs** (Batch 17 and 18), with minor changes
 505 in the composition. In BBR NP-17, the amount of DPPC was increased from 10 to 20 mg to

506 equally maintain the DPPC concentration in BBR NP-**18** to examine further the influence of
507 stearic acid on physicochemical characteristics and stability of the nanocarrier. The optimized
508 BBR-loaded RS-PLHNCs showed %EE and %DL of 90.52 ± 4.68 and 1.12 ± 0.06 in BBR
509 NP-**17** and 95.42 ± 3.51 and 1.05 ± 0.05 in BBR NP-**18** respectively. The increased
510 encapsulation of BBR in BBR NP-**18** is ascribed to the presence of lipophilic stearic acid in
511 the lipid matrix, which may increase the protection of the polymeric layer in which the
512 drug was embedded and further prevent the leakage of the drug into the dispersion phase than
513 the BBR NP-**17**.

514 The optimized nanoformulations (BBR NP-**17** and **18**) exhibited nearly spherical
515 shaped uniform nanosized particles (Fig. 2A and B). The appearing bright portions in the
516 image might indicate the presence of polymeric core, while thin lining was observed around
517 the periphery of the core which would be of a lipid shell coating. During the evaporation
518 process in the nanocarrier synthesis, the polymer might form the core entrapping the drug and
519 lipids might self-assemble surrounding the polymeric core.⁴⁷ These spherical particles of
520 BBR NP-**17** and **18** showed average particle size of 124.7 ± 1.21 and 185.17 ± 1.56 nm and
521 PDI of 0.153 ± 0.02 and 0.152 ± 0.02 , respectively using dynamic light scattering (DLS).
522 Alongside the particle size, both formulations exhibited negative zeta potential (-5.91 ± 2.54
523 and -20.03 ± 1.07 mV) due to the coating of negatively charged lipid matrix (DPPC, stearic
524 acid, and CL-DTDPA mixture) on the surface of the polymer core (Fig. 2A and 2B).⁴⁸ These
525 features are essential for particle retention and uptake by EPR in cancer cells for its activity.

526 FTIR was performed to analyze the incorporation of BBR in the nanocarrier system
527 and to detect for incompatibilities/interactions between the BBR and excipients or among the
528 excipients used in the formulation (Fig. 2C and Fig. S3B). From the FTIR data, primary
529 absorption peaks of free form of BBR were observed at 3209 cm^{-1} (–OH stretching vibration
530 of methoxy group), 2906 and 2852 cm^{-1} (–CH stretching vibration), 1632 cm^{-1} (–C–N
531 stretching vibration), 1505 cm^{-1} (–C=C stretching vibration) and 1102 cm^{-1} (–C–O–C
532 stretching vibration).^{22,49,50} Comparing the FTIR spectra of free form of BBR, physical
533 mixture, BLK NPs, and BBR NPs (summarized in Table 2), no new characteristic peaks were
534 detected. Only negligible shifts in the characteristic peaks were noted between them,
535 suggesting the association of weak interactions between the drug and excipients. In BBR
536 NPs, BBR-specific characteristic peaks were not clearly distinguished. This may be due to
537 the overlapping of peaks with the excipients or BBR incorporated into the polymer core,
538 whose characteristic peak intensities may be negligible. This data confirms no potential

539 chemical interactions between drug and excipients or among the excipients. However, the
 540 incorporation of BBR into the nanocarrier system was not clearly addressed. Therefore, we
 541 performed a UV spectroscopy based study to confirm the loading of BBR in the nanocarrier
 542 system.

543 UV spectroscopy data displayed characteristic peaks for BBR incorporated in BBR
 544 NP-17 and NP-18 at 260 nm (peak A), 345 nm (peak B), and 424 nm (peak C) wavelengths,
 545 which were similar in comparison to free form of BBR, while no peaks were observed in
 546 BLK NP-17 and 18 (Fig. S3A). The results from both UV and FTIR spectra confirmed the
 547 successful encapsulation of BBR into the redox nanocarrier system without any specific
 548 covalent modifications/interactions.

549 **Table 2** FTIR spectra characteristic peaks and their respective functional groups in different
 550 samples

Characteristic peak (Wavenumber cm^{-1})						
Free form of BBR (i)	3209	2906, 2852	-	1632	1505	1102
Functional group (i)	Intermolecular -OH (methoxy group)	-CH stretching		-C-N stretching	-C=C stretching	-C-O-C stretching
Physical mixture (ii)	3340	2885	1729	1640	1552	1102
BLK NPs (iii)	3369	2885	1732	1644	1552	1097
BBR NPs (iv)	3360	2885	1737	1644	1547	1102
Functional group (ii), (iii) and (iv)	-OH stretching	-CH stretching	-C=O stretching (ester or acid)	-C=O stretching (amide group)	-NH bending (amide group)	-C-O-C stretching
Inference	PF-127 / DPPC / CL-S-S-COOH / PNIPAM	(ii) and (iii) PNIPAM / PF-127 / PEGDA / CL-S-S-COOH / DPPC / stearic acid (iv) BBR / PNIPAM / PF-127 / PEGDA / CL-S-S-COOH / DPPC / stearic acid	CL-S-S-COOH / DPPC	PNIPAM	PNIPAM	(ii) PF-127 / PEG diamine / DPPC (iv) BBR / PF-127 / PEG diamine / DPPC

551

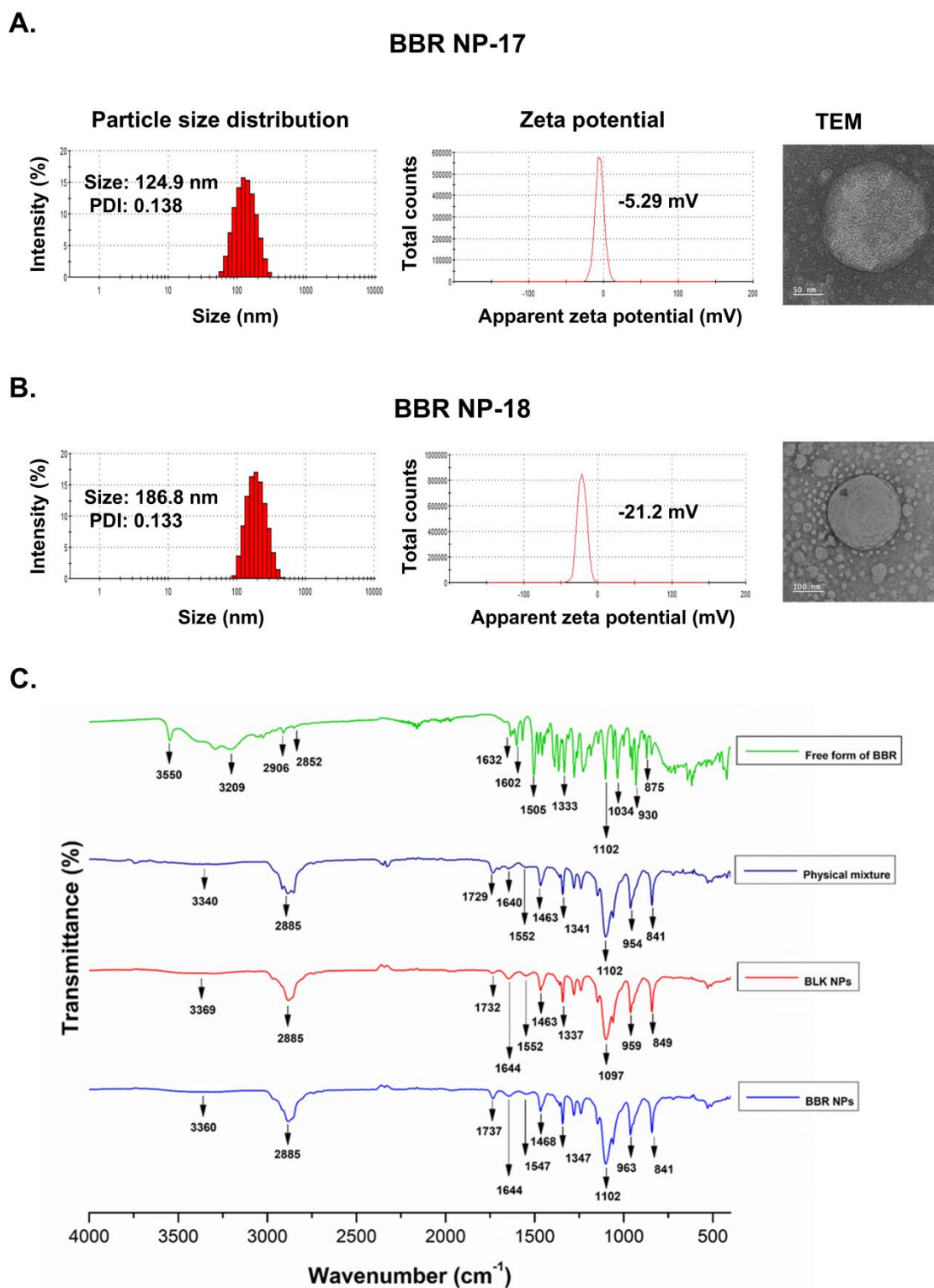


Fig. 2. Characterization of BBR-loaded redox-sensitive PLHNCs. TEM images represent the morphology of the fabricated nanoparticles and DLS graphs illustrate the particle size, PDI, and zeta potential of BBR NP-17 and 18 (A) and (B). FTIR spectra of free form of BBR, blank, and BBR-loaded NPs (C).

553 **3.3 Reduction-triggered nanocarrier disassembly and BBR release from the** 554 **nanocarriers**

555 Redox/pH-sensitive behaviors of the optimized nanocarriers (BBR NP-**17** and BBR NP-**18**)
556 were studied by observing the changes in the morphology, particle size and distribution of
557 nanocarriers in tumor microenvironment simulated conditions. L-Glutathione (GSH, 10mM)
558 was used as a simulant for reductive microenvironment^{51,52} and a pH of 4.5-5.0 to mimic the
559 intracellular endo-lysosomal acidic microenvironment, as these are the crucial parameters
560 that prevail in the tumor microenvironment.⁵³ Following 24 h incubation in pH 7.4 with
561 10mM GSH buffer, DLS data presented significant increase in the hydrodynamic radius in
562 both batches from 125.8 ± 0.7 to 321.1 ± 8.7 nm (BBR NP-**17**) and 195.4 ± 1.9 to 381.2 ± 7.3
563 nm (BBR NP-**18**) in pH 7.4 with 10mM GSH buffer, while no remarkable changes in particle
564 size were evidenced in pH 7.4 without GSH condition (Fig. 3A (i) and B (i)). Additionally,
565 aggregation of nanocarriers with alteration in the shape was observed using TEM, while no
566 morphological changes were displayed in the nanocarriers incubated in pH 7.4 buffer without
567 GSH (Fig. 3A (ii) and 3B (ii)). Similarly, BBR NP-**17** and BBR NP-**18** had a non-
568 homogenous particle distribution (with higher PDI values of 0.22 ± 0.014 to 0.48 ± 0.02 and
569 0.16 ± 0.01 to 0.4 ± 0.03 , respectively) in GSH environment, while negligible deviations
570 were observed in normal conditions. These changes were mainly attributed to the reduction
571 and cleavage of disulfide bonds (-S-S-) present in the redox-cholesterol (CL-S-S-COOH) that
572 destabilized the lipid matrix coated on the surface of the polymer core.^{54,55} Similarly, the pH
573 responsiveness of nanocarriers upon exposure to acidic pH (pH 4.5) displayed increased
574 aggregation and complete change in the shape of the nanocarriers with substantial increase in
575 particle size to 457.8 ± 30 nm (BBR NP-**17**) and 315.6 ± 10.4 nm (BBR NP-**18**) and PDI to
576 0.55 ± 0.01 (BBR NP-**17**) and 0.53 ± 0.04 (BBR NP-**18**), which was ascribed due to the
577 hydrolysis of ester bonds in acidic pH (Fig. 3A (i) and B (i)).^{56,57} Analogous results with
578 morphology, larger particle size and PDI were observed in the combination of pH and
579 reduction dual stimuli (Fig. 3A (ii) and B (ii)). On the contrary, only a slight decrease in the
580 zeta potential was observed in all the exposure conditions.

581 *In vitro BBR release*

582 BBR release behavior from the RS-PLHNCs was evaluated in simulated tumor
583 microenvironment and normal physiological conditions. At normal physiological conditions
584 (pH 7.4, 0mM GSH), about 48.7% of the drug was released from batch **17** and 36.3% from

585 batch **18** in 24 h. Interestingly, both nanocarriers exhibited a significant increase in the rate of
586 drug release in the presence of 10mM GSH (40-50% of BBR release within 2 h) that further
587 sustained to 93-94% at 24 h respectively (shown in Fig. 4A and B) compared to normal
588 physiological conditions. This burst release of BBR is accredited to the specific cleavage of
589 disulfide bonds present in the redox-cholesterol (CL-S-S-COOH) in the reductive
590 environment (GSH), while only diffused controlled release occurred at pH 7.4 due to non-
591 cleavage of the redox and pH-sensitive bonds in the nanocarriers. This hypothesis is
592 consistent with the reduction-triggered nanocarrier disassembly study (Fig. 3), where the
593 increase in the particle size and PDI of the nanosuspension was observed in response to the
594 GSH environment (10mM GSH).

595 Further, these BBR-loaded RS-PLHNCs were evaluated for drug release at lysosomal
596 pH (pH 4.5) and complete tumor intracellular microenvironment (pH 4.5 and GSH). At pH
597 4.5, with and without GSH, a burst release of BBR with >50% release within 2 h and >80%
598 release within 4 h in BBR NP-**17** and 8 h in BBR NP-**18** was observed. At pH 4.5, the
599 observed accelerated release may be due to the hydrolysis of ester bonds present in the redox
600 cholesterol (ester linkage between CL and DTPA) and further destabilization of the
601 nanocarriers followed by the release of BBR.⁵⁸ A remarkable rapid release of nanocarriers
602 was observed in both acidic and GSH conditions that triggered the cleavage of dual stimuli of
603 nanocarriers (i.e., disulfide bonds in response to GSH redox stimuli and ester linkages to
604 acidic pH stimuli) constituted to increased release. (Fig. 4A and B)

605 In all dissolution media, BBR NP-**18** showed a significant, sustained BBR release rate
606 in comparison to BBR NP-**17** due to the presence of stearic acid in the lipid composition that
607 increased the lipophilicity and mechanical strength of the nanocarrier system, resulting in
608 decreased diffusion of drug from the nanocarrier system.

609 *Mechanism of the BBR release from nanocarriers*

610 From the BBR release kinetics data, a Higuchi model of BBR release behavior was
611 predominantly observed from RS-PLHNCs, except under pH 7.4 in addition to 10 mM GSH,
612 where the Hixson-Crowell model was followed (Table S1 and Fig. S4). According to the
613 Higuchi model, BBR release may occur via diffusion from the nanocarrier system or through
614 lipid and polymer matrix erosion.^{30,59} Conversely, the Hixson-Crowell model suggests that
615 the nanoparticles released the drug by changing the surface area or diameter of the
616 nanocarrier system.⁶⁰ This implies that the nanocarriers incubated in a reducing environment

617 experience changes in the surface area or diameter of the nanocarriers by cleavage of the
 618 disulfide bonds and facilitate BBR release simultaneously into the dissolution media.

619 In summary, the experimental findings indicate that BBR release from RS-PLHNCs is
 620 influenced by a combination of diffusion and erosion mechanisms, with the release behavior
 621 being affected by microenvironmental factors such as pH and the presence of reducing agents
 622 like GSH.

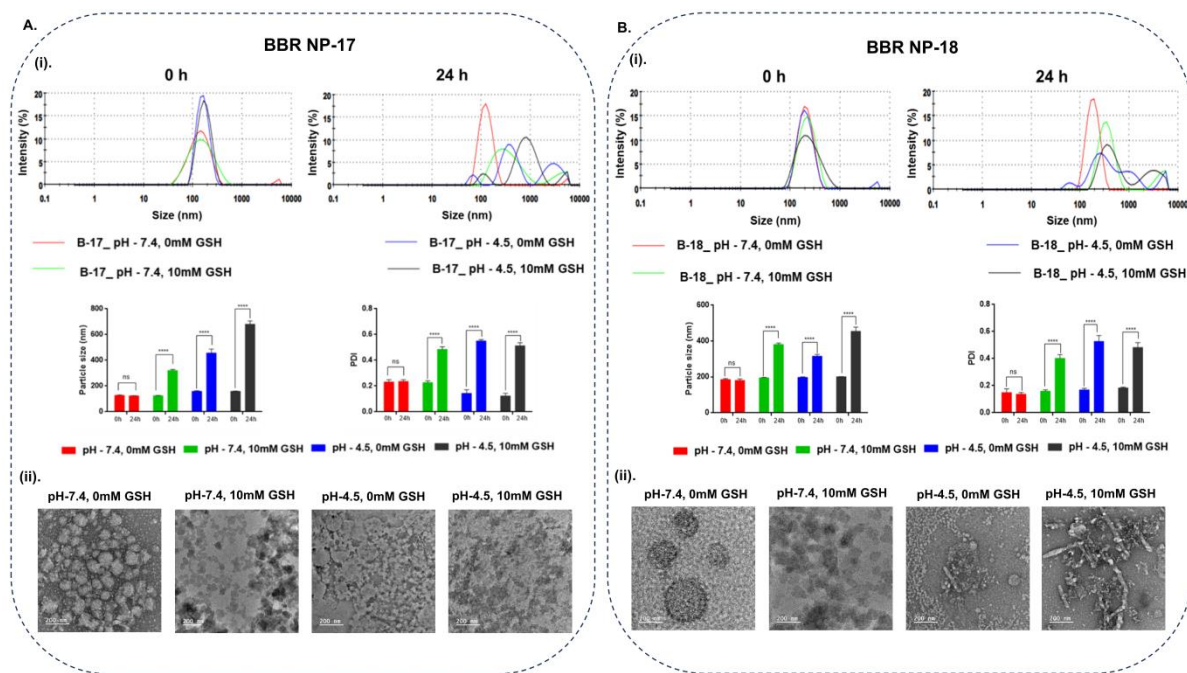


Fig. 3. Redox-responsive study of BBR NP-17 (A) and BBR NP-18 (B) in normal and tumor-simulated conditions. Particle size distribution and their respective graphs of both nanoparticles after incubation at 0 and 24 h in normal physiological and tumor-simulated conditions (A (i) and B (i)). Data is presented as mean \pm S.D, (n=3). A two-way ANOVA was used to compare the deviations in the particle size and PDI of the nanocarriers in normal and tumor-simulated conditions. Differences in p values, *p<0.05, **p<0.01, ***p<0.001, ****p<0.0001, were considered statistically significant and ns was considered non-significant. TEM images of BBR NP-17 and 18 (A (ii) and B (ii)) after incubation at 0 and 24 h in normal physiological and tumor-simulated conditions, illustrating changes in nanoparticle morphology and aggregation in response to the redox-sensitive environment.

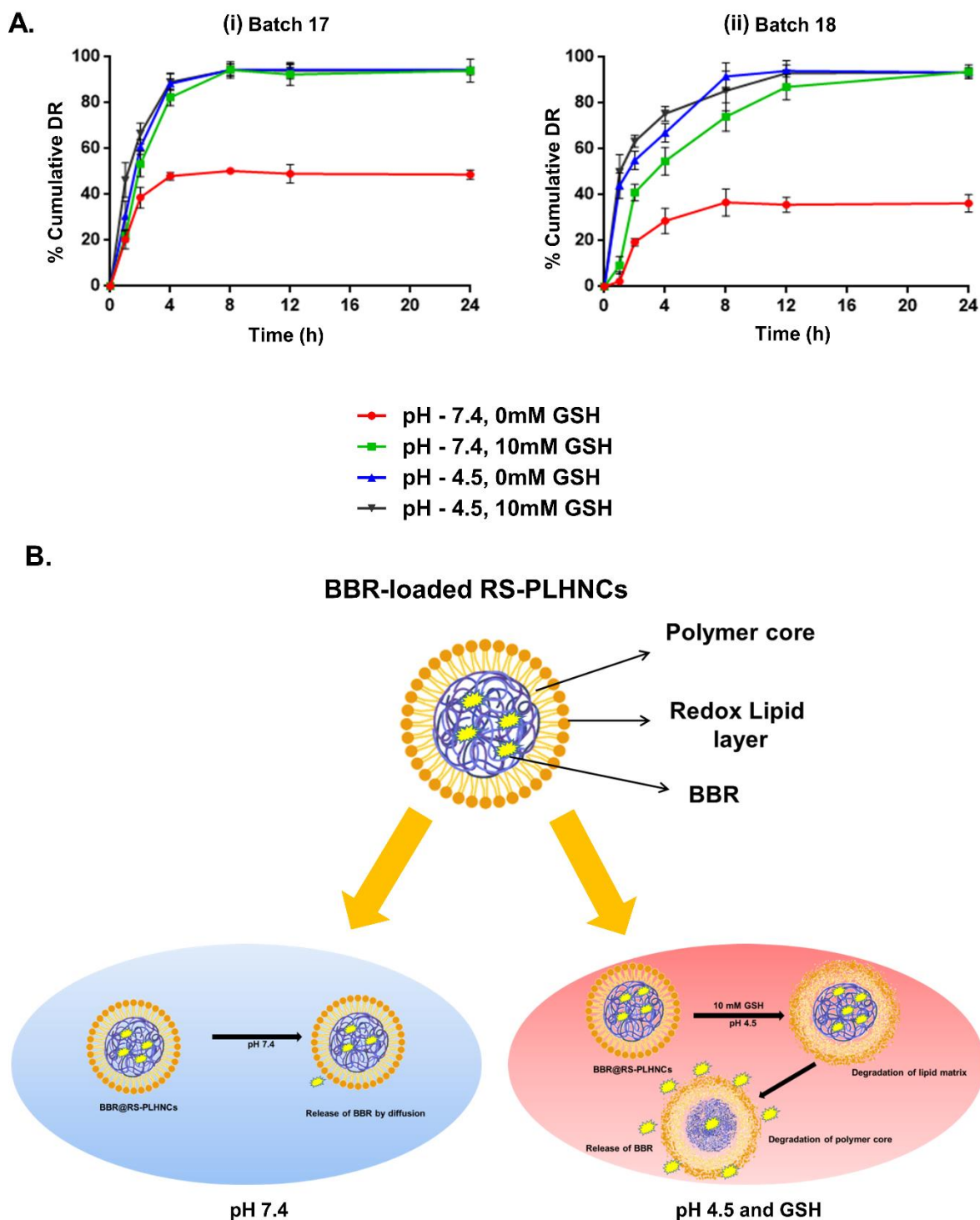


Fig. 4. *In vitro* release studies. Graphical representation of dissolution studies in normal physiological (pH 7.4 buffer, without GSH) and simulated TME (pH 7.4 with 10 mM GSH, pH 4.5 and pH 4.5 with 10 mM GSH) for BBR NP-17 and NP-18 (A (i and ii)) (data is represented as mean \pm S.D, n = 3). Schematic representation of drug release from the redox-sensitive nanocarriers under normal and simulated TME (B).

624 3.4 Storage and colloidal stability studies

625 Stability studies are performed to assess the storage-related conditions of the prepared
626 nanoformulation for long-term usage. In this study, stability assessments were conducted for
627 180 days at 4°C. The optimized BBR-loaded nanocarriers (BBR NP-17 and 18) showed free
628 of aggregation or precipitation with only negligible changes in the particle size and
629 distribution during the initial 60-day storage period. Extending the study to 180 days revealed
630 contrasting behaviors: BBR NP-17 showed reduced homogeneity and increased particle size,
631 whereas batch 18 demonstrated increased uniformity and size, indicating superior colloidal
632 stability and dispersion (Table 3 and Fig. S5). The decreased stability of the BBR NP-17
633 might be due to the zeta potential value being near zero. In contrast, the zeta potential of
634 batch 18 is > -20 mV, contributing to good steric repulsion and colloidal stability. Previous
635 studies suggest that nanocarriers aggregate with lower zeta potential due to reduced steric
636 repulsion between particles.³² The higher negative charge of nanoparticles in batch 18 could
637 be attributed to the addition of stearic acid having carboxylic groups, contributing to good
638 physical stability during storage.

639 **Table 3** Storage stability studies of the BBR-loaded RS-PLHNCs for 180 days at 4°C

Time (days)	BBR NP-17		
	Particle size (nm)	PDI	ZP (mV)
0	124.7±1.2	0.153±0.02	-5.91±2.54
60	156.7±1.7	0.146±0.03	-5.73±0.68
180	173.2±4.7	0.366±0.06	-2.68±0.43
BBR NP-18			
0	185.2±1.6	0.153±0.02	-20.03±1.07
60	171.3±0.8	0.120±0.01	-23.93±1.1
180	177.7±2.2	0.139±0.03	-25.63±4.29

640

641 3.5. *In vitro* cell-based assays

642 The redox sensitivity hypothesis of the BBR-loaded RS-PLHNCs was validated by
643 investigating the specific killing efficiency of the synthesized BBR-loaded RS-PLHNCs in
644 both normal cells (with lower GSH) and cancer cells (with higher GSH). For this purpose,
645 normal (BEAS-2B & HEK293A) and cancer (H1975) cells were chosen to evaluate the redox
646 sensitivity and therapeutic killing efficiency of the fabricated BBR-loaded NPs and compared
647 to free form of BBR.

648 3.5.1. Intracellular GSH estimation

649 Due to the disparity in GSH levels among cancer versus normal cells, the levels aimed to
650 elucidate further their potential implications in the cellular response to specific BBR release
651 and subsequent selective cytotoxicity. Analysis revealed substantial elevated levels of GSH in
652 H1975 cancer cells, exhibiting ~11 and 3.82-folds increased concentrations compared to
653 BEAS-2B and HEK293A cells respectively. Specifically, GSH concentrations were
654 quantified to be $238.28 \pm 2.8 \mu\text{M}$ in H1975 cancer cells, $21.55 \pm 3.17 \mu\text{M}$ in BEAS-2B and
655 $62.24 \pm 2.12 \mu\text{M}$ in HEK293A cells (Fig.S6.A). These findings underscore the suitability of
656 both cell lines for validating the proposed hypothesis concerning selective BBR release and
657 subsequent preferential cell death based on GSH levels.

658 3.5.2. *In vitro* cytotoxicity studies

659 *Redox-responsive cytotoxicity*

660 The cytotoxic effects of free form of BBR, BBR NP-17, and BBR NP-18 were evaluated by
661 MTT assay in BEAS-2B, HEK293A, and H1975 cells. As depicted in Fig. 5A and B, all three
662 formulations exhibited dose-dependent inhibitory effects in both cell lines. IC₅₀ values of the
663 respective formulations were reported in Table 4 and Fig S6 (B (i & ii)).

664 In terms of redox sensitivity (Fig 5A i & iii)), BBR-loaded RS-PLHNCs (BBR NP-17
665 and 18) displayed significant cytotoxicity effects at all concentrations except 3.125 μM at 24
666 h in H1975 cancer cells compared to BEAS-2B cells. After 72 h incubation (Fig 5A, ii & iv),
667 all the concentrations showed significant differences in cytotoxicity except at 50 μM and 25
668 μM of BBR NP-17 and 50 μM of BBR NP-18. The predominant difference in inhibitions
669 may be due to the elevated levels of GSH and decreased pH conditions in the cancer cells that
670 cleaved the disulfide and ester bonds present in the modified redox-cholesterol of the lipid
671 matrix. Further, following erosion of the lipid matrix, the BBR is released into the cytoplasm,
672 thereby inducing increased cytotoxicity. In contrast, BEAS-2B and HEK293A cells with low
673 GSH levels exhibited lower cytotoxicity due to slower diffusion or release of drugs from the
674 nanocarrier system.

675 Furthermore, the presence of positively charged aminated polyethylene glycol diamine in the
676 core of the nanocarrier system may contribute to increased cytotoxicity. Upon degradation of
677 the lipid matrix, this component may become exposed, potentially leading to binding with
678 negatively charged membranes, thereby inducing increased BBR release and cytotoxic
679 effects.⁵⁴

680 In comparison to both the batches, BBR NP-**18** showed ~3.23 and 15.73 folds
681 decreased IC₅₀ in BEAS-2B and HEK293A cells after 24 h incubation, while only ~1.13 and
682 2.14 folds in the case of BBR NP-**17**. After 72 h incubation, BBR NP-**18** treated group
683 resulted in ~3.85- and 3.27-fold reductions in IC₅₀ values in BEAS-2B and HEK293A cells
684 respectively, while only ~1.20 and 2.11 folds in the case of BBR NP-**17** (Table 4). The
685 enhanced selectivity of BBR NP-**18** is likely due to the incorporation of stearic acid in the
686 lipid matrix, which increases lipophilicity, slows BBR release, and prevents rapid
687 degradation, which is in correspondence with the results observed in *in vitro* release studies
688 (section 3.3).

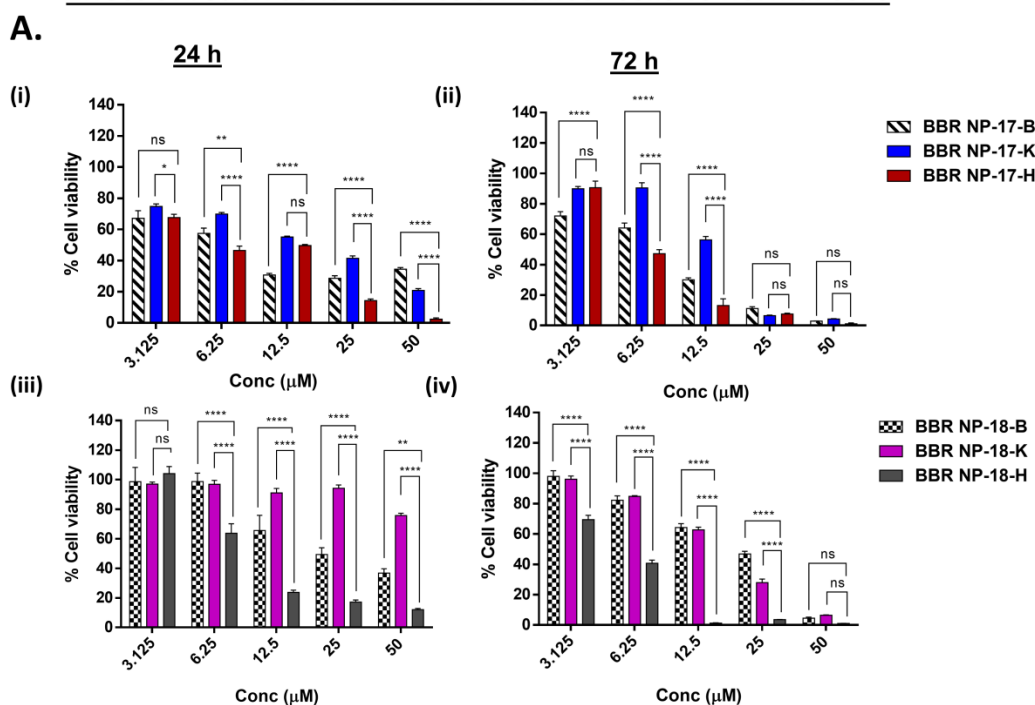
689 *Advantage of the nanoparticular form of BBR over free form of BBR*

690 Both optimized redox-sensitive nanocarriers exhibited notably high cytotoxicity in H1975
691 cancer cells compared to free form of BBR after 24 and 72 h of treatment (Fig 5B, i-iv). This
692 significant cytotoxicity observed in the group treated with BBR nanocarriers may be due to
693 the enhanced penetration and sustained release of BBR from the nanocarriers, which was also
694 observed in the cellular uptake studies. The difference in cytotoxicity induced by free form of
695 BBR was observed to be non-significant in normal and cancer cells, possibly due to the non-
696 specific release and penetration of the drug in both cells.

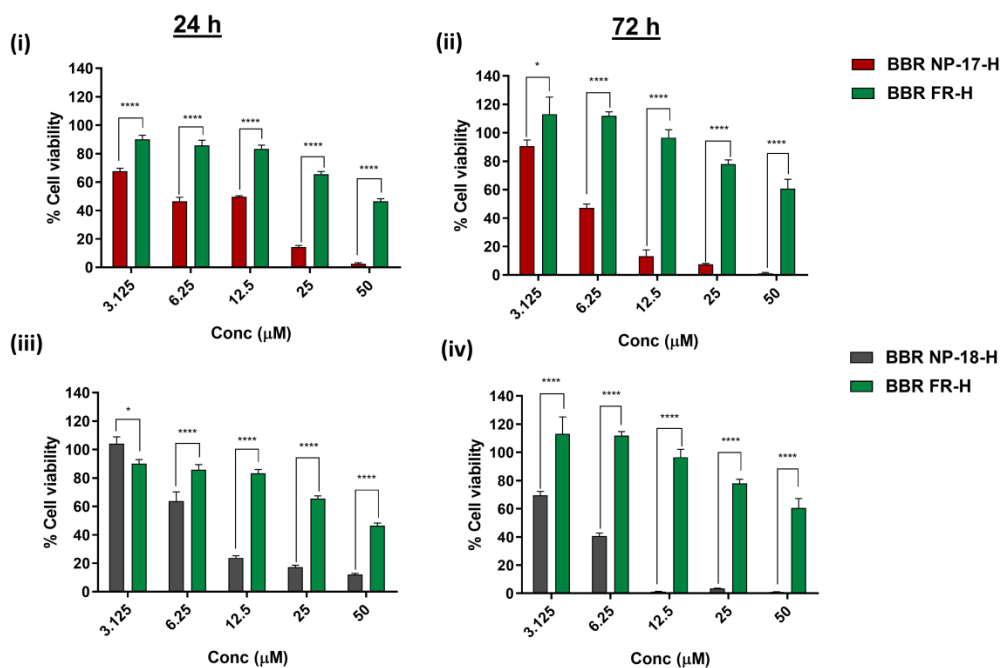
697 A biocompatibility study was performed on the blank nanocarriers (BLK NP-**17** and
698 **18**) to assess their independent cytotoxicity. Here, different concentrations (3.125 to 50 µM
699 with respect to BBR concentration used in cytotoxicity studies) were treated in BEAS-2B,
700 HEK293A, and H1975 cells for 24 and 72 h incubation periods. As demonstrated in Fig. S7,
701 greater than 75% of cells are observed to be viable in all concentrations except 50 µM
702 concentration at both 24 and 72 h incubation periods. These findings indicate no significant
703 toxic effects on healthy cells (<50 µM), that confirms the good biocompatibility and ensuring
704 a safe carrier for the delivery of drugs to cancer cells. The study confirmed that the
705 cytotoxicity induced by the nanocarriers is solely due to the selective release of up taken
706 BBR into the cytoplasm of the cancer cell that further induced enhanced cytotoxicity.

707

Effect of redox-sensitive NCs on cancer cells vs normal cells



B. Effect of Free form of BBR vs BBR NP on cancer cells



708 **Fig. 5. Effect of redox-sensitive NCs on cancer versus normal cells. %Cell viability of**
 709 **BEAS-2B, HEK293A, and H1975 cells after BBR NP-17 and 18 treatments for 24 and 72 h**
 710 **(A). Effect of free form of BBR versus BBR NPs on cancer cells. %Cell viability of**
 711 **H1975 cells after treatment with BBR in free form and nanoparticulate forms (BBR NP-17**
 712 **and 18) for 24 and 72 h (B). All the values are expressed in mean \pm SEM (n=5). A two-way**
 713 **ANOVA was used to compare the %cell viability difference between BEAS-2B and H1975,**

714 as well as between HEK293A and H1975 following treatment. Additionally, a two-way
 715 ANOVA was conducted to compare the %cell viability differences in H1975 cells treated
 716 with free-form of BBR and BBR nanoparticulate forms (BBR NP-17 and NP-18). Differences
 717 in p-values, *p<0.05, **p< 0.01, ***p<0.001, ****p<0.0001 were considered statistically
 718 significant and ns was considered non-significant.

719 **Abbreviations:**

720 **H: H1975** (lung cancer cell line). **BBR NP-17-H:** H1975 cells treated with BBR NP-17
 721 nanocarriers, **BBR NP-18-H:** H1975 cells treated with BBR NP-18 nanocarriers, **BBR FR-**
 722 **H-** refers to H1975 cells treated with free form of BBR.

723 **B: BEAS-2B** (normal lung epithelial cells). **BBR NP-17-B:** BEAS-2B cells treated with
 724 BBR NP-17 nanocarriers, **BBR NP-18-B:** BEAS-2B cells treated with BBR NP-18
 725 nanocarriers.

726 **K: HEK293A** (normal human embryonic kidney cells). **BBR NP-17-K:** HEK293A cells
 727 treated with BBR NP-17 nanocarriers, **BBR NP-18-K:** HEK293A cells treated with BBR
 728 NP-18 nanocarriers

729 **Table 4** Half maximal inhibitory (IC₅₀) concentration for BBR-loaded RS-PLHNCs and free
 730 form of BBR in BEAS-2B, HEK293A, and H1975 cells (n=5)

After 24 h incubation						
Batch no	IC ₅₀ of NPs (in μM)			IC ₅₀ of free form of BBR (in μM)		
	BEAS-2B	HEK293A	H1975	BEAS-2B	HEK293A	H1975
BBR NP-17	7.7 ± 1.2	14.6 ± 1.1	6.8 ± 1.1	52.8 ± 1.1	47.1 ± 1.2	46.2 ± 1.1
BBR NP-18	27.5 ± 1.1	133.3 ± 1.3	8.5 ± 1.1			
After 72 h incubation						
BBR NP-17	7.7 ± 1.2	13.1 ± 1.0	6.8 ± 1.1	40.6 ± 1.2	22.1 ± 1.1	59.6 ± 1.2
BBR NP-18	27.5 ± 1.1	133.3 ± 1.3	8.5 ± 1.1			

731

732 To validate the findings of BBR-induced cytotoxicity observed in the MTT assay, cellular
 733 viability was further assessed by examining cell numbers in the presence of BBR
 734 nanocarriers (IC₅₀) and free form of BBR (IC₅₀ values respective to BBR NP-17 and 18
 735 nanocarriers were used). Notably, treatment with BBR NP-17 and BBR NP-18 resulted in
 736 reductions of 56.54% and 48.73% in cell numbers respectively, whereas BBR FR-17 and
 737 BBR FR-18 exhibited lesser reductions of 78.95% and 72.16%, respectively (Fig. S8). Taken
 738 together, data obtained from both the MTT assay and cell counting, the IC₅₀ for BBR-NP 17

739 and **18** was determined to be $6.79 \pm 1.1 \mu\text{M}$ and $8.47 \pm 1.1 \mu\text{M}$, respectively. These findings
740 contribute to the comprehensive understanding of the efficacy of BBR nanocarrier
741 formulations in inducing cytotoxic effects for potential therapeutic applications.

742 *3.5.3. Effect of BBR-loaded RS-PLHNCs on intracellular GSH Levels*

743 Cancer cells produce high levels of antioxidants in the form of cellular free thiol, resulting in
744 a highly reducing TME that prevents DNA damage and evades programmed cell death.⁶¹
745 Moreover, GSH is overexpressed in various cancers at concentrations approximately ten
746 times higher than normal cells ($\sim 10 \text{ mM}$).⁶² Further, to know the effect of RS-PLNCs in
747 GSH responsive environment we aimed to monitor GSH levels with different treatment
748 regimens over 24 h. As illustrated in [Fig. S9](#), our findings revealed a significant reduction in
749 GSH levels in cells treated with BBR-loaded RS-PLHNCs formulations compared to those
750 treated with free form of BBR. This reduction was observed across a range of concentrations,
751 suggesting that the nanoparticulate delivery system enhances the efficacy of BBR by
752 promoting a more pronounced depletion of GSH within cancer cells. These results provide
753 compelling evidence that selective release of BBR from nanoparticulate formulations exert a
754 potent pro-oxidant effect within cancer cells, disrupting their redox balance and sensitizing
755 them to oxidative stress-induced cell death.

756 *3.5.4. BBR-loaded RS-PLHNCs increase cellular uptake significantly*

757 The effectiveness of drug delivery is strongly correlated to how well cells uptake the drugs. A
758 robust cellular uptake of drugs can lead to effective treatment outcomes.⁶³ BBR is a
759 fluorescent compound with excitation and emission wavelength of 345 nm and 575 nm.
760 Therefore, the cellular uptake study was performed in normal cells (BEAS-2B and
761 HEK293A) to evaluate the selectivity of the nanoparticulate formulations of BBR NP-**17** and
762 BBR NP-**18** through FACS and confocal microscopy. Comparative analysis of mean
763 fluorescence intensity (MFI) of free and nanoparticulate forms of BBR demonstrate less
764 internalization in BEAS-2B and HEK293A at 4, 12, and 18 h in comparison to that observed
765 in H1975 cells. Also, the free form of BBR and BBR NP-**17** & BBR-NP-**18** treated cells
766 showed right shift of histogram peak in H1975 cells ([Fig. 6A](#)). The results from the extent of
767 BBR cellular uptake in free and NP form directly correlates with the cytotoxicity studies
768 where less viable cancer cells were seen in the BBR-NP-**17** & **18** treated cells compared to
769 those treated in its free form at equal amounts.

770

771 Furthermore, CLSM was used to confirm and visualize the intracellular distribution of
772 internalized free form of BBR and BBR-nanoparticulate form. Post treatment of BBR NP-17
773 and NP-18 for 4 and 18 h, fluorescence intensity was found to be lower in normal cells
774 compared to cancer cells. Moreover, the fluorescence intensity of free form of BBR (BBR
775 FR-17 & FR-18) was significantly lower across all cell lines over nanoparticulate formulation
776 of BBR (Fig. 6B), which was consistent with flow cytometry results that RS-PLHNCs
777 remarkably enhanced BBR uptake. This observation is likely due to the limited permeability
778 of free form of BBR, a limitation that was overcome by encapsulation within nanoparticles,
779 thereby enhancing cellular uptake and fluorescence signal. Therefore, our findings suggest
780 loading BBR in RS-PLHNCs follows a greater selectivity and preferential uptake in the
781 tumor cells bypassing the cell membrane and inducing increased cytotoxicity.

782

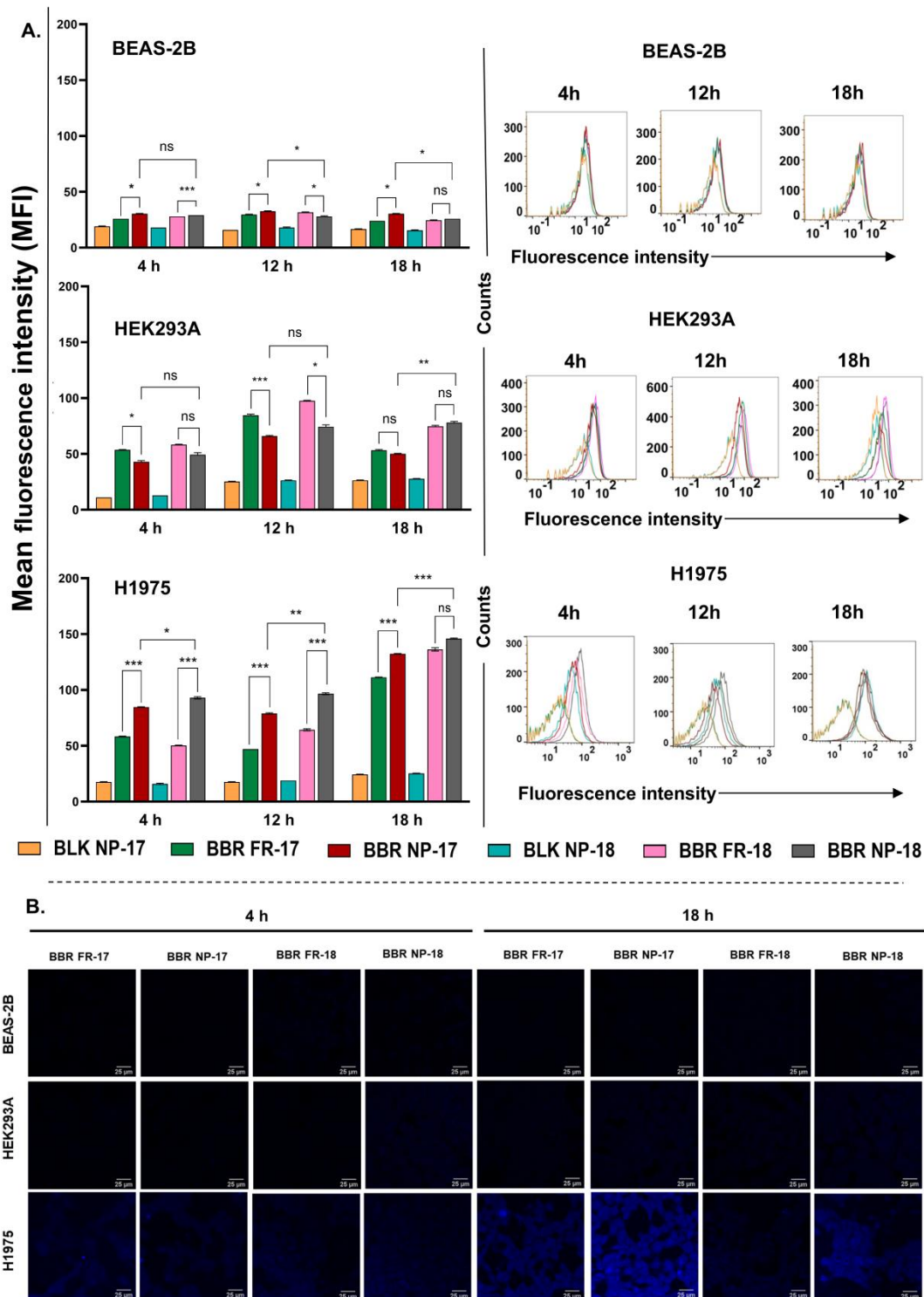


Fig. 6. Cellular uptake of BBR-loaded RS-PLHNCs and free form of BBR in BEAS-2B, HEK293A, and H1975 cells. Histograms showing the intensity of uptake for Blank NP, Free form of BBR, and BBR NP (batch 17 & 18) at 4 h, 12 h, and 18 h and their quantitative mean fluorescence intensity (MFI) ($n=3$) ($\lambda_{ex} = 488$ nm; $\lambda_{em} = 585$ nm) (A). Confocal microscopy images showing intracellular fluorescence of BBR ($\lambda_{ex} = 405$ nm; $\lambda_{em} = 550 \pm 50$ nm) (B). The values were statistically examined using a two-way ANOVA test. Statistical

significance: * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$ and ns- non-significant.

Abbreviation: BLK NP-17 (Blank nanoparticle in equivalent with IC_{50} of BBR NP-17 i.e. $6.8\mu\text{M}$); BLK NP-18 (Blank nanoparticle in equivalent with IC_{50} of BBR NP-18 i.e. $8.5\mu\text{M}$); BBR FR-17 (Free form of BBR in equivalent with IC_{50} of BBR NP-17 i.e. $6.8\mu\text{M}$); BBR FR-18 (Free form of BBR in equivalent with IC_{50} of BBR NP-18 i.e. $8.5\mu\text{M}$); BBR NP-17 (BBR loaded RS-PLHNCs with an IC_{50} dose of $6.8\mu\text{M}$); BBR NP-18 (BBR loaded RS-PLHNCs with an IC_{50} dose of $8.5\mu\text{M}$)

783 3.5.5. Nanoparticulate form of BBR induces apoptosis

784 Apoptosis, a programmed cell death mechanism essential for eliminating damaged cells, is
785 often evaded in cancer, allowing tumor cells to survive and proliferate uncontrollably.⁶⁴ To
786 evaluate the effects of the nanoparticulate formulations of berberine (BBR NP-17 and BBR
787 NP-18) at their respective IC_{50} values was conducted through time kinetic manner using flow
788 cytometry. Cells were stained with Annexin V-FITC/PI and analyzed at 4, 12, and 18 h post-
789 treatment in H1975, HEK293A, and BEAS-2B cell lines (Fig. 7A). At the 4 h time point,
790 BBR NP-17 and BBR NP-18 induced significantly higher levels of apoptotic cell death in
791 H1975 cells, compared to the normal cell lines. Specifically, $21.93 \pm 1.04\%$ and $39.17 \pm$
792 0.98% of H1975 cells underwent apoptosis, respectively, for BBR NP-17 and BBR NP-18. In
793 contrast, BEAS-2B cells showed lower apoptosis rates ($12.7 \pm 0.06\%$ and $26.83 \pm 0.13\%$),
794 while HEK293A cells exhibited minimal apoptosis ($1.47 \pm 0.03\%$ and $2.5 \pm 1.10\%$). At 12 h,
795 the apoptotic cell death in H1975 is significantly higher, when treated with BBR NP-17
796 ($28.80 \pm 0.17\%$) and NP-18 and $29.30 \pm 3.40\%$), except comparison with HEK293A. In
797 normal cells, BBR NP-17 significantly induces less apoptosis $4.97 \pm 0.24\%$ (BEAS-2B) and
798 $12.03 \pm 1.33\%$ (HEK293A) while, treatment with NP-18 shows $8.30 \pm 0.42\%$ and $24.23 \pm$
799 0.32% in BEAS-2B and HEK293A respectively. In time kinetics manner, at 18 h the
800 apoptotic cell death remained higher in H1975 cells ($15.8 \pm 0.64\%$ and $11.03 \pm 1.41\%$), while
801 BEAS-2B and HEK293A cells showed significantly lower apoptosis ($4.07 \pm 0.87\%$ and 4.87
802 $\pm 0.19\%$, and $5.8 \pm 0.20\%$ and $4.37 \pm 0.23\%$, respectively).

803 During apoptosis, the breakdown of membrane asymmetry leads to the relocation of
804 phosphatidylserine (PS) to the outer membrane layer, which can be detected by Annexin V-
805 FITC staining.⁶⁵ We further validate our finding by evaluating the apoptotic potential of RS-
806 PLHNCs loaded with BBR drug, which was visualized using CLSM. Staining with Annexin

807 V-FITC revealed clear signs of apoptosis when H1975 cells were incubated with BBR NP-17
808 and BBR NP-18 (Fig. 7B). Strong green fluorescence-labeled cells were observed compared
809 to free form of BBR, where Annexin V-FITC was used as a negative control. In addition,
810 upon BBR NP-17 and NP-18 treatment in BEAS-2B and HEK293A the green fluorescence
811 intensity was lower, clearly indicating less apoptosis. These findings indicate that the BBR-
812 loaded RS-PLHNCs follows higher cellular uptake which preferentially induce apoptotic cell
813 death in cancer cells over normal cells, possibly due to the effective BBR release rate inside
814 the cell, which was further validated by gene expression studies.⁶⁶

Representative confocal images for apoptosis in Annexin V-FITC stained BEAS-2B, HEK293A, and H1975 cells after 18 h of treatment ($\lambda_{\text{ex}} = 488 \text{ nm}$; $\lambda_{\text{em}} = 550 \pm 50 \text{ nm}$) (B). (Yellow arrow indicates apoptotic cells). The values were statistically examined to assess apoptotic cell death (% early+late apoptosis) by two-way ANOVA test. Statistical significance: * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$, **** $p < 0.0001$, and ns- non-significant.

815 3.5.6. BBR-loaded RS-PLHNCs induce oxidative stress and cause apoptosis

816 The oxidative stress in cancer cells ameliorates redox balance, thus increasing antioxidant
817 states and optimizing ROS-driven proliferation.⁶⁷ In this context, superoxide dismutase
818 (SOD), catalase, glutathione peroxidase (GPX), and thioredoxin (TRX) are the key regulators
819 in counteracting by maintaining the reduced state of NADPH. Aberrant oxidative stress acts
820 upon several biochemical pathways and signaling proteins such as MAPK, PI3K/AKT, and
821 KEAP1-NRF2 pathways.⁶⁸ Among these Nrf2, a master transcription factor provides a
822 principle inducible defense against oxidative stress and activates a wide range of target genes
823 SOD1, xCT, HO-1, NQO1, TXRND1, and GCLC.^{69,70} Here, we investigated the effect of
824 nanoparticulate BBR and free form of BBR on mRNA expression levels of NFE2L2, HO-1,
825 NQO1, and TXRND1 performed by qRT-PCR.

826 The relative mRNA expression of NFE2L2 was significantly downregulated by **~0.1 fold** and
827 **~0.3-fold** change in BBR NP-17 & BBR NP-18. In contrast, **~0.9 fold** and **~0.7-fold** change
828 was observed in BBR FR-17 & BBR FR-18 when compared to the control group (Fig. 8A).
829 NAD(P)H: quinone oxidoreductase-1 is a phase detoxifying enzyme, and overexpressed in
830 ~60% of NSCLC cases.^{71,72} NQO1 and HMOX1 mRNA expression was found to be
831 significantly downregulated by **~0.3 fold** and **~0.4 fold**, & **~0.6 fold** and **~0.5 fold** change in
832 nanoparticulate form of BBR-17 & BBR-18, when compared to control group, respectively.
833 Upon BBR FR-17 & BBR FR-18 treatment, the NQO1 and HMOX1 mRNA expression was
834 unaltered (Fig. 8B & 8C). TXRND1 amid among NRF2 target redox genes signature in
835 NRF2/KEAP1 axis, with poor survival in a cohort of NSCLC patients.⁷³ A significantly
836 downregulated mRNA expression of TXRND1 gene with **~0.3 fold** and **~0.3 fold** change in
837 BBR NP-17 & BBR NP-18, and **~1.1 fold** and **~0.8 fold** change in BBR FR-17 and BBR FR-
838 **18** when compared to the control group (Fig. 8D). In addition, for genes mentioned above,
839 BBR NP-17 & BBR NP-18 found to be significantly upregulated when compared to free
840 form of BBR.

841 Berberine inhibits cell proliferation and induces apoptosis in breast, lung, and liver cancer via
842 the BCL-2/BAX pathway.^{74,75} Based on previous studies, we aimed to evaluate the effect of
843 BBR nanoparticulate on apoptotic markers such as PUMA, NOXA, and MCL-1. The mRNA
844 expression of PUMA and NOXA was significantly upregulated upon BBR NP-17 and BBR
845 NP-18 by **~7.3 fold** and **~4.4-fold** change, whereas **~3.2 fold** and **~2.0-fold** difference was
846 observed in BBR FR-17 & BBR FR-18 group when compared with control group
847 respectively (Fig. 8E & F). MCL-1 is a key anti-apoptotic marker of the BCL-2 family, often
848 overexpressed in tumors, promoting cell survival and drug resistance. Targeting MCL-1
849 holds promise for cancer therapy due to its central role in blocking apoptosis via the
850 mitochondrial pathway.^{76,77} Upon BBR NP-17 & BBR NP-18 treatment, significant
851 downregulation by **~0.1-fold** and **~0.2-fold** was observed compared to the control group
852 treatment (Fig. 8G). Additionally, BBR NP-17 & BBR NP-18 showed significant
853 upregulation of PUMA and NOXA and down-regulation of MCL-1, respectively, compared
854 to BBR FR-17 & BBR FR-18. The results suggest that BBR NP-17 has better therapeutic
855 efficacy than BBR NP-18 by increasing the oxidative stress and inducing apoptosis.

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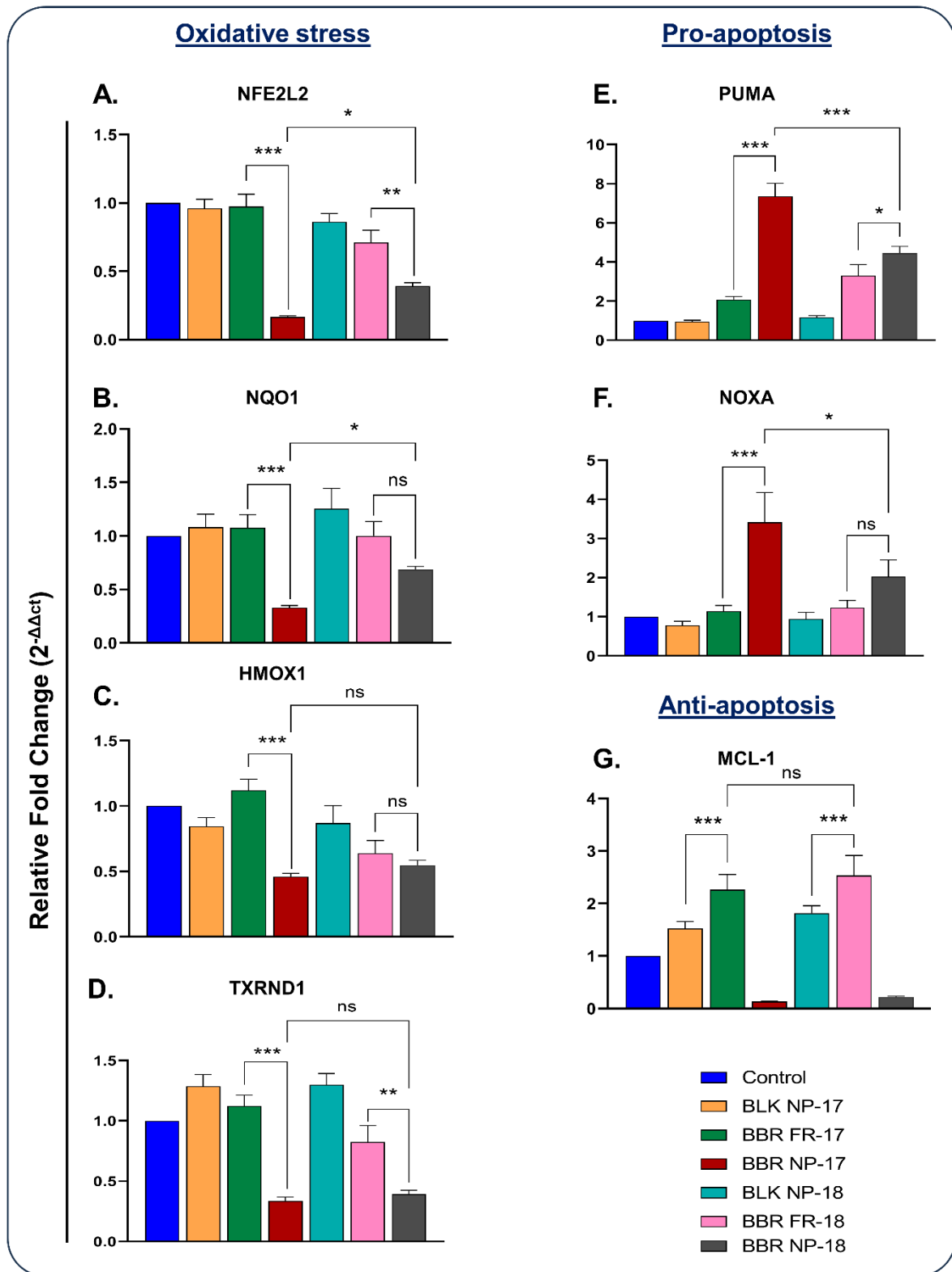


Fig. 8. The Nanoparticulate form of BBR promotes apoptosis and lowers oxidative stress in NSCLC (H1975 cells). The relative mRNA expression of (A-D). **Oxidative stress markers** (A). NFE2L2 (B). NQO1, (C). HMOX1, and (D). TXRND1. (E-G). **Pro-apoptotic markers** PUMA (E). and NOXA (F). **Anti-apoptotic markers** (G). MCL-1. The data are represented

as mean \pm SEM (n = 4), and statistical significance was performed using a one-way ANOVA test. Statistical significance was denoted as follows: *p < 0.05, **p < 0.01, ***p < 0.001 and "ns" indicating non-significant differences.

857

858 **4. Conclusion**

859 In the current study, bio-reducible redox-sensitive polymeric lipid hybrid nanocarriers (RS-
860 PLHNCs) were successfully fabricated and characterized. The optimized monodispersible
861 BBR-loaded nanocarriers (BBR NP-17 and 18) demonstrated acceptable particle sizes (124
862 and 185 nm) with good encapsulation efficiency (>80%). These novel nanocarriers displayed
863 accelerated BBR release (>80% in 24 h) on exposure to tumor-relevant pH (pH 4.5-5.5) and
864 reduction environment (10mM GSH) relative to physiological conditions (30-45% in 24 h).
865 This increased release is due to the reduction of disulfide bonds in the GSH reductive
866 environment (disulfide bonds present in modified cholesterol of lipid matrix layer
867 surrounding the polymer core) and hydrolysis of ester bonds in acidic pH, leading to the
868 disassembly of nanocarriers, thereby increasing the release of BBR. These dual-stimuli-
869 triggered nanocarriers exhibited selective cytotoxicity against H1975 (NSCLC) cells over
870 normal BEAS-2B and HEK293A cells. Moreover, these nanocarriers displayed several-fold
871 increased cytotoxicity than free form of BBR. This enhanced killing efficiency might be due
872 to the increased penetration of nanocarriers by the EPR effect compared to the free form of
873 the drug, as observed in the cellular uptake studies. The several underlying mechanisms for
874 the enhanced anti-cancer activity are due to the downregulation of NFE2L2, HO-1, NQO1,
875 and TXRND1 gene expression, and decreased GSH levels leading to oxidative stress, thereby
876 causing apoptosis of the cancer cells. In summary, the selective uptake and cytotoxicity of the
877 designed novel redox-responsive nanocarriers in cancer cells have promising implications for
878 targeted drug delivery in lung cancer treatment, thereby reducing drug-related toxicities. To
879 our knowledge, till date no study has reported the design and synthesis of a redox-responsive
880 nanocarrier loaded with BBR, specifically engineered for targeted delivery to cancer cells.

881 In summary, our study presents bio-reducible redox-sensitive polymeric lipid hybrid
882 nanocarriers (RS-PLHNCs) synthesized for targeted delivery of BBR in NSCLC cells. The
883 optimized BBR-loaded nanocarriers (NP-17 & -18) exhibited effective particle size with
884 higher encapsulation efficiency and sustained the release of BBR in tumor simulated
885 microenvironment (acidic pH and 10mM GSH). These nanocarriers showed preferential

886 uptake in NSCLC cells compared to normal cells due to their increased permeability and
887 retention (EPR) effect. Moreover, they displayed cancer cell selective cytotoxicity by
888 increasing oxidative stress, causing apoptosis, and demonstrating significant anticancer
889 activity. This study found novel applications for BBR-loaded RS-PHLNCs, opening new
890 avenues toward reducing toxicity and increasing therapeutic outcomes from redox-sensitive
891 stimuli.

892 In summary, developing biocompatible BBR-loaded bio-reducible redox-sensitive polymeric
893 lipid hybrid nanocarriers (RS-PLHNCs) demonstrates a promising strategy for the selective
894 delivery of BBR to GSH-rich cancer cells. The optimized BBR nanocarriers (NP-17 & -18)
895 exhibited optimal particle size with higher encapsulation efficiency and sustained the release
896 of BBR in tumor simulated microenvironment (acidic pH and 10mM GSH). The redox-
897 sensitive nature of these nanocarriers displayed preferential uptake of BBR in NSCLC cells
898 compared to normal cells due to their increased GSH levels and EPR effect. Moreover, they
899 displayed cancer cell-selective cytotoxicity by increasing oxidative stress, causing apoptosis,
900 and demonstrating significant anticancer activity. Thus, prepared redox-responsive
901 nanocomposite material can be further used to precisely deliver similar bioactive compounds
902 by enhancing their pharmaceutical properties and preventing non-selective toxic effects,
903 thereby improving treatment outcomes in cancer therapy.

904 **Conflict of interests**

905 The authors declare that they have no conflict of interest.

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944 analysis, Writing - original draft, preparation. **Suresh Ajmeera:** Conceptualization,
945 Methodology, Investigation, Data curation, Formal analysis, Writing - original draft,
946 preparation. **Rajat Choudhary:** Conceptualization, Methodology, Investigation, Data
947 curation, Formal analysis, Writing - original draft, preparation. **Sanjaya Kumar Moharana:**
948 Characterization and analysis of synthesized lipids and polymers. **C. S. Purohit:** Formal
949 analysis and supervision of synthesized lipids and polymers. **V. Badireenath Konkimalla:**
950 Conceptualization, Formal analysis, Supervision, Resources, Funding acquisition, Project
951 administration, Writing – original draft, Writing – review & editing.

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